Sequential Process Calculus and Machine Models for Simulation-based Security

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Joint work with Anupam Datta, John Mitchell, and Ajith Ramanathan

### **Simulation-based Security**

### Basic idea:

- 1. Describe security requirement in terms of an ideal protocol/functionality  $\mathcal{F}$ .
- 2. A real protocol  $\mathcal{P}$  is secure w.r.t.  $\mathcal{F}$  (realizes  $\mathcal{F}$ ) if everything that can happen to  $\mathcal{P}$  can also happen to  $\mathcal{F}$ .
- 3. Goal: Security preserved under composition (composition theorem).

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But... Many different computational settings and security notions.

# Canetti 2001 (PITM)

Computational model:

1. Computational entities:

Probabilistic polynomial-time interacting turing machines (PITMs)

2. Communication model:

In a real, ideal, and hybrid model specific ways of communication via tapes between an environment, a (real/ideal) adversary, and the (real/ideal) protocol are defined.

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Security notion: Universal composability (UC).

 $\mathcal{P}$  and  $\mathcal{F}$  are UC if  $\forall \mathcal{A} \exists \mathcal{I} \forall \mathcal{E}$ :



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General communication model where PIOAs communicate through buffers that need to be triggered to deliver a message. (No need to distinguish between real, ideal, and hybrid communication.)

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Security notions: UC + (strong) Black-box Simulatability (SBB).

 $\mathcal{P}$  and  $\mathcal{F}$  are SBB if  $\exists S \forall \mathcal{A} \forall \mathcal{E}$ :



## Weak Black-box Simulatability (WBB)

 $\mathcal{P}$  and  $\mathcal{F}$  are WBB if  $\forall \mathcal{A} \exists \mathcal{S} \forall \mathcal{E}$ :



Used in the literature to show UC (obviously: WBB implies UC).

# Lincoln, Mitchell<sup>2</sup>, Scedrov 1998 (PPC)

Computational model:

1. Computational entities:

Probabilistic Polynomial-time Processes

2. Communication model:

Probabilistic Process Calculus (PPC).

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Probabilistic Polynomial-time Processes

2. Communication model:

Probabilistic Process Calculus (PPC).

Security notions: Process Congruence/Strong Simulatability (SS)

 $\mathcal{P}$  and  $\mathcal{F}$  are SS if  $\exists S \forall \mathcal{E}$ :



### **Even More Variety**

Different variants of UC, BB, and SS have been considered!

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Who should be the master process?

 $\mathcal{P}$  and  $\mathcal{F}$  are UC if  $\forall \mathcal{A} \exists \mathcal{I} \forall \mathcal{E}$ :



Literature provides different answers:

UC(  $\mathcal{A}$ : **R**,  $\mathcal{I}$ : **R**,  $\mathcal{E}$ : **MD** ) Canetti 2001

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UC(	$\mathcal{A}$ : R,	$\mathcal{I}$ : R,	$\mathcal{E}$ : <b>MD</b>	)	Canetti 2001
UC(	$\mathcal{A}$ : $\mathbf{M}$ ,	$\mathcal{I}$ : M,	$\mathcal{E}$ : <b>D</b>	)	Pfitzmann, Waidner 2001

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UC(	$\mathcal{A}$ : M,	$\mathcal{I}$ : M,	$\mathcal{E}$ : MD	)	Backes, Pfitzmann, Waidner 2004

### SBB

 $\mathcal{P}$  and  $\mathcal{F}$  are SBB if  $\exists S \forall \mathcal{A} \forall \mathcal{E}$ :



#### Variants:

$\mathcal{A}$ : M,	$\mathcal{S}$ : $\mathbf{M}$ ,	$\mathcal{E}$ : <b>D</b>	)
$\mathcal{A}$ : $\mathbf{M}$ ,	$\mathcal{S}$ : $\mathbf{M}$ ,	$\mathcal{E}$ : MD	)
$\mathcal{A}$ : $\mathbf{M}$ ,	$\mathcal{S}$ : R,	$\mathcal{E}$ : MD	)
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## Weak Black-box Simulatability (WBB)

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#### Variants:

WBB(	$\mathcal{A}$ : $\mathbf{M}$ ,	$\mathcal{S}$ : M,	$\mathcal{E}$ : <b>MD</b>	)
WBB(	$\mathcal{A}$ : $\mathbf{M}$ ,	$\mathcal{S}$ : R,	$\mathcal{E}$ : MD	)
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WBB(	$\mathcal{A}$ : R,	$\mathcal{S}$ : R,	$\mathcal{E}$ : MD	)
WBB(	$\mathcal{A}$ : M,	$\mathcal{S}$ : M,	$\mathcal{E}$ : D	)
WBB(	$\mathcal{A}$ : $\mathbf{M}$ ,	$\mathcal{S}$ : R,	<i>E</i> : <b>D</b>	)

SS

 $\mathcal{P}$  and  $\mathcal{F}$  are SS if  $\exists S \forall \mathcal{E}$ :

 ${\mathcal E}$ 



 ${\mathcal F}$ 

Variants:

$$SS( S: \mathbf{R}, \mathcal{E}: \mathbf{MD} )$$
$$SS( S: \mathbf{M}, \mathcal{E}: \mathbf{MD} )$$

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We introduce Sequential Probabilistic Process Calculus (SPPC).

## Sequential Probabilistic Process Calculus (SPPC)

Syntactic and semantic restriction and extension of PPC.

Example process (simplified) corresponding to an IO automaton/ITM:

$$\begin{split} \mathcal{Q} = & !_{q(\mathbf{n})} \; \inf(c_{\mathbf{s}}, x_{s}). \quad \sum_{c \in \mathcal{C}_{\mathrm{in}}} \inf(c, x). \left( \mathsf{out}(c_{\mathbf{ns}}, T_{ns}(c, x, x_{s})) \mid \mid \\ & \sum_{c' \in \mathcal{C}_{\mathrm{out}}} \inf(c_{\mathbf{ns}}, \langle x'_{s}, c', y \rangle). \left( \mathsf{out}(c_{\mathbf{s}}, x'_{s}) \mid \mid \mathsf{out}(c', y) \right) \right) \end{split}$$

Parallel composition of processes:

 $\mathcal{E} \mid\mid \mathcal{A} \mid\mid \mathcal{P}$ 

Polynomial composition of processes (used in composition theorem):

$$\mathcal{E} \mid\mid \mathcal{A} \mid\mid !_{q(\mathbf{n})} \; \mathcal{P}$$

## Important Feature of SPPC

Sequentiality (unlike PPC): Consider for instance  $\mathcal{E} \parallel \mathcal{A} \parallel \mathcal{P}$ .

- 1. At most one of the three processes is active.
- 2. The active process may send *at most one* message on an external channel *directly* to another process, and by reading the message, this other process is activated.

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In comparison: PITM and PIOA are also sequential, but

**PITM:** Activation scheme is "hard-wired" into real, ideal, hybrid model.

**PIOA:** IO automaton may send *many* messages into different buffers (asynchronous network) and by triggering one buffer one message is delivered.

## Advantage of SPPC

- **Simplicity:** Details of network communication (buffers, specific triggering mechanisms, tapes) are not made explicit in SPPC, but
- **Flexibility:** Are part of the protocol specification. For instance, all of the following can be modeled:
  - 1. Insecure, authenticated, secure channels (with your favorite buffers, tapes,...)
  - 2. Synchronous communication.
  - 3. Broadcasting, etc.

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 $\implies$  SPPC allows to embed other models.

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More specifically, the following notions are equivalent:

- 1. UC( $\mathcal{A}$ : **R**,  $\mathcal{I}$ : **R**,  $\mathcal{E}$ : **MD**).
- 2. UC( $\mathcal{A}$ : **M**,  $\mathcal{I}$ : **M**,  $\mathcal{E}$ : **MD**).
- 3. WBB(A: **R**/**M**, S: **R**/**M**,  $\mathcal{E}$ : **MD**).
- 4. All variants of SS and SBB (independent of whether  $\mathcal{E}$  is **D** or **MD**).

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- 3. WBB( $\mathcal{A}$ :  $\mathbf{R}/\mathbf{M}$ ,  $\mathcal{S}$ :  $\mathbf{R}/\mathbf{M}$ ,  $\mathcal{E}$ :  $\mathbf{MD}$ ).
- 4. All variants of SS and SBB (independent of whether  $\mathcal{E}$  is **D** or **MD**).

Assuming the real protocol  $\mathcal{P}$  is network predictable, i.e., it is possible to predict on what network channels  $\mathcal{P}$  accepts messages depending on the traffic on the network channels.

Without this assumption, SS and SBB are stronger than the other two notions.

Relationships between the security notions in SPPC:







 $\mathsf{WBB}(\mathcal{A}: \mathsf{M}, \mathcal{S}: \mathsf{R}, \mathcal{E}: \mathsf{D})$ 

### **Consequences for other models**

PITM (Canetti 2001):

 $UC(\mathcal{A}: \mathbf{R}, \mathcal{I}: \mathbf{R}, \mathcal{E}: \mathbf{MD}) \iff WBB(\mathcal{A}: \mathbf{R}, \mathcal{S}: \mathbf{R}, \mathcal{E}: \mathbf{MD}) \\ \approx UC'(\mathcal{A}: \mathbf{R}, \mathcal{I}: \mathbf{R}, \mathcal{E}: \mathbf{MD})$ 

### **Consequences for other models**

PIOA:

Pfitzmann, Waidner 2001:

$$\begin{array}{cccc} \mathsf{UC}(\mathcal{A}:\,\mathsf{M},\,\mathcal{I}:\,\mathsf{M},\,\mathcal{E}:\,\mathsf{D}) & \Leftarrow & \mathsf{SBB}(\mathcal{A}:\,\mathsf{M},\,\mathcal{S}:\,\mathsf{M},\,\mathcal{E}:\,\mathsf{D}) \\ & \not\Rightarrow & \end{array}$$

### **Consequences for other models**

# PIOA:

Pfitzmann, Waidner 2001:  $UC(\mathcal{A}: \mathbf{M}, \mathcal{I}: \mathbf{M}, \mathcal{E}: \mathbf{D}) \iff SBB(\mathcal{A}: \mathbf{M}, \mathcal{S}: \mathbf{M}, \mathcal{E}: \mathbf{D})$   $\implies Backes, Pfitzmann, Waidner 2004:$   $UC(\mathcal{A}: \mathbf{M}, \mathcal{I}: \mathbf{M}, \mathcal{E}: \mathbf{MD}) \iff SBB(\mathcal{A}: \mathbf{M}, \mathcal{S}: \mathbf{M}, \mathcal{E}: \mathbf{MD})$   $\implies even \text{ if } \mathcal{P} \text{ is network predictable}$ 

Problem: Buffers and trigger mechanism used in PIOA.

Solution: Drop buffers and let IO automata talk to each other directly (similar to SPPC).

Results provide counterexamples for a theorem proved in Backes et al. 2004.

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### **Correspondence Between PITM and PIOA Results**

Embedding **PITM** into SPPC:

 $UC_{PITM}(\mathcal{P},\mathcal{F})$  iff  $UC_{SPPC}(SPPC(\mathcal{P}),SPPC(\mathcal{F}))$ 

Embedding **PIOA**\* (PIOA without buffers) into SPPC:

 $SBB_{PIOA^*}(\mathcal{P},\mathcal{F})$  iff  $SBB_{SPPC}(SPPC(\mathcal{P}),SPPC(\mathcal{F}))$ 

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Equivalence:  $\mathcal{P}_{PITM}$  (PITM) is equivalent to  $\mathcal{P}_{PIOA^*}$  (PIOA<sup>\*</sup>) iff

 $SPPC(\mathcal{P}_{PITM}) \cong SPPC(\mathcal{P}_{PIOA^*}),$ 

i.e.,  $\mathcal{E} \mid\mid \mathsf{SPPC}(\mathcal{P}_{PITM}) \equiv \mathcal{E} \mid\mid \mathsf{SPPC}(\mathcal{P}_{PIOA^*}) \forall \mathcal{E}$ .

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i.e.,  $\mathcal{E} \mid\mid \mathsf{SPPC}(\mathcal{P}_{PITM}) \equiv \mathcal{E} \mid\mid \mathsf{SPPC}(\mathcal{P}_{PIOA^*}) \forall \mathcal{E}$ .

Consequence of our results:

Given  $\mathcal{P}_{PITM} \cong \mathcal{P}_{PIOA^*}$  and  $\mathcal{F}_{PITM} \cong \mathcal{F}_{PIOA^*}$ , we have:  $UC_{PITM}(\mathcal{P}_{PITM}, \mathcal{F}_{PITM})$  iff  $SBB_{PIOA^*}(\mathcal{P}_{PIOA^*}, \mathcal{F}_{PIOA^*})$ 

## Conclusion

- Introduced SPPC as a general computational model for simulation-based security notions that allows to embed other models.
  - $\implies$  Theorems proved in this model are valid for a broad class of other more specific models.
- Clarified the relationships between different security notions (UC, SBB, WBB, SS) and their variants as considered in the literature. Our proofs are based on a few equational principles.
  - $\implies$  "Making the environment the master process unifies all security notions."
  - $\implies$  With appropriate modifications (drop buffers in PIOA), results for SBB/UC proved in PIOA carry over to UC in PITM, and vice versa.
- Proved composition theorem for SPPC.
- Future work: Are there realistic attacks in a concurrent (non-sequential) framework (such as concurrent PPC) not captured by a sequential framework (such as SPPC, PIOA, PITM)?