# Non-malleable codes in the split-state model 

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## Tampering Experiment

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\mathrm{c} \xrightarrow{\mathrm{f}} \mathrm{c}^{*}
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- Consider a tamperable communication channel.


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\end{gathered}
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We want

- Correctness: $\forall m, \operatorname{Dec}(\operatorname{Enc}(m))=m$.
- Simulation: $\forall f \in \mathcal{F}, \quad \exists g \in \mathcal{G}, \quad$ where
- $\mathcal{F}$ is large and realistic against attacks/channels.
- $\mathcal{G}$ small and "easy to handle".


## Example: Error-correcting codes



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## Example: Error-correcting codes



- $\mathcal{G}=\{l d\}$ is "easy to handle".
- $\mathcal{F}$ realistic/useful.
- Constructions: Hadamard, Reed-Solomon, Reed-Muller, etc..


## Example: Error-detecting codes



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\end{gathered}
$$



Same constructions as those for ECC.

## Example: Error-detecting codes



AMD Codes: Application in robust fuzzy extractors and secret sharing [CDFPW12], NM-codes [DPW10], etc.

## Error-correction/detection impossible



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Let $c^{*}=\operatorname{Enc}\left(m^{\prime}\right)$ for some fixed $m^{\prime}$.

Thus, $\operatorname{Dec}\left(c^{*}\right)=m^{\prime} \notin\{m, \perp\}$.

## Non-malleable codes



## Non-malleable codes



Is NM "realistic/easy-to-handle"? When is it useful?

## Application of Non-malleable codes

- Consider Sign $_{s k}$ (userID, $m$ ).
- Task: How to protect sk against tampering attack.
- Encode sk using non-malleable code.
- Thus, $s k^{*}=\operatorname{Dec}(f(\operatorname{Enc}(s k)))$ is either equal to $s k$ or unrelated.
- Thus, cannot use $\operatorname{Sign}_{s k^{*}}($ userID, $\cdot)$ to forge $\operatorname{Sign}_{s k}($ userID',$\cdot)$.


## Non-malleable codes: Formal Definition

Let (Enc, Dec) be a coding scheme with Enc randomized, and $\operatorname{Dec}$ deterministic, s.t. $\forall m \operatorname{Dec}(\operatorname{Enc}(m))=m$,

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Note: $T$ is independent of $m$.
Thus, intuitively, either $m^{*}=m$ or they are unrelated.

## Which realistic families $\mathcal{F}$ can we tolerate?



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$\forall g \in \mathcal{F}_{\text {all }}$, let $f(c)=\operatorname{Enc}(g(\operatorname{Dec}(c)))$.

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- Open Question: Efficient construction for $t$ constant, $k$ large.

YES (this talk). We show several constructions, including $t=2$ and constant rate (i.e. code length is $\Theta(k)$ ).

## NM-codes in the $t$-split state model

$$
\left.\mathrm{m} \xrightarrow{\text { Enc }} \left\lvert\, \begin{array}{lll}
\mathrm{X}_{1} \xrightarrow[\mathrm{X}_{1}]{\mathrm{f}_{1}} & \mathrm{X}_{1}^{*} \\
\rightarrow \mathrm{X}_{2} \xrightarrow[2]{\mathrm{f}_{2}} & \mathrm{X}_{2}^{*} \\
\rightarrow \mathrm{X}_{3} \xrightarrow[\mathrm{f}_{3}]{ } & \mathrm{X}_{3}^{*} \\
\rightarrow \mathrm{X}_{4} \xrightarrow[\mathrm{f}_{4}]{ } & \mathrm{X}_{4}^{*} \\
-\mathrm{X}_{5} \xrightarrow[5]{\mathrm{f}_{5}} \mathrm{X}_{5}^{*}
\end{array}\right.\right] \xrightarrow{\text { Dec }} \mathrm{m}^{*}
$$

The coding scheme is non-malleable w.r.t. family $\mathcal{F}_{\text {t-split }}$, if
$\forall f_{1}, \ldots, f_{t}, \exists T$ which is a probabilistic combination of:

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Common outline for our results: Non-malleable reductions [ADKO15]

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An NM-code for $\mathcal{F}$ can be viewed as $\mathcal{F} \Rightarrow \mathrm{NM}$, where NM is the function family comprising of

- constant functions
- identity function


## Non-malleable Reduction: Composability

Theorem
For all $\mathcal{F}, \mathcal{G}, \mathcal{H}$, we have that

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\mathcal{F} \Rightarrow \mathcal{G}, \text { and } \mathcal{G} \Rightarrow \mathcal{H}, \text { implies } \mathcal{F} \Rightarrow \mathcal{H} .
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Make families simpler, until non-malleable.

## Our results



ADL14 gives a scheme for encoding $k$-bit messages to $\Theta\left(k^{7}\right)$-bit codewords.

ADKO15 gives a scheme for encoding $k$-bit messages to $\Theta(k)$-bit codewords.

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- This can be a problem at times, but for our constructions, we can get around it.
- Argue non-malleability only for a uniformly random message $M$.


## $\mathcal{F}_{\text {split }} \Rightarrow \mathcal{F}_{\text {affine }}$

$U=U_{\mathbb{F}_{p}}, p=\operatorname{poly}(k)$ is a prime
$\operatorname{Enc}_{1}(U)=L, R \in \mathbb{F}_{p}^{n}$ s.t. $\langle L, R\rangle=U, \quad n=\operatorname{poly}(\log k)$.

We show:

$$
\forall f, g, \quad(\langle L, R\rangle,\langle f(L), g(R)\rangle) \approx\left(U, A_{f, g} U+B_{f, g}\right) .
$$

## Proof Step 1: Partitioning Lemma

Fix $f, g$. Let $\phi(L, R):=(\langle L, R\rangle,\langle f(L), g(R)\rangle)$

$$
\mathcal{D}:=\{D: D \text { is a conv. comb. of }(U, a U+b), a, b \in \mathbb{F}\}
$$



It is enough to partition $\mathbb{F}_{p}^{n} \times \mathbb{F}_{p}^{n}$ into "good" and "bad" rectangles such that

- If $S$ is a good set, then $\left.\phi(L, R)\right|_{(L, R) \in S}$ is close to some distribution in $\mathcal{D}$.
- The union of all bad sets has size much smaller than $p^{2 n}$.


## Our partitioning

We partition $\mathbb{F}_{p}^{n} \times \mathbb{F}_{p}^{n}$ into four type of rectangles.

- Type 1: $g(R)=a$ for some $a \in \mathbb{F}_{p}^{n}$. Then $\phi=(\langle L, R\rangle,\langle f(L), g(R)\rangle)$ is close to ( $U_{\mathbb{F}_{p}},\langle f(L), a\rangle$ ) which belongs to $\mathcal{D}$.


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- Type 3: $f(L)=A L$ for some $A \in \mathbb{F}_{p}^{n \times n}$, and $A^{T} g(R)=c R+d$, for $c \in \mathbb{F}_{p}$, and $d \in \mathbb{F}_{p}^{n}$, which implies

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\phi=(\langle L, R\rangle, \quad c\langle L, R\rangle+\langle L, d\rangle)
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which is in $\mathcal{D}$ if the partition $S$ is large enough.

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We show that the set $\mathbb{F}_{p}^{n} \times \mathbb{F}_{p}^{n}$ can be partitioned into sets of the above four types such that the total size of "bad" sets is much smaller than $p^{2 n}$.

## Main tools used for the proof

- Linearity test [BSG94, Sam07, San12] : For $f: \mathbb{F}_{p}^{n} \mapsto \mathbb{F}_{p}^{n}$

$$
\operatorname{Pr}\left(f(L)-f\left(L^{\prime}\right)=f\left(L-L^{\prime}\right)\right) \geq \varepsilon \Rightarrow \exists A \quad \operatorname{Pr}(f(L)=A L) \geq p^{-\log ^{6}(1 / \varepsilon)} .
$$

- We need a generalized version, for which we show that essentially the same proof works.
- Hadamard Extractor: $\langle\cdot, \cdot\rangle$ is a strong 2-source extractor.
- (Generalized) Vazirani's XOR Lemma: $\left(X_{1}, X_{2}\right)$ is close to uniform in $\mathbb{F}_{p} \times \mathbb{F}_{p}$ if and only if $a X_{1}+b X_{2}$ is close to uniform in $\mathbb{F}_{p}$ for all $a, b \in \mathbb{F}_{p}$, not both zero.



## Step two: $\mathcal{F}_{\text {affine }} \Rightarrow \mathrm{NM}$

$$
\mathrm{m} \xrightarrow{\mathrm{Enc}_{2}} \mathrm{c} \xrightarrow{\mathrm{~h}_{\mathrm{A}, \mathrm{~B}}} \mathrm{Ac}+\mathrm{B} \xrightarrow{\mathrm{Dec}_{2}} \mathrm{~m}^{*}
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Define an affine-evasive set $\mathcal{C}$ of $\mathbb{F}_{p}$ as a set s.t. for $C$ chosen uniformly at random from $\mathcal{C}$,

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\forall a, b \in \mathbb{F}_{p} \times \mathbb{F}_{p} \text { s.t. } a \neq 0 \text { and }(a, b) \neq(1,0)
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\end{array}
$$

Partition $\mathcal{C}$ into equal parts $\mathcal{C}_{1}, \ldots, \mathcal{C}_{|\mathcal{M}|}$ and define

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\mathrm{Dec}_{2}(c)=m, \text { if } c \in \mathcal{C}_{m}, \text { and } \perp, \text { otherwise }
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An affine-evasive set construction modulo $p$ [A14]:

$$
S:=\left\{\left.\frac{1}{q}(\bmod p) \right\rvert\, q \text { is prime }, \quad q<\frac{p^{1 / 4}}{2}\right\} .
$$



## Our second result [ADKO15]

## NM-reduction from 2-split to $t$-split for large constant $t$

$k$-bit messages $\Longrightarrow \Theta(k)$-bit codewords.


## Some natural tampering families

- $\mathcal{S}_{n}^{t}$ denotes the tampering family in the $t$-split-state model with each part having length $n$.


## Some natural tampering families

- $\mathcal{S}_{n}^{t}$ denotes the tampering family in the $t$-split-state model with each part having length $n$.
- $\mathcal{L}_{n}^{\leftarrow^{t}}$ denotes the class of lookahead manipulation functions I that can be rewritten as $I=\left(I_{1}, \ldots, I_{t}\right)$, for $I_{i}:\{0,1\}^{\text {in }} \rightarrow\{0,1\}^{n}$, where

$$
I(x)=I_{1}\left(x_{1}\right)\left\|I_{2}\left(x_{1}, x_{2}\right)\right\| \ldots\left\|I_{i}\left(x_{1}, \ldots, x_{i}\right)\right\| \ldots \| I_{t}\left(x_{1}, \ldots, x_{t}\right)
$$

$\mathcal{S}_{3 t n}^{2}(\Rightarrow) \mathcal{L}_{n}^{\leftarrow t}$

Quentin: $Q, S_{1}$
Wendy W


Figure: Alternating Extraction
$\mathcal{S}_{3 t n}^{2}(\Rightarrow) \mathcal{L}_{n}^{\leftarrow t}$

Quentin: $Q, S_{1}$
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$$
\begin{array}{ll}
S_{1} & \begin{array}{c}
S_{1} \\
R_{1} \\
S_{2}
\end{array} \\
S_{2}=\operatorname{Ext}\left(Q ; R_{1}\right) & R_{1}=\operatorname{Ext}\left(W ; S_{1}\right)
\end{array}
$$

- $\operatorname{Dec}\left(\left(Q, S_{1}\right), W\right)=S_{1}, \ldots, S_{t}$.
- Alternating Extraction Theorem [DP07] shows:

$$
S_{i+1}, \ldots, S_{t} \approx U \text {, given } S_{1}, \ldots, S_{i}, S_{1}^{\prime}, \ldots, S_{i}^{\prime}
$$

- Intuitively, this implies

$$
\forall i, S_{i}^{\prime} \text { is independent of } S_{i+1}, \ldots, S_{t}
$$

$\mathcal{S}_{3 t n}^{2}(\Rightarrow) \mathcal{L}_{n}^{\leftarrow t}$

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Figure: Alternating Extraction


## $\mathcal{L}_{2 t \ell}^{\leftarrow t} \times \mathcal{L}_{2 t \ell}^{\leftarrow t} \Rightarrow \mathcal{S}_{\ell}^{t}$

Define the reduction by the following:

$$
\operatorname{Dec}(L, R):=\left(\left\langle L_{t}, R_{1}\right\rangle,\left\langle L_{t-1}, R_{2}\right\rangle, \ldots\left\langle L_{1}, R_{t}\right\rangle\right),
$$

where $\langle\cdot, \cdot\rangle$ is the $\ell$-bit inner product (interpreting $L_{i}, R_{i}$ as elements of $\mathbb{F}_{2^{n}}^{2 t}$.

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Intuitively, the result follows from the observation (using the Hadamard two-source extractor property) that $b_{i}=\left\langle L_{t-i+1}, R_{i}\right\rangle$ is close to uniform given $b_{j}^{\prime}=\left\langle L_{t-j+1}^{\prime}, R_{j}^{\prime}\right\rangle$ for $j \neq i$.

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Formal proof: More subtle due to joint distributions. See paper.


## Summarizing and Composing the two reductions

We showed:

- $\mathcal{S}_{3 \text { tn }}^{2}(\Rightarrow) \mathcal{L}_{n}^{\leftarrow t}$
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- $\mathcal{S}_{O\left(t^{3} n\right)}^{2} \Rightarrow \mathcal{L}_{n}^{\leftarrow^{t}} \times \mathcal{L}_{n}^{\leftarrow t} \cup \ldots \quad$ (only works for constant $t$ ).

This implies:

$$
\mathcal{S}_{\text {poly }(t) \cdot \ell}^{2} \Rightarrow \mathcal{S}_{\ell}^{t} .
$$

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Our work combined with an independent work [CZ14] gives constant rate 2 -split NM-Codes.

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This combined with our reduction gives:

$$
\mathcal{S}_{\Theta(\ell)}^{2} \Rightarrow N M_{\ell} .
$$



## Future work

The following are major open questions in this area.

- Optimizing the rate of the NM-code construction in split-state model, either by improving our proof techniques, or using some other construction.
- Proposing other useful tampering models.
- Other applications of NM-codes. There has been some recent work in this direction by [CMTV14] and [AGMPP14].


## Thank You

