

# The Reachback Channel in Wireless Sensor Networks

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# Outline

- The Problem of Reachback Communication in Sensor Networks.
  - Problem Definition, Applications, Challenges.
- Reachback Capacity with Non-Interfering Nodes:
  - Model of the Communications System, Problem Setup.
  - A Network Source/Channel Separation Theorem, Proof Outline.
  - The Region of Achievable Rates for “*Very Dumb*” Nodes, Proof Outline.
- The Case of Source Entropy Exceeding Reachback Capacity:
  - The Classical Multiterminal Source Coding Problem.
  - The Berger-Tung Inner/Outer Bounds on the Rate/Distortion Region.
  - Breaking the Long Chain I: Time Sharing of Berger-Yeung Codes, Proof Outline.
  - Breaking the Long Chain II: A Heuristic Form of Duality, Proof Outline.
- Summary and Future Work.

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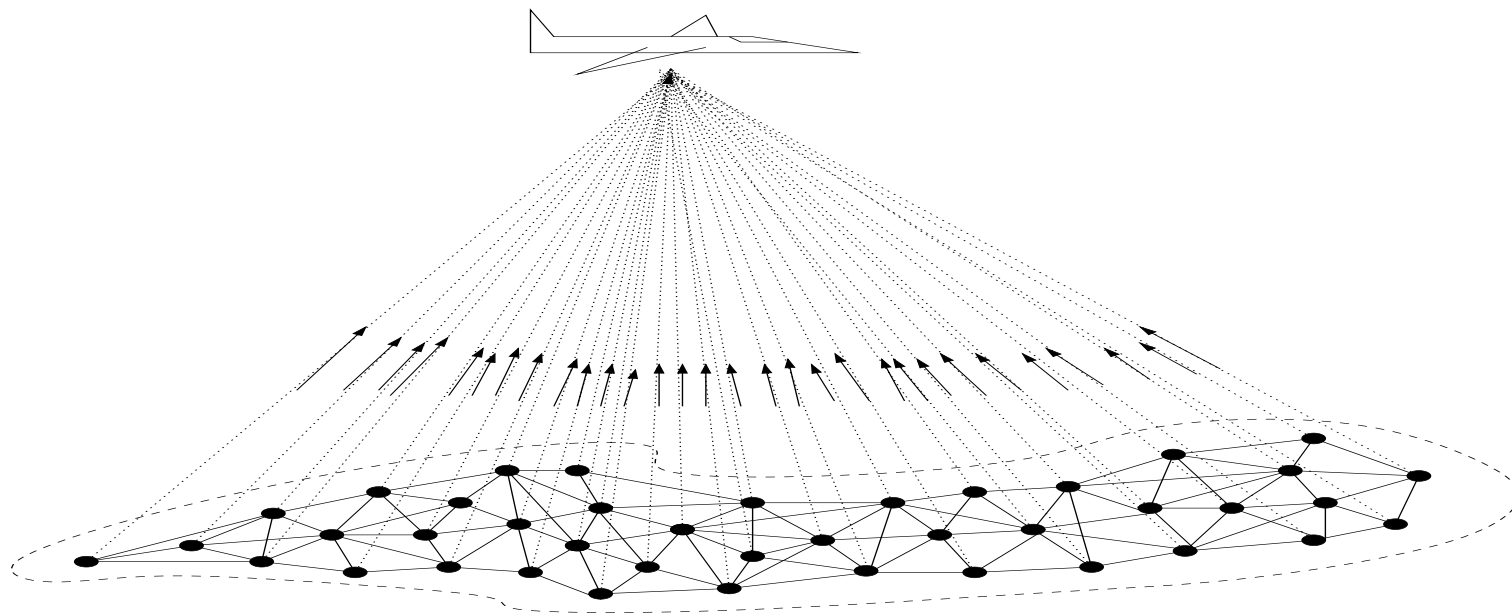
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# A Class of Sensor Networks—Main Characteristics

- Nodes operate under severe power constraints.
- Density and number of nodes is large.
- Nodes do not move (or move over very long time scales).
- Nodes switch between ON and OFF states randomly.
- Communication between nodes is over a wireless interface.
- Nodes can generate local data to feed into the network, or take data out of the network, or act as relay nodes, or simultaneously do all.

# Reachback Communication: Problem Statement

Goal: to move data *out* of a sensor network.



Applications: disaster relief, disaster causing, environmental monitoring, data collection under health hazards, etc.

# Reachback Communication: Challenges

- *The Information Theory View:*
  - *What are appropriate notions of capacity and rate/distortion for reachback?*
- The Computer Science View:
  - How do we route messages under extreme complexity constraints?
  - How much flow can be carried by these networks?
  - How do we build a distributed software radio for the uplink?  
→ *special lecture at ACM SENSYS 2003.*
- The Distributed Signal Processing and Communications View:
  - How do we solve basic signal processing tasks in distributed environments?
  - What are good codes to communicate reliably over this channel?
- The Physical Layer / Hardware View:
  - How do we detect information bearing signals generated by a distributed radio?
  - *How do we build hardware such that all of the above makes sense???*

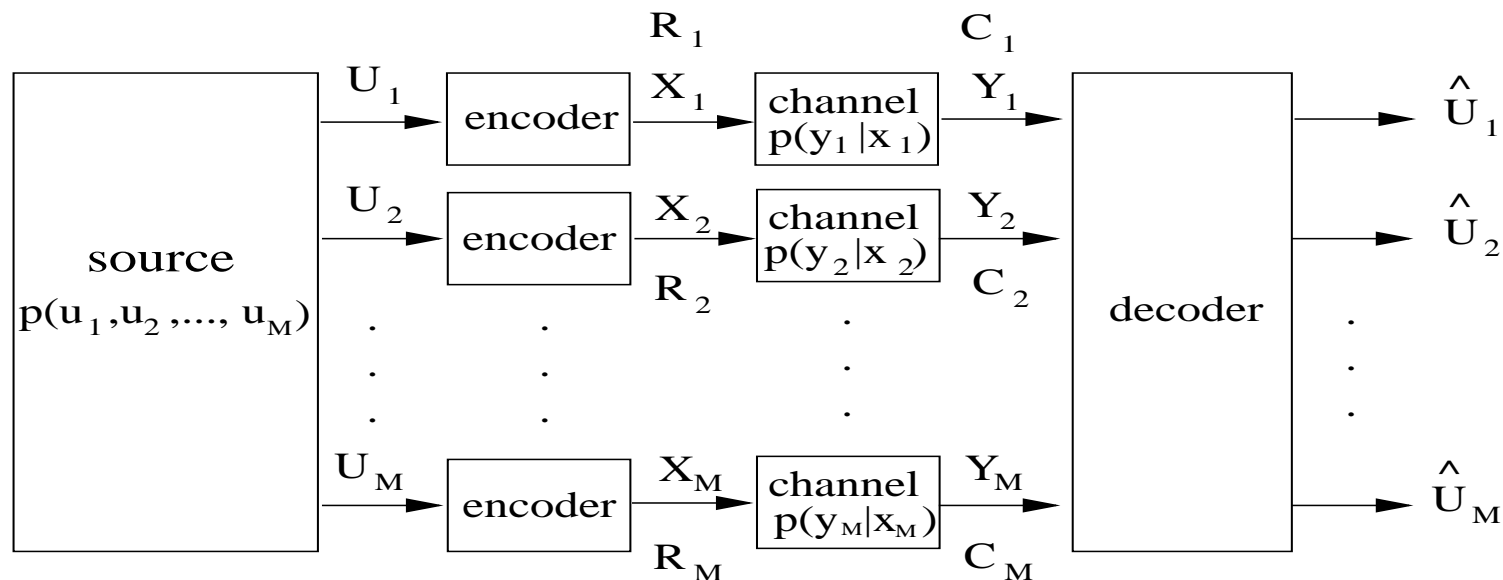
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## Reachback with Non-Interfering Nodes

Modeling assumption: an ideal MAC protocol is capable of eliminating all interference among nodes—perfect channel “slicing”.



Perfectly reasonable if nodes have some data to send all the time...

## Some Previous Related Work

On the general problem of correlated sources over multiple access channels:

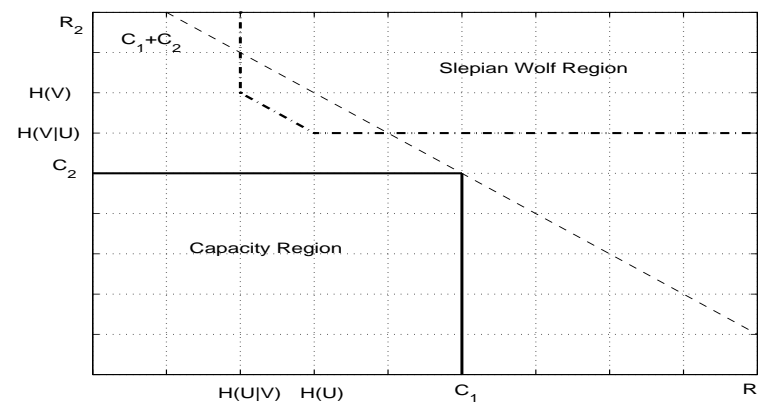
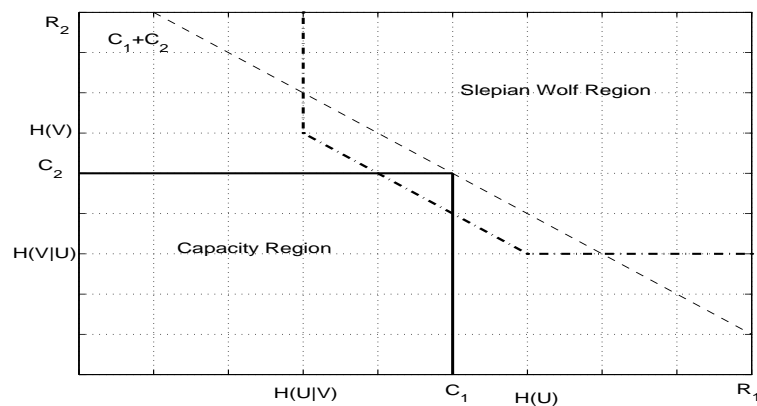
- T. M. Cover, A. A. El-Gamal, M. Salehi. *Multiple Access Channels with Arbitrarily Correlated Sources*. IEEE Trans. Inform. Theory, 26(6):648-657, 1980.
- G. Dueck. *A Note on the Multiple Access Channel with Correlated Sources*. IEEE Trans. Inform. Theory, 27(2):232-235, 1981.

In a more general setup, these papers present only achievability results.

*The capacity of an array of independent channels fed with correlated sources still remained an open problem.*

## A Visualization of the Regions of Interest

- What if  $H(U) < C_1$  and  $H(V) < C_2$ ?  
 → ok, even independent encoders work.
- What if  $H(U) > C_1$  and  $H(V) > C_2$ ?  
 → if  $H(U, V) > C_1 + C_2$ , not even genie; else, intersection  $\neq \emptyset$ .
- What if  $H(U) < C_1$  and  $H(V) > C_2$ ? (or  $H(U) > C_1$  and  $H(V) < C_2$ )  
 → intersection  $\neq \emptyset$  iff  $H(V|U) < C_2$  (or  $H(U|V) < C_1$ ).



# A Source/Channel Separation Theorem—Statement

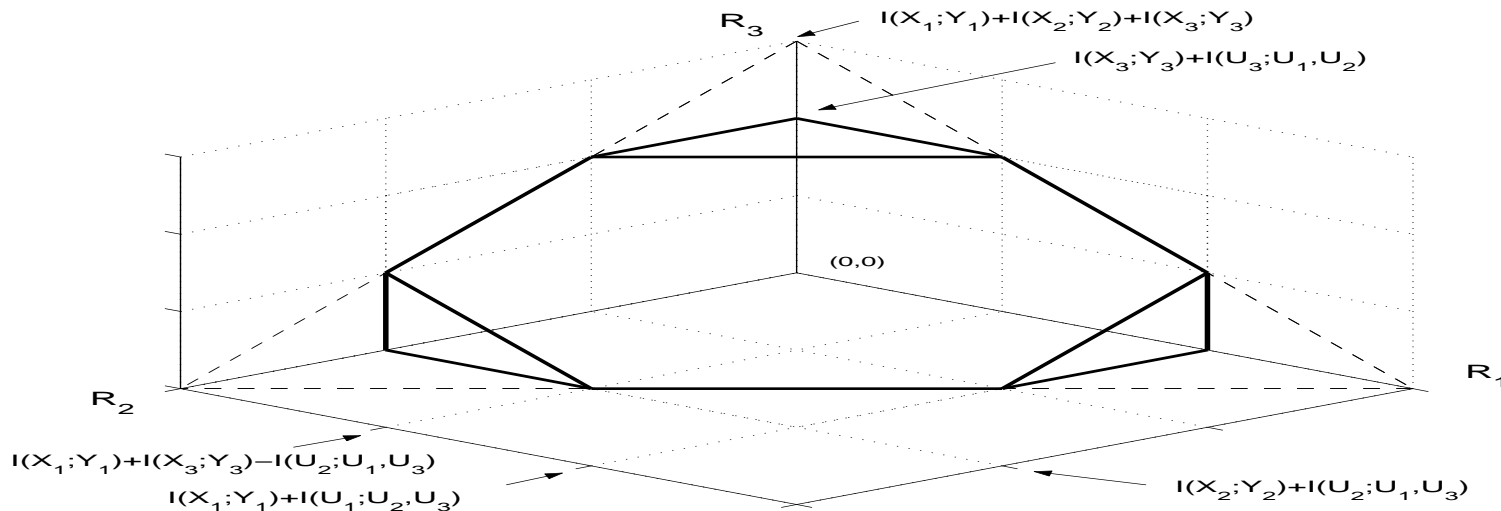
Exact reconstruction of  $U, V$  is possible iff

$$H(U|V) < I(X_1; Y_1) \quad (\text{equiv., } H(U) < I(U; V) + I(X_1; Y_1))$$

$$H(V|U) < I(X_2; Y_2) \quad (\text{equiv., } H(V) < I(U; V) + I(X_2; Y_2))$$

$$H(U, V) < I(X_1; Y_1) + I(X_2; Y_2)$$

*i.e., Slepian-Wolf source codes + capacity attaining channel codes.*



J. Barros, S. D. Servetto. *Reachback Capacity with Non-Interfering Nodes*. In Proc. ISIT 2003.

# A Source/Channel Separation Theorem—Proof Outline

The achievability part is trivial. For the converse:

- Fix  $p(u, v, x_1, x_2, y_1, y_2) = p(u, v)p(x_1|u)p(x_2|v)p(y_1|x_1)p(y_2|x_2)$ . Then  $Y_1 - X_1 - U - V - X_2 - Y_2$  forms a (long) Markov chain.
- Take a block of  $n$  iid samples  $(U^n, V^n)$  of the source  $p(u, v)$ :
  - $X_1^n(U^n)$  and  $X_2^n(V^n)$  are (block) encodings of the source.
  - $Y_1^n, Y_2^n$  are the channel outputs with inputs  $X_1^n, X_2^n$ .
- $p(U^n, V^n, X_1^n, X_2^n, Y_1^n, Y_2^n)$  is well defined, and (long) Markov.
- Bound  $H(U^n, V^n | Y_1^n, Y_2^n)$  using Fano's inequality, simplify.

*If the channels were not independent,  $Y_1(Y_2)$  would not be independent of all else given  $X_1(X_2)$ —some simplifications would not be possible.*

## Achievable Rates for “Very Dumb” Sensors

What if... each sensor only knew its marginal distribution  $p(x_i)$ ?

- Reliable communication is possible iff:

$$\begin{aligned}H(U|V) &< I(X_1; Y_1|V) \\H(V|U) &< I(X_2; Y_2|U) \\H(U, V) &< I(X_1; Y_1) + I(X_2; Y_2)\end{aligned}$$

- Proof outline:
  - Fix  $p(x_1|u)$  and  $p(x_2|v)$ .
  - For all  $U^n$ , generate  $X_1^n(U^n)$  by taking  $n$  iid samples of  $p(x_1|u)$  (same for  $X_2^n(V^n)$ , with  $p(x_2|v)$ ).
  - Decoder: look for  $(U^n, V^n, X_1(U^n), X_2(V^n), Y_1^n, Y_2^n)$  jointly typical.
  - Write down error events, simplify.

*Note: codebooks depend only on statistics of locally observed data...*

## The Penalty for Not Knowing Global Statistics

- The “dumb region” is contained in the region with global knowledge:

$$I(X_1; Y_1) - I(X_1; Y_1|V) = \dots = I(Y_1; V) \geq 0$$

$$I(X_2; Y_2) - I(X_2; Y_2|U) = \dots = I(Y_2; U) \geq 0$$

- ... and contains the region for independent encoders:

$$H(U) < I(U; V) + I(X_1; Y_1|V) = I(U, V) + I(X_1; Y_1) - I(Y_1; V)$$

$$H(V) < I(U; V) + I(X_2; Y_2|U) = I(U, V) + I(X_2; Y_2) - I(Y_2; U),$$

but from  $Y_1 - X_1 - U - V - X_2 - Y_2$ , we have that the upper bound on  $H(U)$  is larger than  $I(X_1, Y_1)$  (and similarly for  $H(V)$  and  $I(X_2; Y_2)$ ).

## Summary on Reachback Capacity

- If sensors DO know global statistics, problem is solved:
  - A network source/channel separation theorem.
  - Slepian-Wolf codes followed by point-to-point capacity attaining codes is an optimal coding strategy.
- If sensors DO NOT know global statistics, problem is solved too:
  - Presented the region of achievable rates under the given constraints on the encoders.
  - Strict improvement over independent encoders and decoders.
  - Performance hit compared to when global statistics are known.
  - Improvement is due to exploiting correlations at the decoder.

J. Barros, S. D. Servetto. *Reachback Capacity with Non-Interfering Nodes*. In Proc. ISIT 2003.



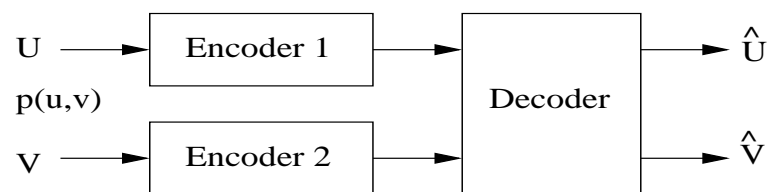
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# A Rate/Distortion Problem with Separate Encoders

But what if... sources do not admit a matching of Slepian-Wolf rates to the capacities of the channels?

*Then the best we can hope for is to reconstruct some approximation of the original message at the receiver...*



This is the classical *Multiterminal Source Coding* problem.

[T. Berger. \*The Information Theory Approach to Communications\* \(G. Longo, ed\). Chapter on Multiterminal Source Coding.](#)

## The Berger-Tung Inner and Outer Bounds

Let  $(U, V)$  be drawn i.i.d.  $\sim p(u, v)$ . Let  $W$  and  $Z$  be two auxiliary random variables, such that there exist  $\hat{U}(W, Z)$  and  $\hat{V}(W, Z)$ , for which  $D_1 \geq Ed_U(U, \hat{U})$  and  $D_2 \geq Ed_V(V, \hat{V})$ . For a given  $(D_1, D_2)$ , then:

$$\begin{aligned} R_1 &\geq I(UV; W|Z) \\ R_2 &\geq I(UV; Z|U) \\ R_1 + R_2 &\geq I(UV; WZ), \end{aligned}$$

- If  $W - U - V - Z$  forms a long Markov chain, R(D) codes *do exist within* this region of  $(R_1, R_2)$ —the *BT inner* bound.
- If  $W - U - V$  and  $U - V - Z$  form two short Markov chains, R(D) codes *do not exist outside* this region of  $(R_1, R_2)$ —the *BT outer* bound.

S.-Y. Tung. *Multiterminal Source Coding*. PhD Thesis, Cornell University, 1978.

**Conclusion: we need a coding strategy that breaks the long chain...**

# Breaking the Long Chain I: Berger-Yeung Codes

The Berger-Yeung Problem:

*A special case of the general multiterminal source coding problem, in which we seek to determine the region of achievable tuples of the form  $(R_1, R_2, D_1, 0)$ .*

Main result:  $(R_1, R_2, D_1, 0)$  is achievable iff

$$\begin{aligned} R_1 &\geq I(U; W|V) \\ R_2 &\geq H(V|W) \\ R_1 + R_2 &\geq H(V) + I(U; W|V), \end{aligned}$$

where  $W$  is an auxiliary variable such that  $W - U - V$  forms a Markov chain, and there exists a function  $\hat{U}(V, W)$  such that  $Ed(U, \hat{U}) \leq D_1$ .

T. Berger, R. W. Yeung. *Multiterminal Source Encoding with One Distortion Criterion*. IEEE Trans. Inform. Theory, 35(2):228-236, 1989.

## Breaking the Long Chain I: Time-Sharing of B-Y Codes

*The Berger-Yeung region for  $(R_1, R_2, D_1, 0)$  requires the same constraints on its auxiliary variable as one of the constraints in the Berger-Tung outer bound, so... what do we get by time-sharing?*

$(R_1, R_2, D_1, D_2)$  is achievable by time-sharing of Berger-Yeung codes iff

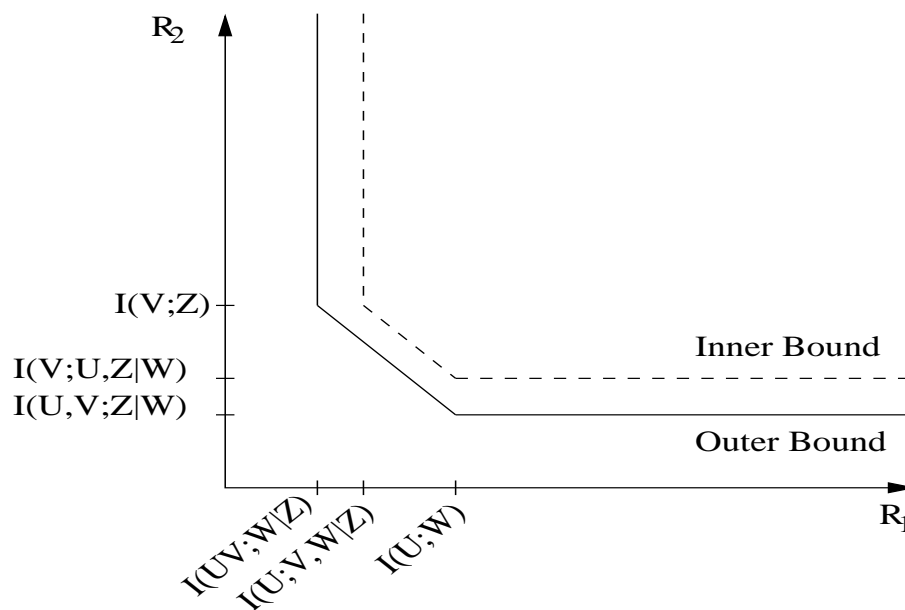
$$\begin{aligned} R_1 &\geq I(U; VW|Z) \\ R_2 &\geq I(V; UZ|W) \\ R_1 + R_2 &\geq H(UV) - H(U|VW) - H(Z|UV), \end{aligned}$$

where  $W, Z$  are auxiliary random variables such that  $W - U - V$  and  $U - V - Z$  form two Markov chains, and there exist functions  $\hat{U}(V, W)$  and  $\hat{V}(U, Z)$  such that  $Ed(U, \hat{U}) \leq D_1$  and  $Ed(V, \hat{V}) \leq D_2$ .

[J. Barros, S. D. Servetto. On the Rate/Distortion Region for Separate Encoding of Correlated Sources. In Proc. ISIT 2003.](#)

# Breaking the Long Chain I: Time-Sharing Leaves a Gap

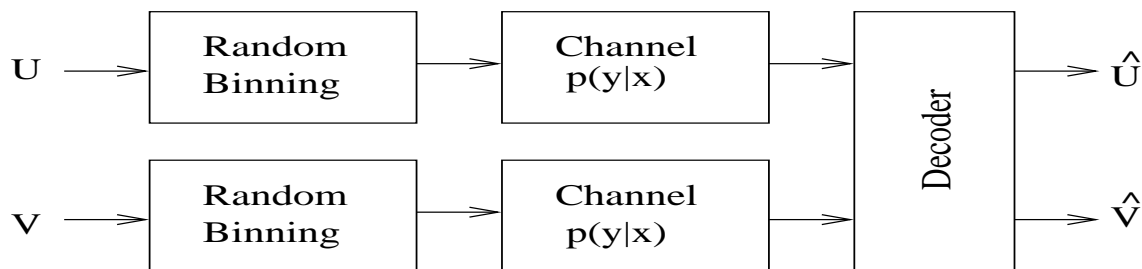
Comparing this region against the Berger-Tung outer bound, we find all faces are strictly inside that region:



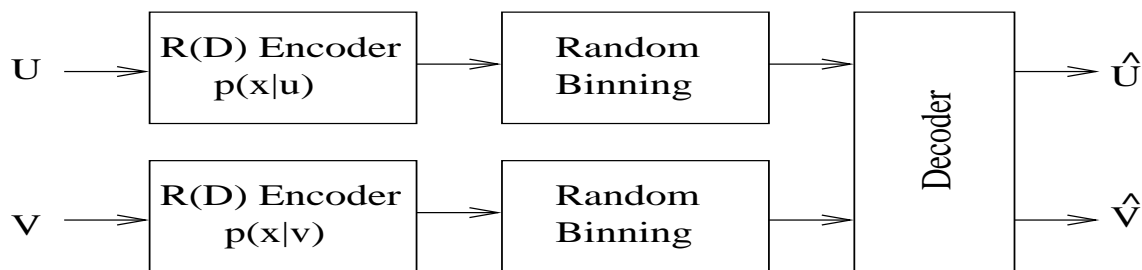
J. Barros, S. D. Servetto. *On the Rate/Distortion Region for Separate Encoding of Correlated Sources*. In Proc. ISIT 2003.

## Breaking the Long Chain II: A Heuristic Form of Duality

If this is an optimal architecture for the capacity problem ...



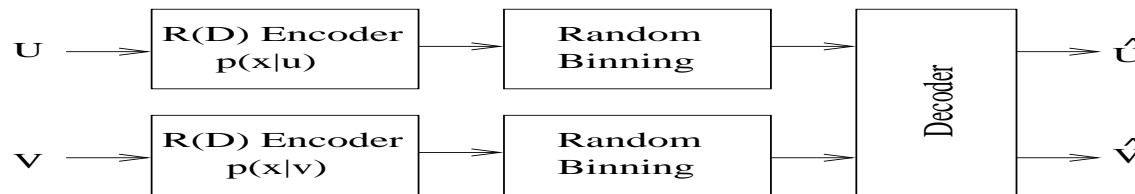
... would this be an optimal architecture for the rate/distortion problem?



*We rely informally on the duality between capacity and rate/distortion.*

## Breaking the Long Chain II: Elements of the Analysis

Key idea: *replace  $p(u, v)$  by a quantized source.*



- Two stage process: (a) quantize blocks of data, (b) put blocks of quantization indices into bins.
- Distortion constraint guarantees provided by classical  $R(D)$  theory.
- Challenge: showing that the entropies of quantization indices satisfy the Berger-Tung constraints...

*Decoding by joint-typicality, and rates achieved, only depend on source statistics through  $p(i, j) = \sum_{U^n \rightarrow i, V^n \rightarrow j} p(U^n, V^n)$ ... i.e., no long chain.*



## Breaking the Long Chain II: Two-Stage Performance

The tuple  $(R_1, R_2, D_1, D_2)$  is achievable by the two-stage process iff

$$\begin{aligned} R_1 &\geq I(UV; W|Z) \\ R_2 &\geq I(UV; Z|W) \\ R_1 + R_2 &\geq I(UV; WZ), \end{aligned}$$

- where  $(W, Z)$  is pair of random variables in a class  $\mathcal{C}$ , in which all pairs satisfy that  $W - U - V$  and  $U - V - Z$  form a Markov chain;
- and where there exist functions  $\hat{U}(W, Z)$  and  $\hat{V}(W, Z)$  such that  $D_1 \geq Ed_1(U, \hat{U})$  and  $D_2 \geq Ed_2(V, \hat{V})$ .

J. Barros, S. D. Servetto. *An Inner Bound for the Rate/Distortion Region of the Multiterminal Source Coding Problem*.  
In Proc. 37th Annual Conference on Information Sciences and Systems (CISS), 2003.

*Now we get our expressions to match the BT outer bound... but does this construction work for ALL possible pairs of short chains???*

# Summary on Multiterminal Source Coding

*Goal: to develop a coding strategy capable of reaching the surface of the Berger-Tung outer bound, requiring only two short chains...*

What we have done so far:

- By time-sharing of Berger-Yeung codes:
  - Have a strategy that works for all possible pairs of short chains.
  - Cannot reach the surface of the Berger-Tung outer bound.
- By a cascade of independent rate/distortion codes plus binning:
  - Have a strategy whose rates match the Berger-Tung outer bound.
  - We have not yet shown that it works for all possible short chains.

*Barros/Servetto. On the Rate/Distortion Region for Separate Encoding of Correlated Sources. ISIT 2003.*

*Barros/Servetto. An Inner Bound for the Rate/Distortion Region of Multiterminal Source Coding. CISS 2003.*

*Still searching for a way to have our cake and eat it too...*

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# Summary and Future Work

## Summary:

- We formulated the problem of communicating over the reachback channel, and (briefly) discussed its multiple facets.
- Presented capacity and rate/distortion results for one specific reachback configuration—the case of no interference.

## Current and future work:

- *Studying from Csiszar&Körner. All work discussed above relies on joint typicality arguments only—can types help?*
- We need to work out examples (Gaussian/MSE, binary/Hamming, ...)
- Thinking about other forms of cooperation...
  - A.-S. Hu, S. D. Servetto. *Optimal Detection for a Distributed Transmission Array*. In Proc. ISIT 2003.
  - C. Peraki, S. D. Servetto. *On the Scaling Laws of Wireless Networks with Directional Antennas*. In Proc. ACM MobiHoc 2003.

## Main Corollary...



<http://people.ece.cornell.edu/servetto/>