Network Coding: An algorithmic perspective

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DIMACS workshop

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Outline

- Coding advantage
- 2 Undirected networks
- 3 Encoding complexity
- Instantaneous Recovery
- 5 Practical Implementation
- 6 Conclusion

Coding advantage

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Connection to the Integrality Gap

- We show that for undirected networks, the maximum coding advantage is equal to the integrality gap of the bi-directed cut relaxation for the undirected Steiner tree problem.
- We show results by Agarwal and Charikar'04

Integrality gap

- Many problems can be formulated as integer problems
- However, many such problems are NP-hard
- Let OPT be the optimal solution to the integer program P
 - We refer to is as an optimal integer solution.
- Let *OPT*^{*} be the optimal solution to the linear relaxation of *P*.
 - We refer to is as an optimal linear solution.
- Then, the integrality gap is equal to the ratio $\frac{OPT}{OPT^*}$.

The minimum weight Steiner Tree problem

- Given:
 - Undirected graph G = (V, E), w : E → R⁺ be an assignment of non-negative weights to the edges, a source node, a set of destination nodes
- Find: A minimum weight tree that connects s to T. We denote the weight of this tree by OPT(G, w)



Uni-directed cut relaxation

- We say that a set C ⊆ V that contains the source node s and V \ C contains at least one terminal t ∈ T is a valid set.
- Denote $\delta(C) = \{(u, v) \in E \mid u \in C, v \notin C\}$



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Bi-directed Cut Relaxation

- For each edge *e* ∈ *E* we introduce two directed arcs *e*₁ and *e*₂, which represent the two orientations of *e*
- Edges e₁ and e₂ have the same weight as e
- We denote by $D = \{e_1, e_2, \forall e \in E\}$
- Same definition of a valid set C.
- We denote

$$\delta(\mathcal{C}) = \{(u, v) \in \mathcal{D} \mid u \in \mathcal{C}, v \notin \mathcal{C}\}$$



Bi-directed Cut Relaxation (cont.)



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Linear Relaxation

- Replace (relax) The last constant.
- Denote by *B*(*G*, *w*) the cost of the resulting problem
- Linearity gap is equal to

$$\max_{G,w} \frac{OPT(G,w)}{B(G,w)}$$





Bi-directed linear relaxation



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Finding network code through Integer Program (cFlow)

- Given: An Undirected graph G = (V, E).
- Let $c: E \to R^+$ be an assignment of non-negative capacities to the edges
- Denote by c_e the capacity of edge $e \in E$
- For given edge capacities, we need to find a maximum throughput between *s* and t_1, \dots, t_k
- Denote the set of directed arcs $D = \{e_1, e_2 \mid \forall e \in E\}$.
- The value of the optimal solution is denoted by $\chi(G, c)$

Linear Program

Maximize f*

Subject to

- $c_a > 0$ for each $a \in D$
- $c_{e_1} + c_{e_2} = c_e$ for each $e \in E$
- $f^n(a) \le c_a$ for each $a \in D$ and $n, 1 \le n \le k$
- for each $n, 1 \le n \le k$ and for each $v \in V \setminus \{s, t_n\}$

$$\sum_{v_j:(v_i,v_j)\in D} f_{(v_i,v_j)}^n - \sum_{v_j:(v_j,v_i)\in D} f_{(v_j,v_i)}^n = 0$$

•
$$\sum_{v_j:(v_j,s)\in D} f_{(v_j,v_s)}^n = 0 \text{ for } n, 1 \le n \le k$$

• $\sum_{v_j:(t_n,v_j)\in D} f_{(t_n,v_j)}^n = 0 \text{ for } n, 1 \le n \le k$
• $\sum_{v_j:(v_j,t_n)\in D} f_{(v_j,t_n)}^n \ge f \text{ for } n, 1 \le n \le k$

• Observation: Linear relaxation of bidirectional formulation is similar to the network coding problem



Steiner Tree packing

- Let τ be a set of all possible Steiner trees that connect s and T
- We define a variable x_t for any possible Steiner tree $t \in \tau$
 - x_t captures the amount of information transmitted by t.
- We denote by $\Pi(G, c)$ the optimal solution for the problem
- The related linear program is:

Linear Program Maximize $\sum_{t \in \tau} x_t$ Subject to $\sum x_t \leq c_e, \quad \forall e \in E$ (4)*t*∈*τ*:*e*∈*t* $x_t > 0$. $\forall t \in \tau$ 3 DIMACS 15/92

The Dual for Steiner Tree Packing

- Introduce a local variable y_e for each arc $e \in E$
- The dual program can be formulated as follows:



F. Ho and A. Sprintson	(Caltech-TAMU)
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Linear Program

Maximize $\sum_{t \in \tau} x_t$

Subject to

$$\sum_{\substack{t \in \tau: \boldsymbol{e} \in t \\ \boldsymbol{x}_t \geq \boldsymbol{0},}} \boldsymbol{x}_t \leq \boldsymbol{c}_{\boldsymbol{e}}, \quad \forall \boldsymbol{e} \in \boldsymbol{E}$$

Minimize
$$\sum_{e \in E} c_e y_e$$

Subject to

$$\sum_{\substack{e \in t \\ y_e \ge 0,}} y_e \ge 1, \quad \forall t \in \tau$$

T. Ho and A. Sprintson (Caltech-TAMU)

Network coding

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Theorem

- $\chi(G, c)$ the maximum throughput with network coding
- $\Pi(G, c)$ the maximum throughput with Steiner tree packing
- OPT(G, w) minimum weight of a Steiner tree
- B(G, w) the optimal value for the bi-directed cut relaxation.

Theorem

$$\max_{c} \frac{\chi(G,c)}{\Pi(G,c)} \leq \max_{w} \frac{OPT(G,w)}{B(G,w)}$$

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Proof

- Note that the coding advantage is invariant under multiplicative scaling of capacities.
- Scale the capacities so that the value of the objective function for the cFlow LP is equal to 1, i.e., χ(G, c) = 1
- Consider the dual to the Steiner packing LP this program gives an example of the integrality gap

Linear Program Minimize $\sum_{e \in E} c_e y_e$ Subject to $\sum_{e \in t} y_e \ge 1, \quad \forall t \in \tau$ $y_e \ge 0, \quad \forall e \in E$ It Ho and A. Sprintson (Cattech-TAMU)

Proof (cont.)

- By strong duality, the optimal value of the dual LP is equal to $\Pi(G, c)$.
- We can view the y_e's as edge costs
- The constraint implies that the cost of every integer Steiner tree is at least one.
- We claim that ∑_{e∈E} c_ey_e is an upper bound on the value of B(G, y)
 - The graph with capacities c_e has a cFlow value of at least 1.
 - These capacities give a valid solution to the bi-directed graph relaxation problem.

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Proof (cont.)

- We conclude that there exists a setting of weights w such that:
 - ► OPT(G, w) ≥ 1
 - $B(G, w) \leq \Pi(G, c)$
- Weights *w* are assigned according to the solution to the dual problem of Steiner tree packing
- We conclude that

$$\max_{c} rac{\chi(G,c)}{\Pi(G,c)} \leq \max_{e} rac{OPT(G,w)}{B(G,w)}$$

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Network coding Throughput =1 Steiner tree packing = 0.75

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Conclusion

• We proved that:

Theorem

(Agarwal and Charikar'04)

$$\max_{c} \frac{\chi(G, c)}{\pi(G, c)} = \max_{w} \frac{OPT(G, w)}{B(G, w)}$$

The theorem shows that

- The coding advantage is equal to the integrality gap of the bi-directional relaxation
- The throughput advantage is equal to the cost advantage

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Undirected networks

Coding advantage in undirected networks

- Introduce new notation $\lambda(N)$
- $\lambda(N)$ the minimum edge connectivity between a pair of nodes in S
 - For two nodes v ∈ V, u ∈ V, the minimum edge connectivity is the minimum size of a cut that separates v and u
 - Maximum number of disjoint paths that separate v and u.



Strength of the network

Definition

- Define $\eta(N)$ to be the minimum ratio of $\frac{E_c}{p-1}$, where
 - *p* is the number of components the communication group is separated into
 - E_c is the set of the inter-component links
 - Each partition is required to have at least one source or destination node
- $\eta(N)$ is referred to as the strength of the network.



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Example (cont.)

• $\eta(N) \leq \frac{11}{6}$



Tutte-Nash-Williams Theorem

Theorem

A graph G has x pairwise edge-disjoint spanning trees if and only if,

- For every vertex partition
 - There are at least $(p 1) \cdot x$ edges with endpoints in different components
 - where p is the number of components in the partition
- The theorem characterizes the the maximum throughput with spanning tree packing

Corollary

- In the broadcast setting a Steiner tree is a spanning tree.
- We denote by $\pi(N)$ the packing number of the coding network
 - Equal to the performance we can achieve without coding0

Corollary

For integral spanning tree packing problem it holds that

$$\pi(\mathbf{N}) = \lfloor \eta(\mathbf{N}) \rfloor$$

For fractional spanning tree packing problem it holds that

$$\pi(N) = \eta(N)$$

Nash-Williams' Weak Graph Orientation Theorem

Theorem

A graph G has an x-connected orientation if and only if it is 2x-edge connected.





The network is twoconnected 1-edge connected directed orientation





Two-connected graph

One-connected orientation

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Four-connected graph

Two-connected orientation

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Theorem 19-1

- $\chi(N)$ the maximum throughput with network coding
- $\pi(N)$ the maximum throughput with Steiner tree packing
- $\lambda(N)$ the minimum edge connect. between a pair of nodes in S
- $\eta(N)$ the strength of the network

Theorem (19-1)

For a broadcast transmission (S = V) in an undirected network N it holds that

$$\frac{1}{2}\lambda(N) \le \pi(N) = \chi(N) = \eta(N) \le \lambda(N)$$

 The theorem shows that there is no coding advantage for broadcast connections (one-to-all)

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Proof

Proof.

Tutte-Nash-Williams Theorem implies that

$$\pi(N) = \eta(N)$$

• The definition of $\chi(N)$ implies that

 $\pi(\textit{N}) \leq \chi(\textit{N})$

• Each component not including the source node needs a total edge capacity of *x* in order to achieve throughput *x*, hence

$$\chi(N) \leq \eta(N)$$

Hence

$$\pi(N) = \chi(N) = \eta(N)$$
Example



4 components - number of arcs at least 6

Proof (cont)

Proof.

• From the definition of $\eta(N)$ it holds that

 $\eta(N) \leq \lambda(N)$

- The Nash-Williams' weak orientation theorem implies that a network *N* always has a $\frac{1}{2}\lambda(N)$ -directed orientation
 - orientation in which we can send $\frac{1}{2}\lambda(N)$ units of flow between any pair of terminals
 - assuming the fractional setting
- Combining with the result from network coding in directed networks we conclude that

$$\frac{1}{2}\lambda(N) \leq \chi(N)$$

Theorem 19-2

- $\chi(N)$ the maximum throughput with network coding
- $\pi(N)$ the maximum throughput with Steiner tree packing
- $\lambda(N)$ the minimum edge connect. between a pair of nodes in S
- $\eta(N)$ the strength of the network

Theorem

For a multicast transmission in an undirected network N it holds that

$$\frac{1}{2}\lambda(N) \le \pi(N) \le \chi(N) \le \eta(N) \le \lambda(N)$$

• The theorem implies that the coding advantage is bounded by 2

Example



Example



Network coding 2

Half-integer routing 1.5

Fractional routing 1.875 Thin trees -capacity 0.125 Thick trees – capacity 0.25

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Encoding Complexity of Network Coding

Encoding Complexity of Network Coding

- Traditional approach nodes only forward or duplicate information
- Network coding requires encoding capability at certain nodes
- Network coding are more expensive need additional functionality
- Important question- how many encoding nodes are needed to achieve capacity?



Theorem

An acyclic coding network with h packets and k destinations requires at most $O(h^3 k^2)$ encoding nodes

Theorem

There exists an acyclic coding network with h packets and k destinations that requires at least $\Omega(h^2k)$ encoding nodes.

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• A $\frac{h^2}{2}$ bound



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• A h² bound



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• A h²k bound



Bounds - Networks with Cycles

- Number of encoding nodes does not depend on h and k
- Can be bounded by the size of Minimum feedback set



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Summary

	Directed	Undirected	Directed
	Acyclic		Cyclic
Upper bound	h³k²	O(h³k²)	(2B+1) h ³ k ²
Lower bound	Ω(h²k)	Ω(h²k)	(h-1)B V /2

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Instantaneous Recovery from Link Failures

Network Model



Figure: Example of a multicast network.

- Network represented by a directed graph *G*(*V*, *E*)
- Capacity function $c(e): E \rightarrow \mathbb{N}$
- Source s needs to send h packets x₁,..., x_h
 - to a single destination node t (unicast)
 - ► to a set of destination nodes t₁,..., t_k (multicast)
- Edges are susceptible to failures

Failure model



 A failed edge is deleted from the network

Goal

Find a communication scheme that will guarantee the delivery of all the packets to the destination(s) in the case of any single edge failure.

Figure: Failed edge in a network

Standard Approach





Figure: A feasible unicast network.

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Network coding

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- a and b are bits
- "+" is the bitwise XOR operation
- the packet carried by a failed edge is always zero
- *v*₄ is an encoding node



• Single edge failure

• The destination will always be able to decode the original packets *a* and *b*

failure of	<i>m</i> ₂₅	<i>m</i> ₄₅	<i>m</i> ₃₅
	а	a+b	b
(V_1, V_2)		а	b
(V_1, V_3)	а	b	
(V_2, V_4)	а	а	b
(V_3, V_4)	а	b	b
(V_2, V_5)		a+b	b
(V_3, V_5)	а		b
(V_4, V_5)	а	a+b	

Instantaneous recovery!

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- Single edge failure
- The destination will always be able to decode the original packets *a* and *b*

failure of	<i>m</i> ₂₅	<i>m</i> 45	<i>m</i> ₃₅
ϕ	а	a+b	b
(V_1, V_2)	0	а	b
(v_1, v_3)	а	b	0
(V_2, V_4)	а	а	b
(V_3, V_4)	а	b	b
(V_2, V_5)	0	a+b	b
(<i>V</i> ₃ , <i>V</i> ₅)	а	0	b
(V_4, V_5)	а	a+b	0

Instantaneous recovery!



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(<i>V</i> ₄ , <i>V</i> ₅)	а	a+b	0

Instantaneous recovery!

Robust Network Codes



Definition

A robust network code, for a multicast network, is a linear network code that, in the case of *a single edge failure* will guaranty

- the delivery of all the packets
- to all the destinations

Figure: Example of a robust network

code

T. Ho and A. Sprintson (Caltech-TAMU)

Coding Advantage

- How many packets can be sent reliably from s₁ to s₅?
 - With instantaneous recovery
 - Without rerouting
- Only one with the traditional approach
- Two with the network coding approach



Resilient Capacity

Definition

Resilient capacity C of a unicast network G(V, E) is the maximum number of packets that can be sent reliably from s to t.

- Necessary condition:
 - For every $e \in E$, $G \setminus \{e\}$ must have C paths between s and t
- Out condition:
 - For every cut $(S, V \setminus S)$ that separates s and t it must hold that

$$\mathcal{C} \leq \sum_{e \in E(S, V \setminus S)} c(e) - \max_{e \in E(S, V \setminus S)} c(e)$$

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Example



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Achieving Capacity

- Resilient capacity can be achieved by using linear network codes
 - [Koetter and Medard'03]
- Robust network codes can be found in polynomial time, for both unicast and multicast
 - [Jaggi et al.03]
 - Require large field size O(k|E|), where k is the number of terminals

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Our goal

• For *h* = 2

- Design robust codes of GF(2)
- Design an efficient algorithm for computing efficient network codes
- Introduce the concept of simple network
- Show that simple networks have certain structure
- Show that for multicast networks with k terminals, a field size ≥ 5k is sufficient.
- For *h* > 2
 - Show that it is possible to design a network code over a field size which is independent on the size of the network
 - Depends only on h

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Minimal Networks



Figure: A non minimal unicast network

Definition

A multicast network is minimal if all its *subnetworks*, obtained by deleting an edge or reducing its capacity, are *not feasible*.

Definition

A unicast network (h = 2) is a simple network iff it is

- feasible
- minimal
- All of its nodes are of degree 3

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Reduction to Simple Networks



Figure: (a) unicast net. (b) corresponding simple net.

Theorem

Let \mathbb{N} be a feasible unicast network (h = 2). Then, there exists a simple network \mathbb{N}' such that if \mathbb{N}' has a robust network code over GF(q), then \mathbb{N} has also one over the same field.

Structure of Simple Networks

Theorem

All simple networks \mathbb{N} can be decomposed into the blocks A, B and C depicted below.



Example



Figure: A simple network

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Example



Figure: Block decomposition of a simple network

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Sketch of Proof



- The proof of the *block decomposition theorem* of simple networks is based on
 - Residual networks
 - The augmenting cycle theorem
- Any configuration, other than blocks *A*, *B* and *C*
 - will result in a flow with some edge carrying a zero flow.
 - contradicts the minimality of the simple network

Proof: Example



Proof: Example



Figure: A network containing block A*

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Proof: Example



- This network has a flow of value 3 where some edges carry a flow zero ⇒ The original network is not minimal
- One can show that all network containing bloc *A** are not minimal
Robust Network Code



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Robust Network Code



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Robust Network Code



Proof of Robustness

- A simple network always ends by a block B
- The proof of the robustness of the network code is done by induction on the number of blocks *B* in the network
 - ► we show that the output of any block B is always a subset of at least two elements of the set {a, b, a + b}

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Beyond Unicast

Theorem

Consider a multicast network \mathbb{N} with h = 2 packets and k destinations. Then, there exists a robust network code for \mathbb{N} over GF(q) for all $q \ge 5k$.

Lemma (Jaggi et al. 04)

If m flows are needed to protect against all single edge failures in \mathbb{N} , then there exists a robust network code \mathbb{N} over GF(q) for all $q \ge m$

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- Need to address many practical issues:
 - Delays, packet losses
 - Unknown link capacities
 - No centralized knowledge of network topology
 - Frequent network changes, e.g., due to link failures

Chou et. al. 2004

- Content distribution network, $|E| \le 256$
- Field size 2¹⁶
- Use random network coding
- Each matrix has full rank with probability at least 0.996
- Maximum packet size in the Internet 1400 bytes
- Each IP packet can carry about 700 symbols

- E 🕨

Idea: packetize the source symbols x_i flowing into the sender into ۲ vectors

$$x_i = [x_{i,1}, x_{i,2}, \dots, x_{i,N}]$$



• Similarly, the symbols flowing on each link are also packetized.

$$z_i = [z_{i,1}, z_{i,2}, \ldots, z_{i,N}]$$



• The same code is applied to all symbols in the packet



Include within each packet the corresponding global encoding vector

$$y(e) = \sum_{i=1}^{h} g_i(e) x_i$$

- Implementation: Can be accomplished by prefixing a unit vector to each source vector x_i, i = 1,..., h.
- The global encoding vector required for decoding can be found in the received packets

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Global encoding vectors are attached to each packet



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- Decoding is possible even if
 - Network topology & encoding functions unknown
 - Topology dynamically changes
 - Packets lost, link failures in unknown locations
 - Local encoding vectors are time-varying
- Cost: (small) overhead

Encoding overhead

- Example 1
 - ▶ h = 50, filed size 2¹⁶
 - \blacktriangleright Overhead $\frac{50}{700}\approx 6\%$
- Example 2
 - h = 50, field size 2⁸ (sufficient for most practical applications)
 - Overhead $\frac{50}{1400} \approx 3\%$

Generations

- Introduced to improve robustness, eliminate redundancy, and deal with synchronization issues
- All packets related to same source vectors x₁,..., x_h are in the same generation
- All packets in same generation are tagged with same generation number; one byte (mod 256) is sufficient for practical purposes

Generations

• Each generation has exactly h packets



Dealing with Redundant Packets

- Packets received by a buffer are classified into two categories:
 - Innovative packets- lie outside the subspace spanned by vectors already in the buffer
 - Non-innovative packets lie inside the subspace
 - ★ Do not introduce new information, hence they are discarded

Router implementation

• Picture courtesy Chou et al. '04



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Decoding

Block decoding

Collect h or more packets, try to invert G_t

Earliest decoding

- Perform Gaussian elimination at after each packet
- ► G_i tends to be lower triangular, so can decode x₁,..., x_k with k packets
- Lower decoding delay than the block decoding

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Flashing

- Simple policy: flush when first packet of next generation arrives on any edge
- May result in a small loss of throughput
- Picture courtesy Chou et al. '04



Conclusion

- New research area
 - Requires tools from different disciplines:
 - * Algebra, graph theory, combinatorics.
- Rich in challenging problems, many of them open
 - Multicast problems are well-understood
 - Beyond multicast -problems are very hard, very little is known.
- Both theoretical and practical interest
 - Many applications are yet to be discovered