

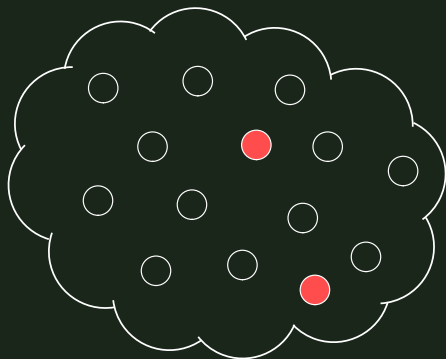
# Polytope Codes in Networks, Storage, and Multiple Descriptions

Oliver Kosut

Joint work with Lang Tong, David Tse, Aaron Wagner, and Xiaoqing Fan

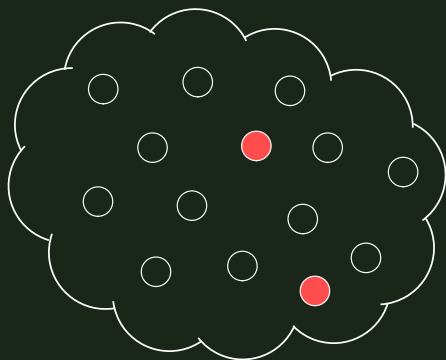
April 1, 2015

## Networks with Active Adversaries



Distributed system in the presence of **active omniscient adversaries**

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Applications:

- Man-in-the-middle attacks
- Wireless jamming attacks
- Distributed storage systems

# Polytope Codes

A new-ish coding paradigm using:

- linear constructions on the integers
- covariance matrices as checksums

# Polytope Codes

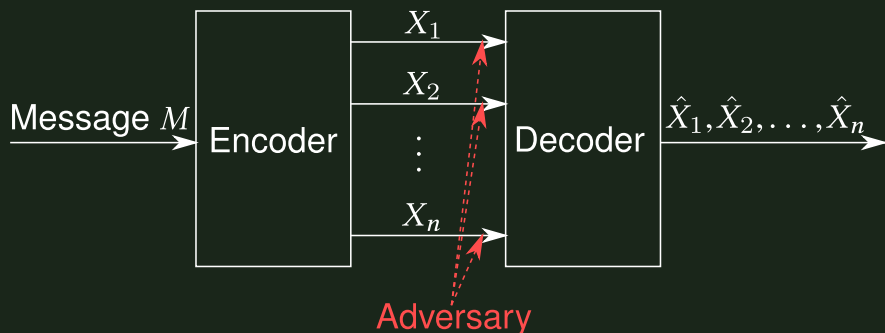
A new-ish coding paradigm using:

- linear constructions on the integers
- covariance matrices as checksums

Advantages:

- Partial decoding
- Distributed detection and correction of adversarial errors

# Classical Coding Formulation



- $X_i$  in finite field  $\mathbb{F}$
- Adversary may replace any  $z$  packets (min. distance  $d \geq 2z + 1$ )
- Decoder must output **all** packets without error
- Fundamental limit: Singleton bound  $k \leq n - 2z$  where  $k$  is dimension of message — achievable by MDS codes

## Classical setting

Must decode all information

## Network setting

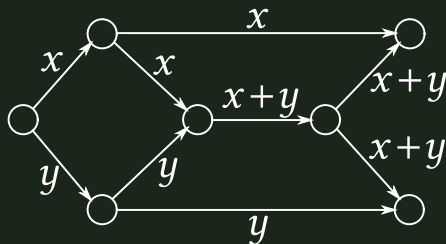
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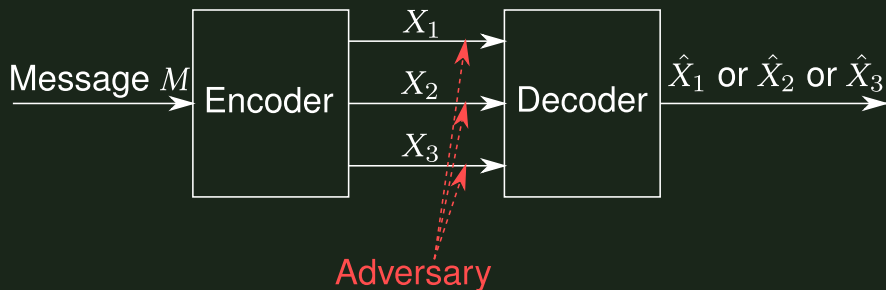
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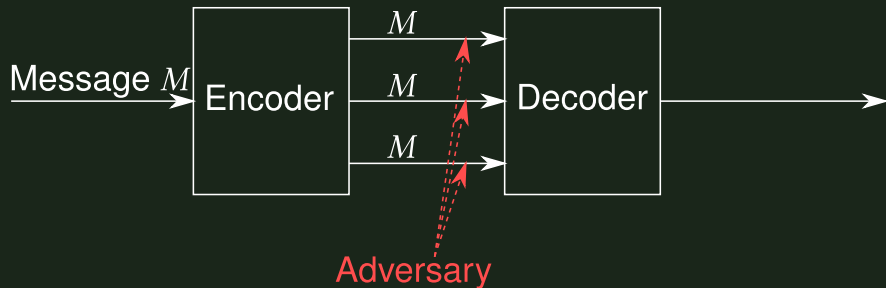
# Motivating Toy Problem



- $M \in \{1, 2, \dots, 2^{qR}\}$
- $X_i \in \{1, 2, \dots, 2^q\}$
- $M$  must be recoverable from any **two** of  $X_1, X_2, X_3$
- Adversary may replace **one** of the three packets
- Decoder must output **one** packet without error

# Finite Field Constructions

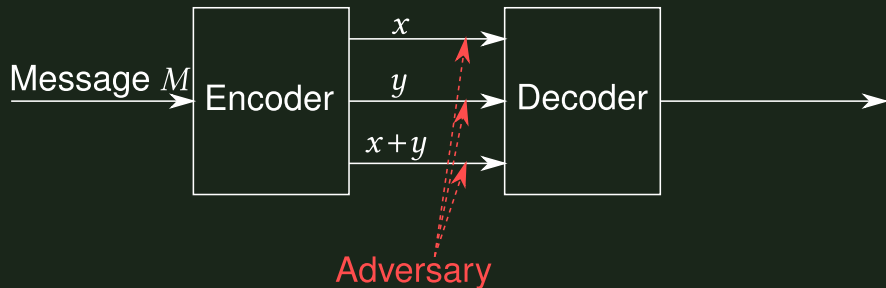
(3,1) MDS code: Let  $M \in \mathbb{F}$



Achieves  $R = 1$

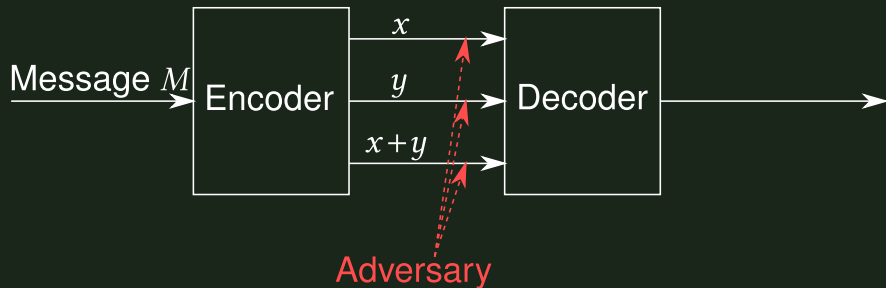
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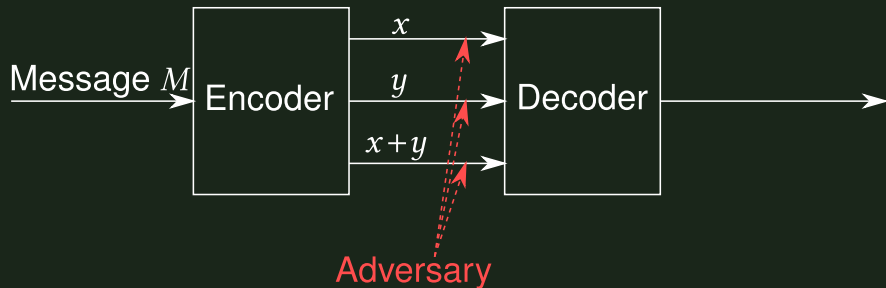
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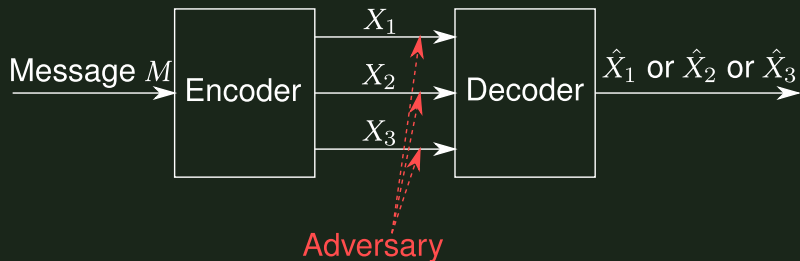
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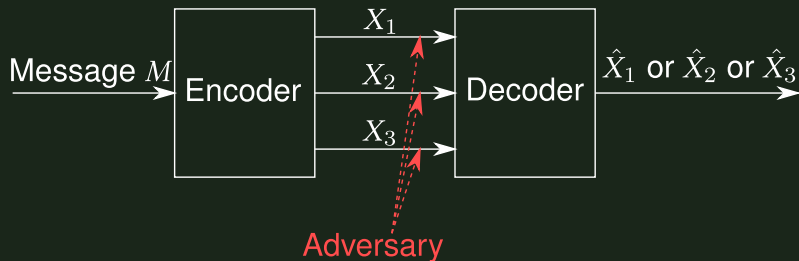


- If adversary alters one of the packets, decoder cannot tell which
- Finite field code cannot do better than  $R = 1$

# What would it take to achieve $R = 2$ ?

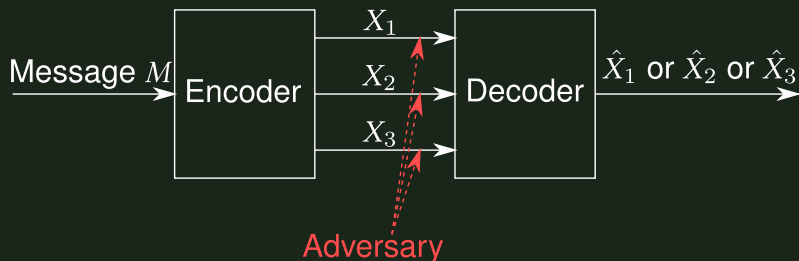


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■  $H(X_i, X_j) = H(M) = 2q$

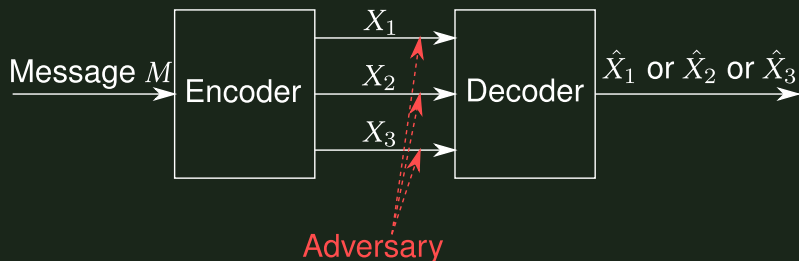
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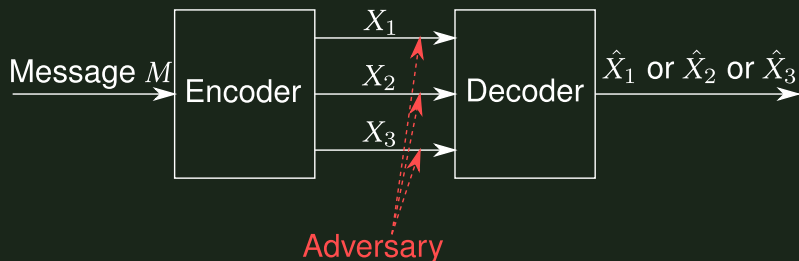


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$$p(x_1) p(x_2) p(x_3)$$

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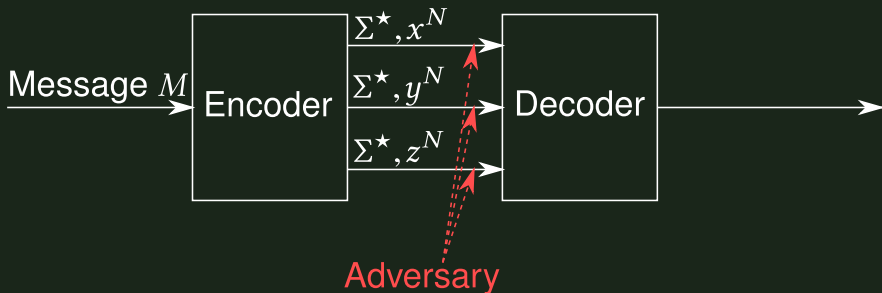
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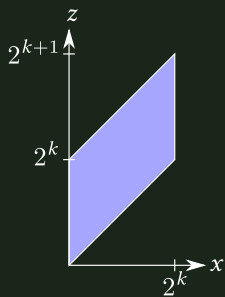
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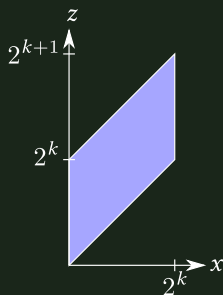


# MDS structure



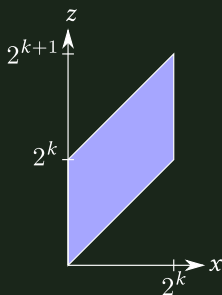


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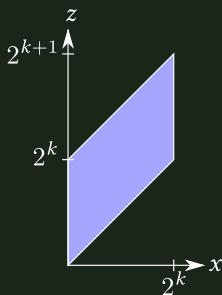
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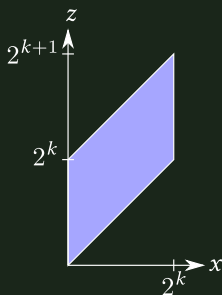
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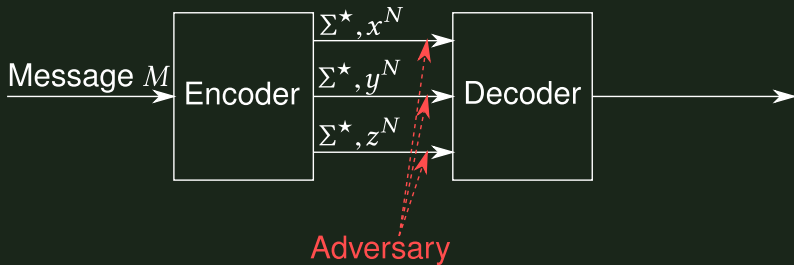
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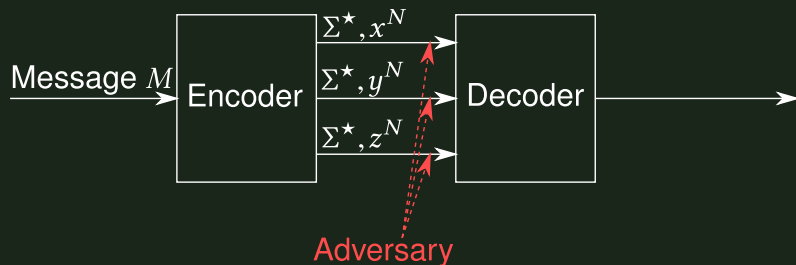


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- $(x^N, y^N, z^N)$  form a (3, 2) MDS polytope code

# Decoding

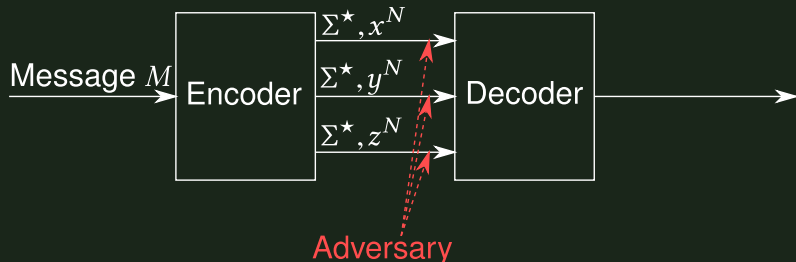


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- Recover the **should-be** covariance  $\Sigma^*$  using majority rule

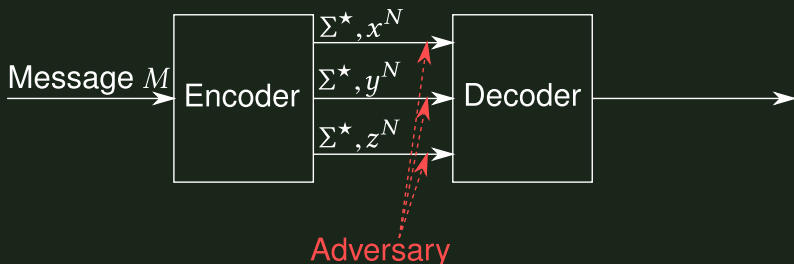
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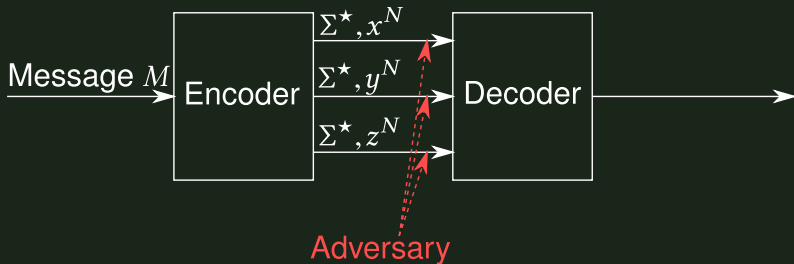
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- By comparing  $\Sigma^*$  with  $\Sigma$ , the decoder can always find a trustworthy packet

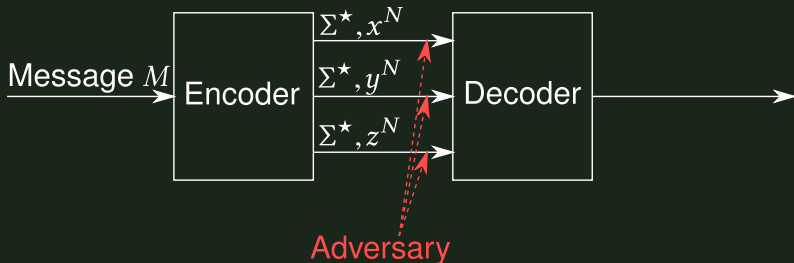


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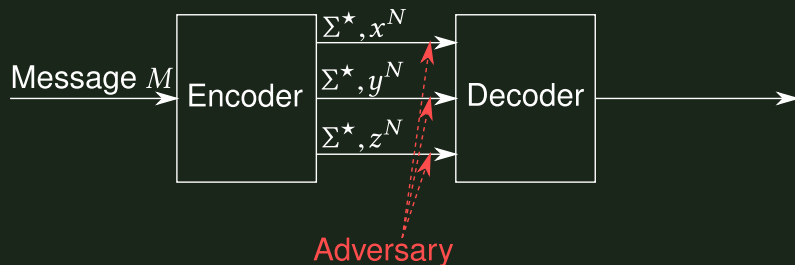
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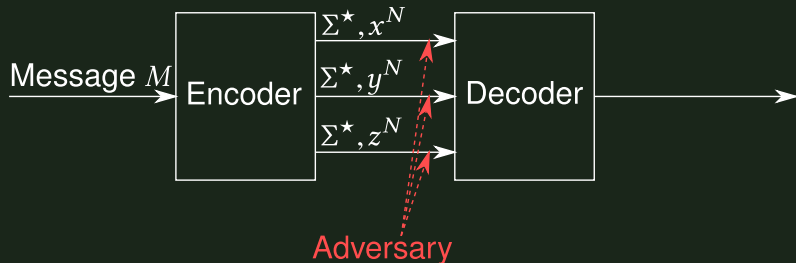
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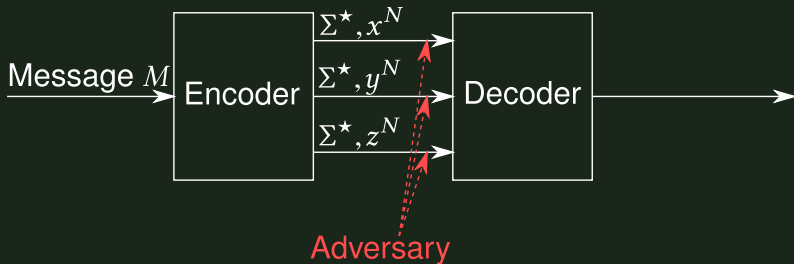
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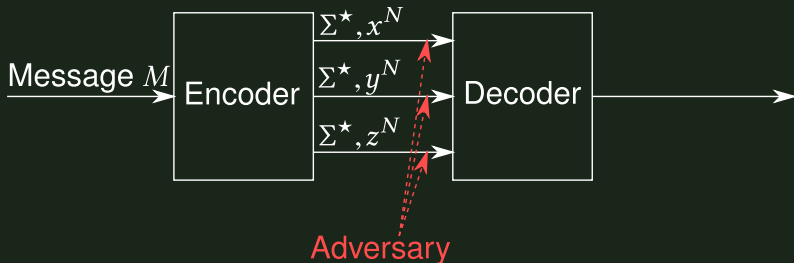
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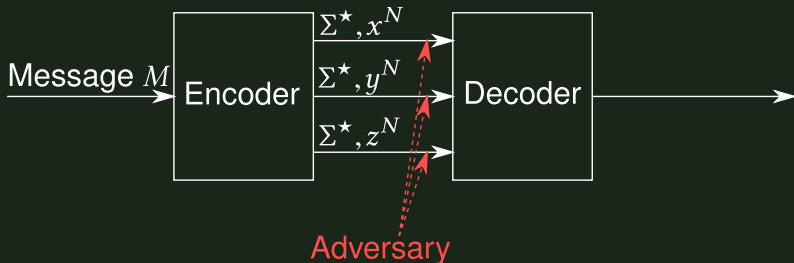
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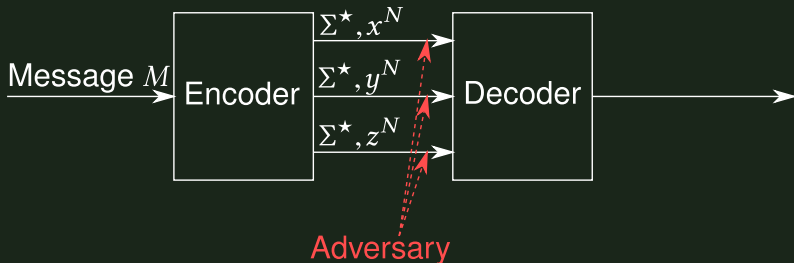
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- Polytope codes in network coding
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These constructions can mimic most finite field linear codes

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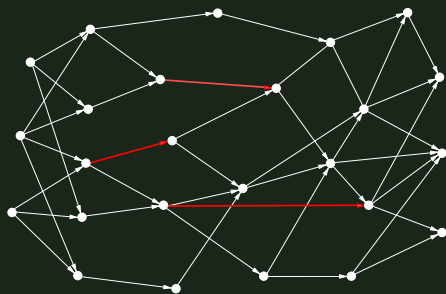
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For  $C$  satisfying  $CA = 0$ ,  $\|Cy^N\|^2 = 0$ , so  $Cy^N = 0$ , i.e. all linear constraints match

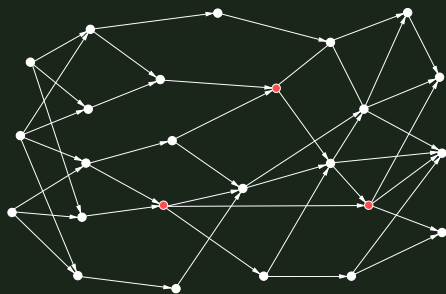
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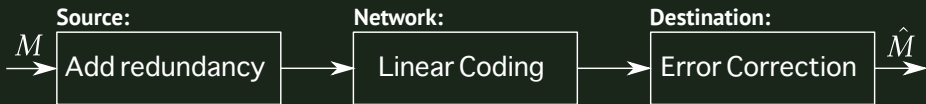
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Can be viewed as a separation theorem:



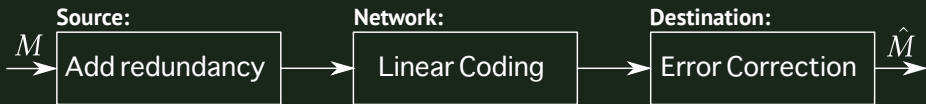
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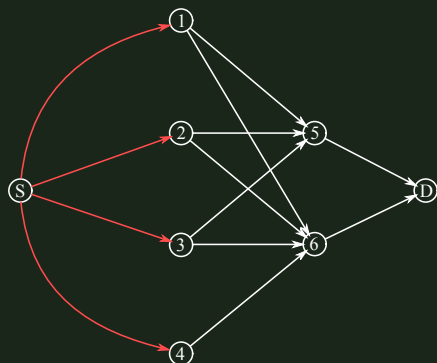
- Converse via network version of the Singleton bound
- Achievability via network version of (linear) MDS codes

Can be viewed as a separation theorem:



Polytope codes allow error detection/correction **inside the network**

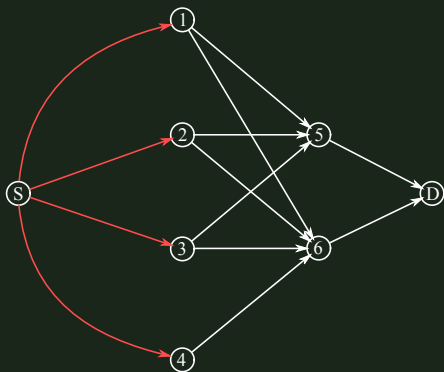
# The Caterpillar Network



- Single unicast from  $S$  to  $D$
- All links have unit capacity
- Adversary may control any **one** of the red edges
- Simple upper bound:  $C \leq 2$

# Polytope Code Achievability

Let message  $m = (x^N, y^N)$ , where  $x^N, y^N \in \{1, \dots, 2^k\}^N$



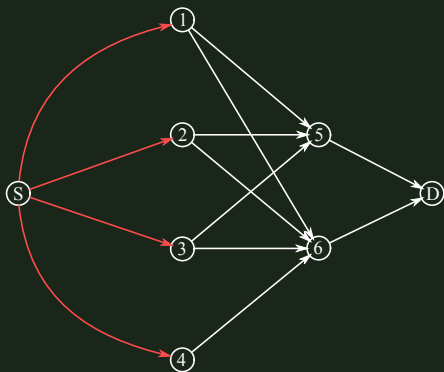
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$$\Sigma^* = m m^T$$



# Polytope Code Achievability

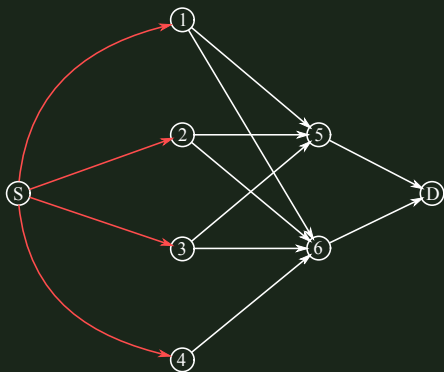
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(4, 2) MDS polytope code



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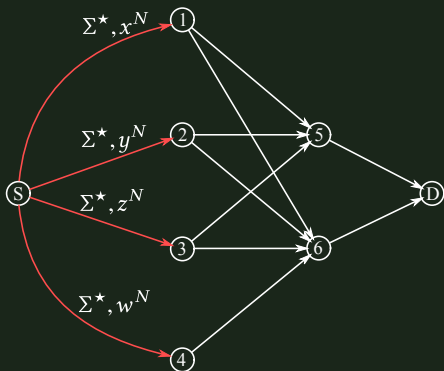
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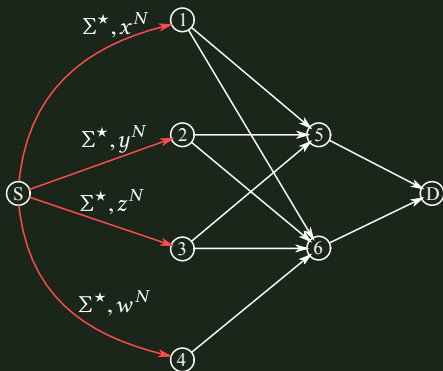
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- At node 5, determine one uncorrupted packet

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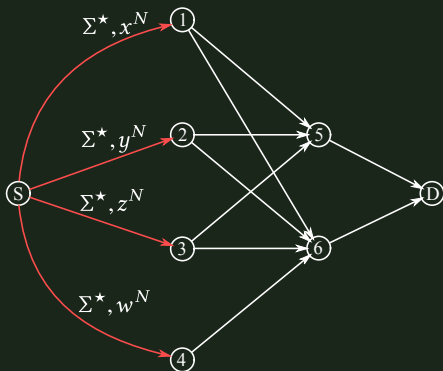
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- At node 5, determine one uncorrupted packet
- At node 6, decode the message and transmit a different uncorrupted packet

# Polytope Code Achievability

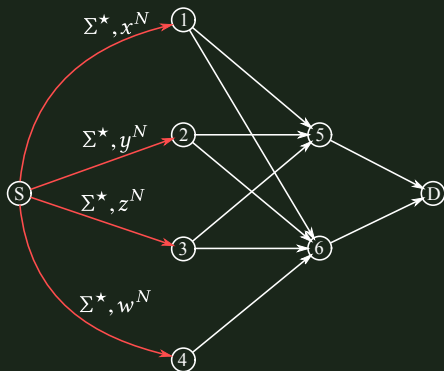
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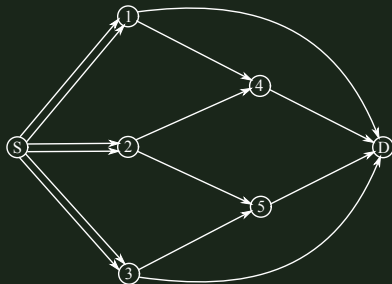
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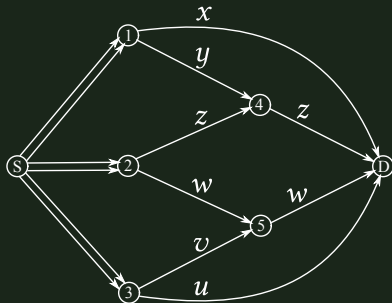
No finite field linear code achieves this rate

# Cockroach Network



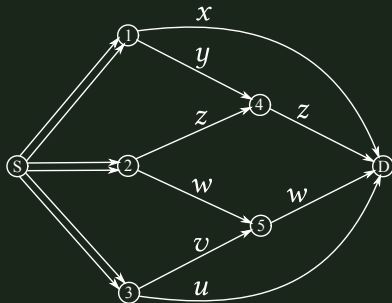
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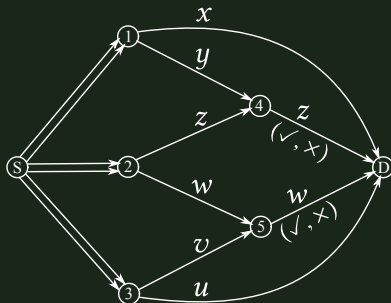
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- $\Sigma^*$  included in all packets
- Nodes 4 and 5 compare covariance of incoming pair of packets — transmit outcome of comparison

# A Class of Networks Solved by Polytope Codes

## Theorem (Kosut-Tong-Tse (2014))

*Polytope codes achieve the cut-set bound if*

- *Network is planar*
- *1 adversary node*
- *No node has more than 2 unit-capacity output edges*
- *No node has more outputs than inputs*



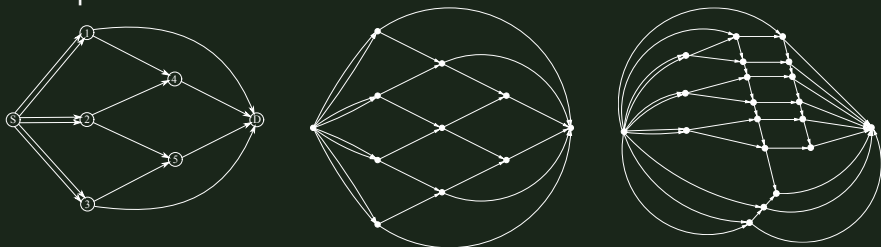
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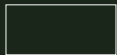
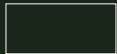
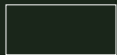
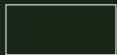
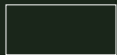
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Examples:

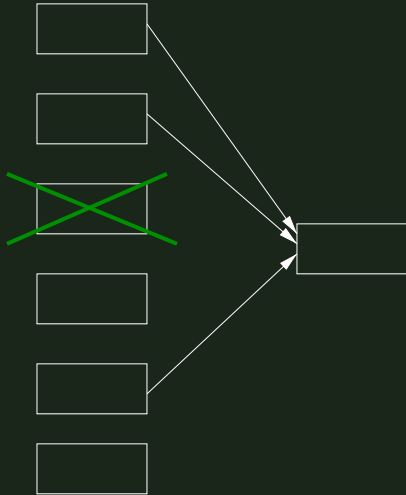


- Polytope codes in general
- Polytope codes in network coding
- Polytope codes in distributed storage systems
- Polytope codes in multiple descriptions

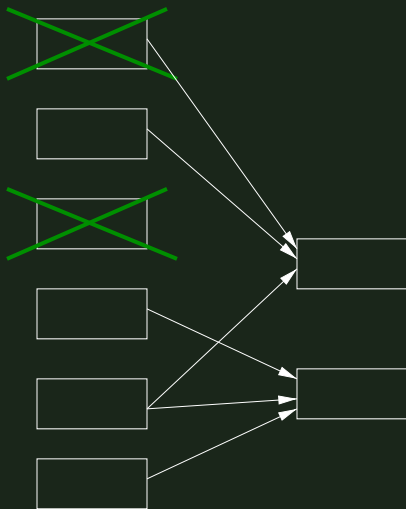
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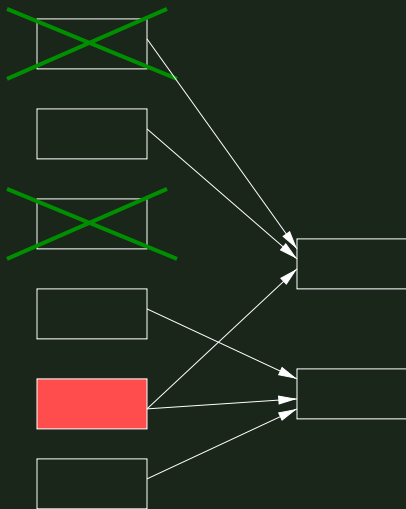
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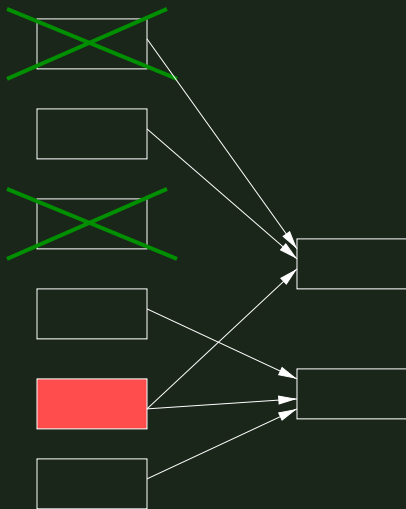


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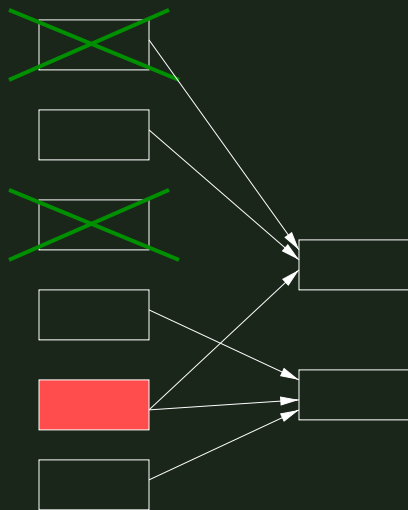


# Distributed Storage Systems

- Single adversarial node may transmit many times



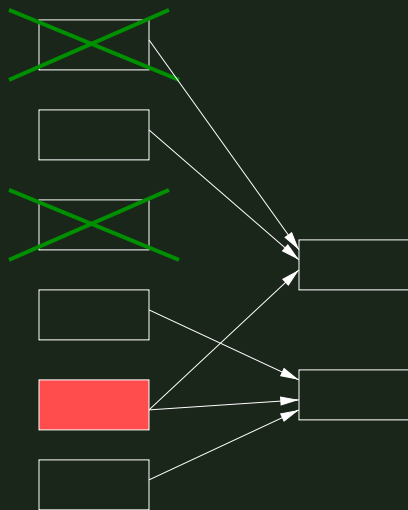
# Distributed Storage Systems



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# Distributed Storage Systems



- Single adversarial node may transmit many times
- Naturally suited to the node-based adversary model
- Functional repair rather than exact repair

# Parameters

- $\alpha$ : Storage capacity of single node
- $\beta$ : Download bandwidth when forming new node
- $n$ : Number of active storage nodes
- $k$ : Number of nodes contacted by data collector (DC) to recover file
- $d$ : Number of nodes contacted to construct new node
- $z$ : Number of (simultaneous) adversarial nodes

## Existing Bounds

- Pawar-El Rouayheb-Ramchandran (2011): Storage capacity is upper bounded by

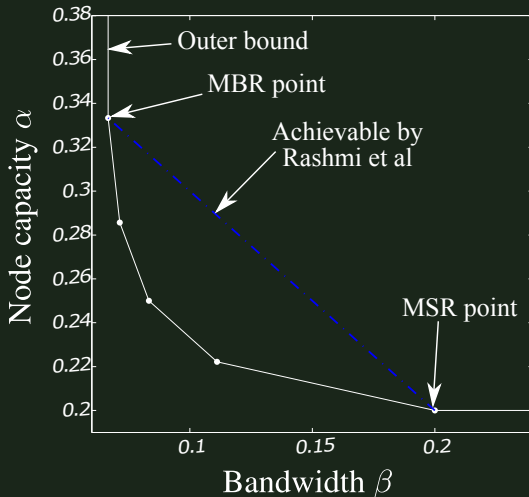
$$C \leq \sum_{i=0}^{k-2z-1} \min\{(d-2z-i)\beta, \alpha\}$$

Identical to bound without adversaries where  $k \rightarrow k - 2z$  and  $d \rightarrow d - 2z$

- Rashmi et al (2012): The Minimum Storage Regeneration (MSR) and Minimum Bandwidth Regeneration (MBR) points are achievable with exact repair

# Existing Bounds, Ctd.

Parameters:  $n = 8, k = d = 7, z = 1$



# Structure of Polytope Code for DSS

- Initial file to store  $m \in \{1, 2, \dots, 2^k\}^{R \times N}$

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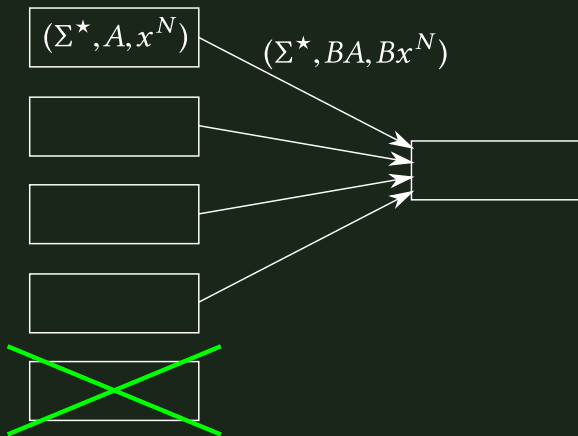
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- Covariance  $\Sigma^* = m m^T$
- All packets are of the form  $(\Sigma^*, A, x^N)$  where initially  $x^N = Am$
- For storage packet  $x^N \in \{1, 2, \dots, 2^k\}^{\alpha \times N}$   
For transmission packet  $x^N \in \{1, 2, \dots, 2^k\}^{\beta \times N}$



# Messages for new node

Choose linear transformation  $B \in \mathbb{Z}^{\beta \times \alpha}$



## New Node Construction

Given  $(\Sigma^*, A_i, y_i^N)$  for  $i = 1, 2, \dots, d$

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- **Goal:** Find trustworthy packets from which to form stored data

## Syndrome Graphs

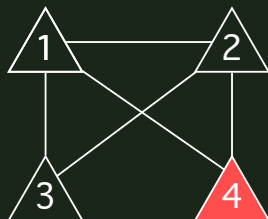
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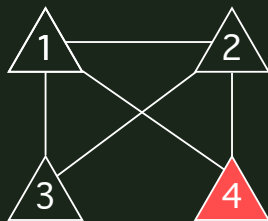
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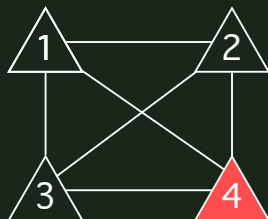


- Use packets 1 and 2 to form stored data
- This is the typical case where  $d - 2z$  trustworthy packets can be identified

# Syndrome Graphs

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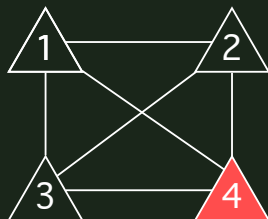
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Example:  $d = 4$  and  $z = 1$ :



- Use all packets to form stored data
- Linear constraints (because covariances match) mean the adversary data is uncorrupted

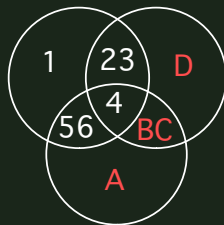
# Syndrome Graphs

The honest nodes form a clique of size  $d - z$

Example:  $d = 10$  and  $z = 4$

- Call honest nodes 1,2,3,4,5,6 and adversary nodes A,B,C,D
- Three cliques of size 6:

123456  
456ABC  
234BCD





## Algorithm to find trustworthy packets

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  - If  $R \leq (d - z)\beta$ , then linear constraints ensure all stored data is uncorrupted
  - This procedure always finds at least  $d - v_z$  packets where

$$v_z = (\lfloor \frac{z}{2} \rfloor + 1)(\lceil \frac{z}{2} \rceil + 1)$$

$z$	1	2	3	4	5	6
$v_z$	2	4	6	9	12	16

Note  $v_z = 2z$  only for  $z \leq 3$

# Resulting Achievability Bound

## Theorem (Kosut (2013))

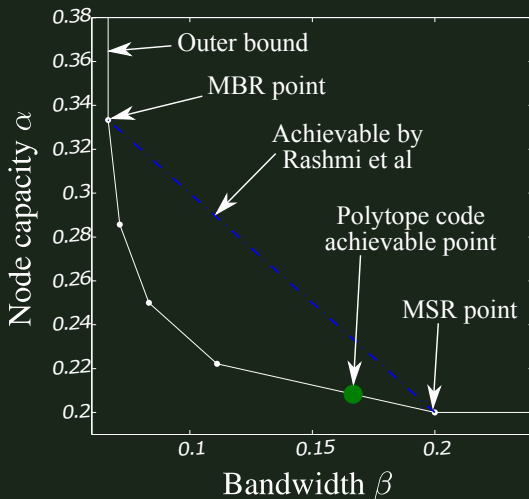
*There exists a distributed storage code achieving rate*

$$\min \left\{ \sum_{i=0}^{k-v_z-1} \min\{(d-v_z-i)\beta, \alpha\}, (d-z)\beta, (k-z)\alpha \right\}.$$

*where*  $v_z = (\lfloor \frac{z}{2} \rfloor + 1)(\lceil \frac{z}{2} \rceil + 1)$ .

# Achievability Plot

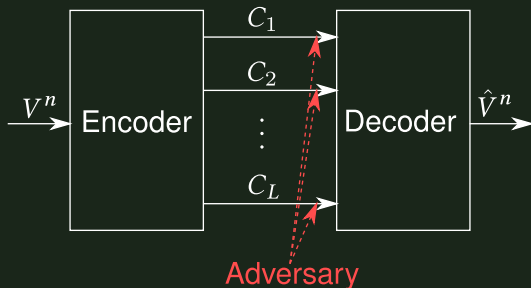
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# Adversarial Multiple Descriptions

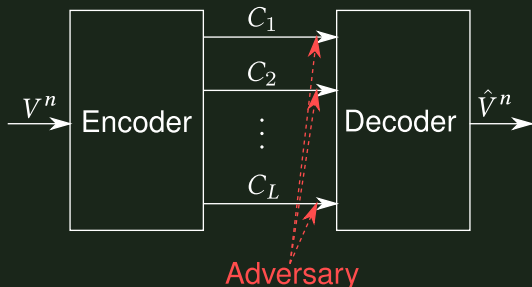
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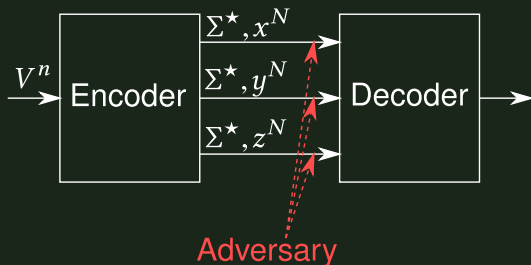


Construct a single code that fails gracefully — fewer adversarial packets gives smaller distortion

- $V^n \in \{0,1\}^n$
- $C_i \in \{1,2,\dots,2^{nR}\}$
- Adversary controls  $z$  packets
- Distortion:  $D = \sum_{i=1}^n d(X_i, \hat{X}_i)$  where  $d$  is the erasure distortion

## 3-Description Example

- $R = 1/2$
- Write  $V^n = (x^N, y^N)$  where  $x^N, y^N \in \{1, 2, \dots, 2^k\}^N$
- $z^N = x^N + y^N$

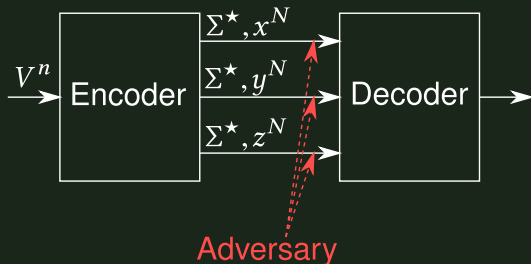


- If  $z = 0$ , then entire source sequence can be decoded, so  $D = 0$
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**Problem:**  $z^N$  is not a systematic part of source  $V^n$

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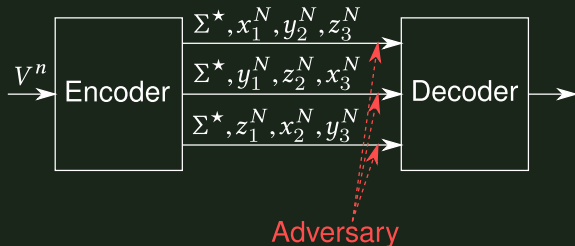
- $V^n = (V_1^{n/3}, V_2^{n/3}, V_3^{n/3})$ , and write  $V_i^{n/3} = (x_i^N, y_i^N)$

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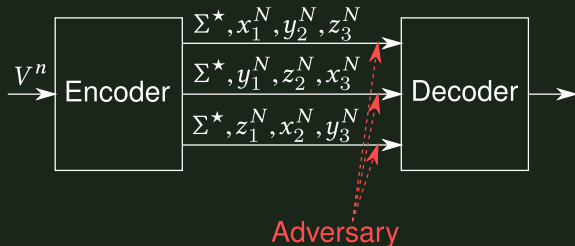
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## 3-Description Example

- $V^n = (V_1^{n/3}, V_2^{n/3}, V_3^{n/3})$ , and write  $V_i^{n/3} = (x_i^N, y_i^N)$
- $z_i^N = x_i^N + y_i^N$  for  $i = 1, 2, 3$



- Decoder can always identify one trustworthy packet, containing two systematic parts of  $V^n$
- Thus  $D = 2/3$

# Conclusions

- Polytope codes operate on the integers and can mimic most finite field codes
- Covariances are used as checksums, allowing for:
  - Partial decoding
  - Distributed error detection/correction
- Polytope codes outperform finite field codes, but many achievable results have no matching converse
  - seems to be very hard to find the best polytope code
- All results for omniscient adversary — weaker adversary models require different techniques