

# Constructions of Codes with the Locality Property

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# Acknowledgment

Based on joint works with

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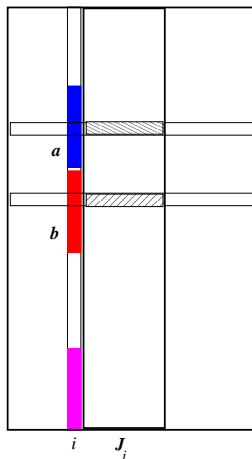
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Robert Calderbank

# Locally recoverable codes

The code  $\mathcal{C} \subset \mathbb{F}^n$  is locally recoverable with locality  $r$  if every symbol can be recovered by accessing some other  $r$  symbols in the encoding (recovery set of coordinate  $i$ )

*Table of codewords*



## $(n, k, r)$ LRC code

### Definition (LRC codes)

Code  $\mathcal{C}$  has *locality*  $r$  if for every  $i \in [n]$  there exists a subset  $J_i \subset [n] \setminus i$ ,  $|J_i| \leq r$  and a function  $\phi_i$  such that for every codeword  $c \in \mathcal{C}$

$$c_i = \phi_i(\{c_j, j \in J_i\})$$

- J. Han and L. Lastras-Montano, *ISIT* 2007;
- C. Huang, M. Chen, and J. Li, *Symp. Networks App.* 2007;
- F. Oggier and A. Datta '10;
- P. Gopalan, C. Huang, H. Simitci, and S. Yekhanin, *IEEE Trans. Inf. Theory*, Nov. 2012.

Linear index codes are duals of linear DS codes on graphs

(Mazumdar '14; Shanmugam-Dimakis '14)

## $(n, k, r)$ LRC code

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### Examples:

Repetition codes, Single parity-check codes  
 $[n, r, n - r + 1]$  RS code

### Early constructions:

*Prasanth, Kamath, Lalitha, Kumar*, ISIT 2012  
*Silberstein, Rawat, Koyluoglu Vishwanath*, ISIT 2013  
*Tamo, Papailiopoulos, Dimakis*, ISIT 2013

- RS-like LRC codes
- Bounds on LRC codes
- LRC codes on curves
- Cyclic LRC codes

## RS codes and Evaluation codes

Given a polynomial  $f \in \mathbb{F}_q[x]$  and a set  $A = \{P_1, \dots, P_n\} \subset \mathbb{F}_q$  define the map

$$ev_A : f \mapsto (f(P_i), i = 1, \dots, n)$$

**Example:** Let  $q = 8$ ,  $f(x) = 1 + \alpha x + \alpha x^2$

$$f(x) \mapsto (1, \alpha^4, \alpha^6, \alpha^4, \alpha, \alpha, \alpha^6)$$

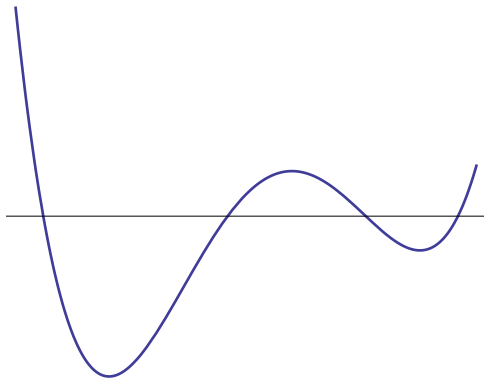
### Evaluation code $\mathcal{C}(A)$

Let  $V = \{f \in \mathbb{F}_q[x]\}$  be a set of polynomials,  $\dim(V) = k$

$$\mathcal{C} : V \rightarrow \mathbb{F}_q^n$$

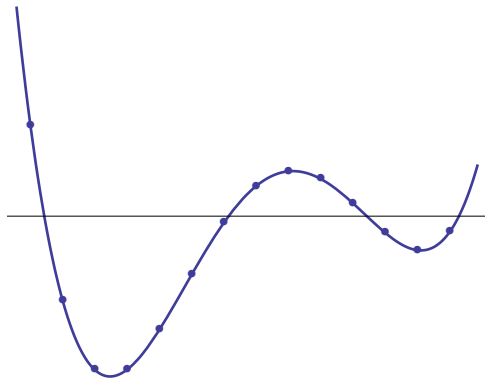
$$f \mapsto ev_A(f) = (f(P_i), i = 1, \dots, n)$$

# Reed-Solomon codes

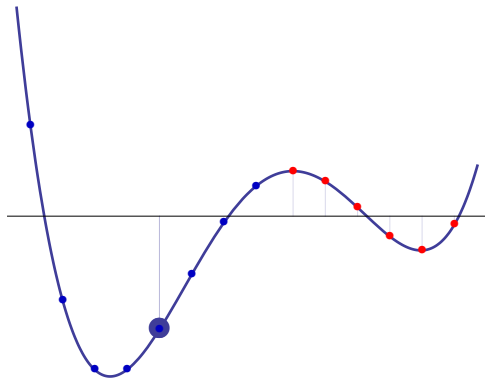




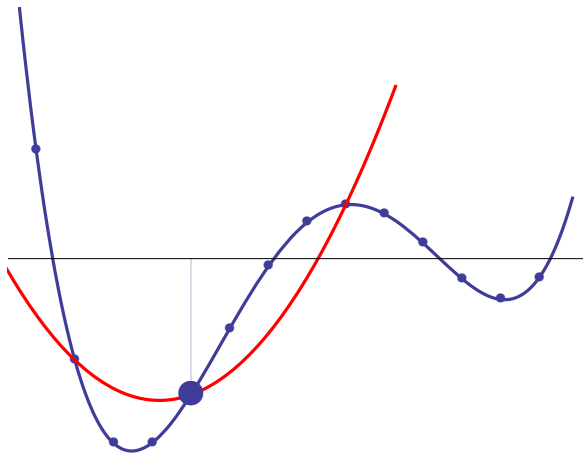
# Reed-Solomon codes



# Reed-Solomon codes



# Evaluation codes with locality



## Construction of $(n, k, r)$ LRC codes: Example

Parameters:  $n = 9, k = 4, r = 2, q = 13$ ;

Set of points:  $A = \{P_1, \dots, P_9\} \subset \mathbb{F}_{13}$

$$\mathcal{A} = \{A_1 = (1, 3, 9), A_2 = (2, 6, 5), A_3 = (4, 12, 10)\}$$

Set of functions:  $\mathcal{P} = \{f_a(x) = a_0 + a_1x + a_3x^3 + a_4x^4\}$

Code construction:

$$ev_A : f_a \mapsto (f(P_i), i = 1, \dots, 9)$$

E.g.,  $a = (1111)$  then  $f_a(x) = 1 + x + x^3 + x^4$

$$c := ev_A(f_a) = \underbrace{(4, 8, 7)}_{A_1} \mid \underbrace{(1, 11, 2)}_{A_2} \mid \underbrace{(0, 0, 0)}_{A_3}$$

$$f_a(x)|_{A_1} = a_0 + a_3 + (a_1 + a_4)x = 2 + 2x$$

$$f_a(x)|_{A_2} = a_0 + 8a_3 + (a_1 + 8a_4)x$$

## Construction of $(n, k, r)$ LRC codes

$$A = (P_1, \dots, P_n) \subset \mathbb{F}_q$$

$$A = A_1 \cup A_2 \cup \dots \cup A_{\frac{n}{r+1}}$$

**Basis of functions:** Take  $g(x)$  constant on  $A_i$ ,  $i = 1, \dots, \frac{n}{r+1}$  (above  $g(x) = x^3$ )

$$V = \left\langle g(x)^j x^i, i = 0, \dots, r-1; j = 0, \dots, \frac{k}{r} - 1 \right\rangle; \dim(V) = k$$

$$V = \left\{ f_a(x) = \sum_{i=0}^{r-1} \sum_{j=0}^{\frac{k}{r}-1} a_{ij} g(x)^j x^i \right\}$$

We obtain a family of optimal  $r$ -LRC codes

Erasure recovery by polynomial interpolation over  $r$  points.

*I. Tamo and A.B., IEEE Trans. Inf. Theory, Aug. 2014.*

## Extensions

- Codes with multiple disjoint recovery sets for every coordinate
- Codes that recover locally from  $\rho \geq 2$  erasures: The local codes are  $[r + \rho - 1, r, \rho]$  MDS
- Systematic encoding

## Finite-length bounds

Let  $\mathcal{C} \subset \mathbb{F}_q^n$  be an  $r$ -LRC code,  $|\mathcal{C}| = q^k$ , distance  $d$

$$d \leq n - k - \left\lceil \frac{k}{r} \right\rceil + 2$$

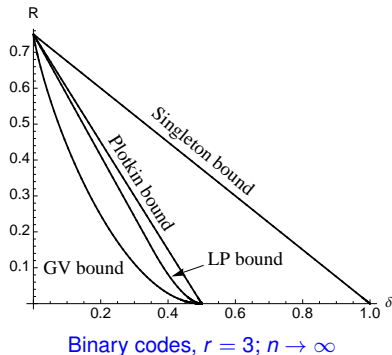
(P. Gopalan e.a. 2012)

$$k \leq \min_{s \geq 1} \{sr + k_q(n - s(r + 1), d)\}$$

(V. Cadambe and A. Mazumdar, 2013-15)

Bounds for multiple recovery sets (work with I. Tamo, 2014)

## Asymptotic bounds



$$R_q(r, \delta) > 0, \quad 0 \leq \delta < (q-1)/q$$

$$R_q(r, 0) = \frac{r}{r+1}, \quad R_q(r, \delta) = 0, \quad \frac{q-1}{q} \leq \delta \leq 1$$



## Geometric view of LRC codes

$$A = \{1, \dots, 9\} \subset \mathbb{F}_{13}$$

$$A = A_1 \cup A_2 \cup A_3$$

$$A_1 = (1, 3, 9)$$

$$A_2 = (2, 6, 5)$$

$$A_3 = (4, 12, 10)$$

$$g: A \rightarrow \mathbb{F}_{13}$$

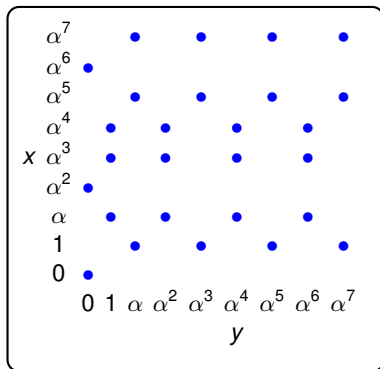
$$x \mapsto x^3 - 1$$

$$g: \mathbb{F}_{13} \rightarrow \{0, 7, 8\} \subset \mathbb{F}_{13}$$

$$|g^{-1}(y)| = r + 1$$

## LRC codes on curves

Consider the set of pairs  $(x, y) \in \mathbb{F}_9$  that satisfy the equation  $x^3 + x = y^4$



Affine points of the Hermitian curve  $\mathcal{X}$  over  $\mathbb{F}_9$ ;  $\alpha^2 = \alpha + 1$

## Hermitian codes

$$g: \mathcal{X} \rightarrow \mathbb{P}^1$$

$$(x, y) \mapsto y$$

Space of functions  $V := \langle 1, y, y^2, x, xy, xy^2 \rangle$

$A = \{\text{Affine points of the Hermitian curve over } \mathbb{F}_9\}$ ;  $n = 27, k = 6$

$$C: V \rightarrow \mathbb{F}_9^n$$

E.g., message  $(1, \alpha, \alpha^2, \alpha^3, \alpha^4, \alpha^5)$

$$F(x, y) = 1 + \alpha y + \alpha^2 y^2 + \alpha^3 x + \alpha^4 xy + \alpha^5 xy^2$$

$$F(0, 0) = 1 \text{ etc.}$$



## Hermitian LRC codes

$$\begin{array}{cccccc}
 & & & \alpha & \alpha^7 & \alpha^5 & 0 \\
 & \alpha^7 & & & & & \\
 & \alpha^6 & \alpha^2 & & & & \\
 & \alpha^5 & & \alpha^6 & \alpha^4 & \alpha^2 & 0 \\
 & \alpha^4 & & \alpha^7 & \alpha^3 & \alpha^5 & \alpha^5 \\
 x & \alpha^3 & & \alpha^3 & \alpha^7 & \alpha & \alpha \\
 & \alpha^2 & \alpha^3 & & & & \\
 & \alpha & & \alpha & 0 & 0 & 0 \\
 & 1 & & 1 & \alpha^6 & \alpha^4 & 0 \\
 & 0 & 1 & & & & \\
 & & 0 & 1 & \alpha & \alpha^2 & \alpha^3 & \alpha^4 & \alpha^5 & \alpha^6 & \alpha^7 \\
 & & & & & & & & & & y
 \end{array}$$

Let  $P = (\alpha, 1)$  be the erased location.

## Local recovery with Hermitian codes

$$\begin{array}{cccccc}
 \alpha^7 & & \alpha & \alpha^7 & \alpha^5 & 0 \\
 \alpha^6 & \alpha^2 & & & & \\
 \alpha^5 & & \alpha^6 & \alpha^4 & \alpha^2 & 0 \\
 \alpha^4 & \alpha^7 & \alpha^3 & \alpha^5 & \alpha^5 & \\
 x \alpha^3 & \alpha^3 & \alpha^7 & \alpha & \alpha & \\
 \alpha^2 & \alpha^3 & & & & \\
 \alpha & ? & 0 & 0 & 0 & \\
 1 & & 1 & \alpha^6 & \alpha^4 & 0 \\
 0 & 1 & & & & \\
 & & 0 & 1 & \alpha & \alpha^2 & \alpha^3 & \alpha^4 & \alpha^5 & \alpha^6 & \alpha^7 \\
 & & & & & & & & & & y
 \end{array}$$

Let  $P = (\alpha, 1)$  be the erased location. Recovery set  $I_P = \{(\alpha^4, 1), (\alpha^3, 1)\}$

Find  $f(x) : f(\alpha^4) = \alpha^7, f(\alpha^3) = \alpha^3$

$$\Rightarrow f(x) = \alpha x - \alpha^2$$

$$f(\alpha) = 0 = F(P)$$

## Hermitian codes

$q = q_0^2$ ,  $q_0$  prime power

$$\mathcal{X} : x^{q_0} + x = y^{q_0+1}$$

$\mathcal{X}$  has  $q_0^3 = q^{3/2}$  points in  $\mathbb{F}_q$

Let  $g : \mathcal{X} \rightarrow \mathcal{Y} = \mathbb{P}^1$ ,  $g(P) = g(x, y) := y$

We obtain a family of  $q$ -ary codes of length  $n = q_0^3$ ,

$$k = (t+1)(q_0 - 1), d \geq n - tq_0 - (q_0 - 2)(q_0 + 1)$$

with locality  $r = q_0 - 1$ .

It is also possible to take  $g(P) = x$  (projection on  $x$ ); we obtain LRC codes with locality

$q_0$

## General construction

### Map of curves

$X, Y$  smooth projective absolutely irreducible curves over  $\mathbb{k}$

$$g : X \rightarrow Y$$

rational separable map of degree  $r + 1$

### Lift the points of $Y$

$S = \{P_1, \dots, P_s\} \subset Y(\mathbb{k})$ . Partition of points:

$$A := g^{-1}(S) = \{P_{ij}, i = 0, \dots, r, j = 1, \dots, s\} \subseteq X(\mathbb{k})$$

such that  $g(P_{ij}) = P_j$  for all  $i, j$

### Basis of the function space:

$Q_\infty = \pi^{-1}(\infty)$ , where  $\pi : Y \rightarrow \mathbb{P}_{\mathbb{k}}^1$

$\{f_1, \dots, f_m\}$  span  $L(tQ_\infty)$ ,  $t \geq 1$

$$\{f_j x^i, i = 0, \dots, r - 1; j = 1, \dots, m\}$$

### Construct LRC codes

Evaluation codes constructed on the set  $A$  are LRC codes with locality  $r$



## Asymptotically good sequences of codes

Let  $q = q_0^2$ , where  $q_0$  is a prime power. Take **Garcia-Stichtenoth towers of curves**:

$$x_0 := 1; X_1 := \mathbb{P}^1, \mathbb{k}(X_1) = \mathbb{k}(x_1);$$

$$X_l : z_l^{q_0} + z_l = x_{l-1}^{q_0+1}, x_{l-1} := \frac{z_{l-1}}{x_{l-2}} \in \mathbb{k}(X_{l-1}) \text{ (if } l \geq 3)$$

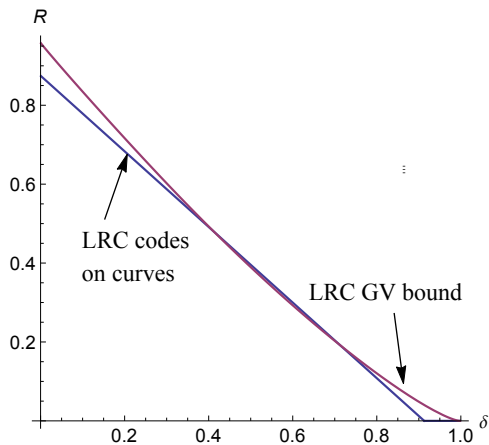
There exist families of  $q$ -ary LRC codes with locality  $r$  whose *rate and relative distance* satisfy

$$R \geq \frac{r}{r+1} \left( 1 - \delta - \frac{3}{\sqrt{q}+1} \right), \quad r = \sqrt{q} - 1$$

$$R \geq \frac{r}{r+1} \left( 1 - \delta - \frac{2\sqrt{q}}{q-1} \right), \quad r = \sqrt{q}$$

\*) Recall the TVZ bound without locality:  $R \geq 1 - \delta - \frac{1}{\sqrt{q}-1}$

## LRC codes on curves better than the GV bound



# Extensions

**Common theme:** Automorphism groups of curves

- LRC codes on curves with multiple recovery sets
- Asymptotically good codes with small locality

Let  $(r + 1) | (q_0 + 1)$

$$k(Y_{l,r}) = k(x_1^{r+1}, z_2, \dots, z_l)$$

$$g : X_l \rightarrow Y_{l,r}$$

$$x_1 \mapsto x_1^{r+1}$$

- Local codes with distance  $\rho \geq 3$

Work with *I. Tamo* and *S. Vlăduț*, 2015; ongoing

## Cyclic LRC codes

Consider the special case of the RS-like code family with  $n|(q-1)$ ,  $g(x) = \prod_{h \in H} (x-h)$ , where  $H$  is a subgroup of  $\mathbb{F}_q^*$

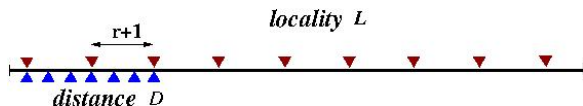
$$f_a(x) = \sum_{\substack{i=0 \\ i \neq r \bmod (r+1)}}^{(k/r)(r+1)-2} a_i x^i$$

**Theorem:** Consider the following sets of elements of  $\mathbb{F}_q$ :

$$L = \{\alpha^i, i \bmod (r+1) = l\} \text{ and } D = \{\alpha^{j+s}, s = 0, \dots, n - k(r+1)/r\},$$

where  $\alpha^j \in L$ . The cyclic code with the defining set of zeros  $\mathcal{Z} = L \cup D$  is an optimal  $(n, k, r)$   $q$ -ary cyclic LRC code.

## Set of zeros



Subsets of zeros for distance ( $D$ ) and locality ( $L$ )

**Proposition:** Let  $t|n$ . If  $\mathcal{Z}$  contains some coset of the group of  $t$ th roots of unity, then

$d(\mathcal{C}^\perp) \leq t$ , i.e.,  $\mathcal{C}$  has locality  $r = t - 1$ .

$$\begin{array}{ccc}
 \mathcal{C} & \longleftrightarrow & \mathcal{C}^\perp \\
 \downarrow \mathbb{F}_p & & \downarrow \text{Tr} \\
 \mathcal{D} & \longleftrightarrow & \mathcal{D}^\perp
 \end{array}$$

(BCGT, 2015; ongoing)

# Outlook

- Partial MDS codes (max recoverable codes)
- Cyclic codes
- Decoding
- Constructions on curves

Thank you!