Risk Analysis of the Maritime Traffic in Delaware River



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Modeling of Maritime Traffic in DRB

Objectives:

- Modeling of maritime traffic logistics
- Analysis of dredging on navigational issues
- Risk assessment of the maritime traffic
- Preparedness and recovery

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Delaware River and Bay (DRB)

- Fourth largest port in the US
- More than 40 port facilities with their associated businesses
- About 3,000 vessels visiting each year
- 27 million people living within 100 miles and 90 million within 500 miles
- Approximately 65% of the region's cargo tonnage is in <u>petroleum</u>
- Other major cargoes are
 - steel
 - wood products
 - perishable items such as fresh fruit, nuts, cocoa beans, and meat products



Port Operations in the River

- Entrance points:
 - Breakwater (BW) (93%)
 - Chesapeake and Delaware Canal (CD) (7%)
- Vessel Types:
 - Tankers (30%)
 - Cargo Containers (15%)
 - Bulk (14%)
 - Refrigerated (11%)
 - Vehicle (10%)
 - General Cargo (8%)
 - Tug Boats
- The maximum fresh water draft for river transit from BW to Delair, NJ is 40 feet and from Delair to Trenton, NJ it is 38 feet
- Tidal activity significantly influences the entrance of large vessels from BW
- Lightering at Big Stone Beach Anchorage
 - 43% of the tankers have underway draft above 40 feet and need lightering



The Simulation Model Components

- Vessel arrivals at BW and CD with vessel characteristics of
 - length
 - beam
 - underway draft
 - max draft
 - gross tonnage
- Terminal calls based on itinerary generation
- Vessel navigation with randomized vessel travel times to terminals and anchorages
- Terminal reservation and operations based on holding times

- Tidal and navigational rules in the River
- Lightering rules and procedure
- Anchorage selection procedure



Objective and Approach

Perform a comprehensive risk analysis of the vessel traffic in the Delaware River and Bay area.

Expert opinion elicitation helps to compute the unknown accident and consequence probabilities.



A probabilistic risk model is developed.



- *x* represents the scenario,
- R_x is the risk of the scenario,
- *p_x* is the probability of occurrence of the scenario,
- *C_x* is the consequence of the scenario in case it occurs

Risk Framework

- Accidents typically occur as a result of a <u>chain of events</u> rather than being independent single events.
- The initial step of the risk analysis process is to identify <u>reasons</u> and <u>outcomes</u> of accidents.





Relationship among Instigators, Accidents and Consequences

		Accidents						
	P(Accident Instigator)	Collision	Allision	Grounding	Fire / Explosion	Sinking / Capsizing / Flooding	Oil Spill	
s	Human Error	0.1269	0.2463	0.3993	0.0560	0.0299	0.0336	
Instigators	Propulsion Failure	0.0349	0.0349	0.0291	0.0174	0.0001	0.0058	
	Steering Failure	0.0566	0.0377	0.0943	0.0002	0.0002	0.0755	
	Electrical / Electronic Failure	0.0003	0.0256	0.0513	0.0513	0.0003	0.0003	
	Other Systems Failure	0.0074	0.0662	0.0662	0.0735	0.1029	0.2941	

- v: vessel no
- *I* : instigator type
- *j* : accident type
- *k* : consequence type

$$\Pr(A_{j,\nu}) = \sum_{i} \Pr(A_{j,\nu} \mid I_{i,\nu}) \times \Pr(I_{i,\nu})$$

$$\Pr(C_{k,v}) = \sum_{j} \Pr(C_{k,v} \mid A_{j,v}) \times \Pr(A_{j,v})$$

$$E[C_{k,j,v} | A_{j,v}] = E[C_{k,j}] \times \Pr(C_{k,v} | A_{j,v})$$

$$R_{\nu} = \sum_{k} \sum_{j} \sum_{i} E[C_{k,j,\nu} \mid A_{j,\nu}] \times \Pr(A_{j,\nu} \mid I_{i,\nu}) \times \Pr(I_{i,\nu})$$

Instigators	P(Instigator)
Human Error	0.0054
Propulsion Failure	0.0034
Steering Failure	0.0011
Electrical / Electronic Failure	0.0008
Other Systems Failure	0.0027

10

Situational Attributes

 Situational attributes are factors that may increase or decrease the chances of an instigator or accident happening or the scale of consequences



Levels of Situational Attributes

Variable	Situational Attribute	Possible Values	States
X_{l}	Time of Day	2	Day, Night
X_2	Tide	2	High, Low
X_3	Vessel Status	3	Docked, Underway, Anchored
X_4	Vessel Class	10	General Cargo < 150m, General Cargo \geq 150m, Tugboat / Barge, Passenger \geq 100GT, Petroleum Tanker < 200m, Petroleum Tanker \geq 200m, Chemical Tanker < 150m, Chemical Tanker \geq 150m, LNG / LPG, Lightering Barge
X_5	Zone	6	Delaware Bay, CD Canal Region, Wilmington Region, Paulsboro Region, Philadelphia Region, Upper Delaware River
X_6	No. of Vessels within 5NM	3	0 or 1 vessel, 2 to 3 vessels, more than 3 vessels
<i>X</i> ₇	No. of Vessels Anchored in the Zone	3	0 or 1 vessel, 2 to 3 vessels, more than 3 vessels
X_8	Season	4	Fall, Winter, Spring, Summer

There are a total of 25,920 different possible situations for a selected set of 8 situational attributes.



Quantification of Risks

- How frequent does any particular situation occur?
- For a given situation, how often do instigators occur?
- If an instigator occurs, how likely is a particular accident?
- If an accident occurs, what would be the expected damage to human life, environment and property?

Mathematical Risk Model

The instantaneous risk for a given zone *s* based on the states of the situational attributes as observed at a particular instance

Situational attribute set regarding vessel v in zone s

$$R_{s}(\underline{X}) = \sum_{\nu \in \mathcal{V}_{s}} \sum_{j \in \mathcal{A}} \left(\sum_{k \in \mathcal{C}_{j}} E\left[C_{k,j,\nu} \middle| A_{j,\nu}, \underline{X}_{\nu}\right] \times \Pr\left(A_{j,\nu} \middle| \underline{X}_{\nu}\right) \right)$$

The set of vessels navigating in zone *s* at the observed instance

Consequence type k due to accident type j regarding vessel v in zone s

Accident type *j* regarding vessel *v* in zone *s*

$$\Pr\left(A_{j,\nu} \left| \underline{X}_{\nu}\right.\right) = \sum_{i \in \mathcal{I}_{\varphi}} \Pr\left(A_{j,\nu} \left| I_{i,\nu}, \underline{X}_{i,\nu}\right.\right) \times \Pr\left(I_{i,\nu} \left| \underline{X}_{i,\nu}\right.\right)$$

Instigator type *i*, regarding vessel *v* in zone *s*

Probabilities Given a Situation

• Due to lack of data, given a situation estimation of any probability requires expert judgment elicitation.



For a given event Φ ,

- the effect of a situation is represented by β
- \bullet the effect of a level of a situation is represented by X
- P_{Φ} is the calibration constant which calibrates the associated probability using historical data.

Expert Judgment Elicitation Questionnaires

Beta Questionnaires

- β values are directly asked to the survey respondents.
- Experts are again expected to put a value between 0 (no relation) and 100 (direct relationship / correlation) to the blocks provided
- Values averaged over individual responses and later scaled down to less than 1.0.

$$\Pr(HE|X_s) = P^{he} \cdot (\beta^{he} \cdot X^{he}) = P^{he} \cdot (\beta_1^{he} \cdot X_1^{he} + \beta_2^{he} \cdot X_2^{he} + \dots + \beta_8^{he} \cdot X_8^{he})$$

			Instigator		
Situational Attributes	HE	PF	SF	EF	OSF
1. Time of Day	80	10	10	10	10
2. Tide	80	25	25	10	5
3. (Your) Vessel Status (e.g. Docked, Underway, Anchored)	90	90	90	90	90
4. (Your) Vessel Class (e.g. General Cargo, Dangerous Cargo)	50	20	20	20	20
5. Zone (e.g. 1,2,3,4,5,6)	80	10	10	10	10
6. No. of Vessels Underway within 5 NM of your position	85	10	10	10	10
7. No. of Vessels Anchored within your Zone	60	10	10	10	10
8. Season	75	30	30	10	50

		Instigator		
	HE	PSF	OSF	
1. Time of Day		-		Cardinality Questionnaires
a. Day	30	30	10	
b. Night	80	50	50	
2. Tide]			
a. High	50	10	10	
b. Low	80	30	10	
3. (Your) Vessel Status]			
a. Docked	0	0	10	$\mathbf{D}_{\mathbf{v}}(\mathbf{U}\mathbf{F} \mathbf{V}) \mathbf{D}^{he} (\mathbf{O}^{he} \mathbf{V}^{he} \mathbf{O}^{he} \mathbf{V}^{he} \mathbf{O}^{he} \mathbf{V}^{he} \mathbf{O}^{he} \mathbf{V}^{he} $
b. Underway	90	90	50	$Pr(HE X_{s}) = P^{-1} \cdot (\beta_{1} \cdot X_{1} + \beta_{2} \cdot X_{2} + \dots + \beta_{8} \cdot X_{8})$
c. Anchored	30	0	10	
4. (Your) Vessel Class				
a. General Cargo	50	50	50	
b. Dangerous Cargo	60	40	40	Cardinality Questionnaires
5. Zone (Geographical – Infrastructure only)				Cardinality Questionnalies
a. 1	50	50	10	• X values are directly asked to the
b. 2	65	60	20	
c. 3	60	60	20	survey respondents.
d. 4	70	60	20	
e. 5	70	60	20	
f. 6	60	60	20	- Evente and again avenated to put a
6. No. of Vessels Underway within 5 NM]			 Experts are again expected to put a
of your position				value between 0 (no relation) and
a. 0-1	60	20	10	100 / dimentional bin /
b. 2-3	70	40	20	1 IUU (direct relationship /
c. more than 3	75	50	20	correlation) to the blocks provided
7. No. of Vessels Anchored within your	1			
Zone				
a. 0-1	20	10	10	
b. 2-3	30	20	10	 Values averaged over individual
c. more than 3	50	30	10	
8. Season	1			responses and later scaled down to
a. Fall	60	30	10	less than 1.0.
b. Winter	80	50	20	
c. Spring	70	60	10	
d. Summer	50	20	10	17

Probability of Instigator Given Situation

 $\Pr(I_i | \underline{X}_i) = P_i . (\beta_i^T \underline{X}_i)$

	-		
		Instigator	
	HE	PSF	OSF
1. Time of Day			
a. Day	30	30	10
b. Night	80	50	50
2. Tide	1		
a. High	50	10	10
b. Low	80	30	10
3. (Your) Vessel Status]		
a. Docked	0	0	10
b. Underway	90	90	50
c. Anchored	30	0	10
4. (Your) Vessel Class			
a. General Cargo	50	50	50
b. Dangerous Cargo	60	40	40
5. Zone (Geographical – Infrastructure only)	1		
a. 1	50	50	10
b. 2	65	60	20
c. 3	60	60	20
d. 4	70	60	20
e. 5	70	60	20
f. 6	60	60	20
6. No. of Vessels Underway within 5 NM	1		
of your position			
a. 0-1	60	20	10
b. 2-3	70	40	20
c. more than 3	75	50	20

Spring

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7. No. of Vessels Anchored within your			
Zone			
a. 0-1	20	10	10
b. 2-3	30	20	10
c. more than 3	50	30	10
8. Season			
a. Fall	60	30	10
b. Winter	80	50	20

60

20

50

10

10

Situational Attributes
1. Time of Day
2. Tide
3. (Your) Vessel Status (e.g. Docked, Underway, Anchored)
4. (Your) Vessel Class (e.g. General Cargo, Dangerous Cargo)
5. Zone (e.g. 1,2,3,4,5,6)
6. No. of Vessels Underway within 5 NM of your position
7. No. of Vessels Anchored within your Zone
8. Season

Instigator								
HE		PF		SF		EF		OSF
80		10		10		10		10
80		25		25		10		5
90		90		90		90		90
50		20		20		20		20
80		10		10		10		10
85		10		10		10		10
60		10		10		10		10
75		30		30		10		50

Vesse	І Туре	Instigator (Aggregate)
1. General Cargo	o < 150 (m)	60
2. General Cargo	o≥150 (m)	50
3. Tugboat / Bar	ge	80
4. Passenger≥1	.00 GT	10
5. Petroleum Ta	nker < 200 (m)	30
6. Petroleum Ta	nker≥200 (m)	20
7. Chemical Tan	ker < 150 (m)	30
8. Chemical Tan	ker≥150 (m)	20
9. LNG / LPG		10
10. Lightering B	arge	90

Beta Questionnaires:

Ask the effect of a situational attribute on the occurrence of an instigator in a particular vessel

Cardinality Questionnaires:

Ask the importance of a level of a ۲ situational attribute on the occurrence of an instigator in a particular vessel

Probability of Accident Given Instigator and Situation

 $\Pr\left(A_{j}\left|I_{i},\underline{X}_{i}\right.\right)=P_{j,i}.(\underline{\beta}_{i,i}^{T}\underline{X}_{j,i})$

	-		
	Accid	lent Insti	gator
	HE	PSF	OSF
1. Time of Day			
a. Day	70	70	10
b. Night	90	90	50
2. Tide			
a. High	40	40	10
b. Low	60	60	20
3. (Your) Vessel Status			
a. Docked	90	0	10
b. Underway	70	90	10
c. Anchored	90	0	10
4. (Your) Vessel Class			/
a. General Cargo	50	50	10
b. Dangerous Cargo	90	90	30
5. Zone (Geographical – Infrastructure only)			
a. 1	20	30	10
b. 2	20	30	15
c. 3	50	70	20
d. 4	50	70	20
e. 5	50	70	20
f. 6	20	30	15
6. No. of Vessels Underway within 5 NM			
of your position			
a. 0-1	50	50	10
b. 2-3	70	60	20
c. more than 3	90	90	20
7. No. of Vessels Anchored within your			
Zone			

one			
. 0-1	50	50	10
. 2-3	60	60	20
more than 3	70	70	20
. Season			
. Fall	60	10	0
. Winter	80	30	10
Spring	70	10	0
Summer	20	10	0

Situational Attributes
1. Time of Day
2. Tide
3. (Your) Vessel Status (e.g. Docked, Underway, Anchored)
4. (Your) Vessel Class (e.g. General Cargo, Dangerous Cargo)
5. Zone <i>(e.g. 1,2,3,4,5,6)</i>
6. No. of Vessels Underway within 5 NM of your position
7. No. of Vessels Anchored within your Zone
8. Season

Accident Instigator (Aggregate)
60
50
70
50
60
50
60
50
50
80

Beta Questionnaires:

HE^C

- Prepared for all accident types separately
- Ask the effect of a situational attribute on the likelihood of an accident, given an instigator taking place on a particular vessel

Collision | Instigators

 SF^{C}

 EF^{C}

OSF^C

 PF^{C}

Cardinality Questionnaires:

- Combined into one questionnaire for any type of accident.
- Ask the importance of attribute levels on the likelihood of an accident, given an instigator taking place on a particular vessel.

Expected Consequence Given Accident and Situation

$$\Pr\left(C_{k,j} \middle| A_j, \underline{X}_k\right) = P_{k,j} \cdot \left(\underline{\beta}_{k,j}^T \underline{X}_k\right)$$

	-		
	Consequence Accident		
	Human	Environmental	Property
	Casualty	Damage	Damage
1. Time of Day			
a. Day	50	50	50
b. Night	90	90	90
2. Tide			
a. High	10	10	10
b. Low	10	60	70
3. (Your) Vessel Status			
a. Docked	10	40	20
b. Underway	90	70	90
c. Anchored	50	40	60
4. (Your) Vessel Class			
a. General Cargo	50	40	50
b. Dangerous Cargo	70	90	70
5. Zone (Geographical – Infrastructure only)			
a. 1	80	70	60
b. 2	70	80	70
c. 3	75	80	70
d. 4	75	80	75
e.5	75	80	75
f. 6	60	80	70
6. No. of Vessels Underway within 5			
NM of your position			
a. 0-1	50	60	50
b. 2-3	60	70	60
		1	

-		. () /	A
c.	mor	e than 3	

7. No. of Vessels Anchored within

your Zone			
a. 0-1	70	50	50
b. 2-3	70	50	60
c. more than 3	75	50	70
8. Season			
a. Fall	50	50	60
b. Winter	90	90	60
c. Spring	50	70	70
d Summer	20	50	90

	Consequences Collision		
Situational Attributes	HC	EnvD	ProD
1. Time of Day	90	80	90
2. Tide	10	95	30
3. (Your) Vessel Status (e.g. Docked, Underway, Anchored)	90	80	80
4. (Your) Vessel Class (e.g. General Cargo, Dangerous Cargo)	90	95	90
5. Zone <i>(e.g. 1,2,3,4,5,6)</i>	80	90	90
6. No. of Vessels Underway within 5 NM of your position	90	70	90
7. No. of Vessels Anchored within your Zone	10	10	10
8. Season	80	80	70

	Consequence Accident		
Vessel Type	HC	EnvD	ProD
1. General Cargo < 150 (m)	50	60	60
2. General Cargo ≥ 150 (m)	50	70	70
3. Tugboat / Barge	60	70	70
4. Passenger ≥ 100 GT	100	30	30
5. Petroleum Tanker < 200 (m)	80	80	80
6. Petroleum Tanker≥200 (m)	80	80	80
7. Chemical Tanker < 150 (m)	80	80	80
8. Chemical Tanker ≥ 150 (m)	80	80	80
9. LNG / LPG	90	20	90
10. Lightering Barge	20	90	90

Beta Questionnaires:

- Prepared for all accident types separately
- Ask the effect of a situational attribute on the severity of the consequence given an accident has happened.

Cardinality Questionnaires:

- Combined into one questionnaire for any type of accident.
- Ask the importance of attribute characteristics on the severity of the consequence given an accident has happened.

Consequence Quantification

Environmental Damage

Oil Spill (Gallons)	Average Response Cost/Gallon (\$)	Environmental Cost/Gallon (\$)	Socioeconomic Cost/Gallon (\$)	Total Cost/Gallon (\$) (Present Value)
< 500	199	90	50	401.98
500 - 1000	197	87	200	573.92
1000 - 10K	195	80	300	681.83
10K - 100K	185	73	140	471.95
100K - 1000K	118	35	70	264.43
>1M	82	30	60	203.96

*Etkin, D.S. (2004), Modeling oil spill response and damage costs, *Proceedings of the Fifth Biennial Freshwater Spills Symposium*

Property Damage



 $E\left[C_{k,j}\left|A_{j},\underline{X}_{k}\right.\right]=C_{k,j}\cdot\Pr\left(C_{k,j}\left|A_{j},\underline{X}_{k}\right.\right)$



Human Casualty

Injury Severity
\$4,300,000
\$55,300
\$2,400

**U.S. National Safety Council 2009 Values



Calibration of Probabilities

- Calibration process makes sure that long-run probabilities are legitimate probabilities.
- It is achieved by making an initial simulation run with the calibration constants in the risk model being 1.0.
- The calculated calibration constants replace all 1.0s in the preliminary run, making the model ready for risk calculations.

$$\Pr\left(C_{k,j} \middle| A_j, \underline{X}_k\right) = P_{k,j} \cdot (\underline{\beta}_{k,j}^{T} \underline{X}_k) \implies P_{k,j} = \frac{\Pr\left(C_{k,j} \middle| A_j, \underline{X}_k\right)}{\underline{\beta}_{k,j}^{T} \underline{X}_k}$$
From simulation



Instantaneous Risks

- Risks over a full year are mapped per 24-hour period to generate a risk profile for the entire river.
- Risks are calculated using one replication of the model over 30 years.
- Most of the higher risk values are observed in Zone 1 followed by Zone 4 as compared to other zones.



- Almost in all zones, <u>environmental</u> <u>damage</u> is the dominant consequence.
- In Zone 1, the risk of environmental damage is high due to <u>the lightering activity</u> in the Big Stone Beach Anchorage.
- Frequency of visits and length of stay for tankers in Zones 3 and 4 are higher than other zones due to higher number of <u>oil</u> <u>terminals</u> in these zones.

The Average Total Risks in Zones by Consequence Type

The Average Total Risks in Zones by Accident Type

- Risks are classified based on accident types to provide accident-type impact on zone risks
- Average risks for <u>Zones 1, 3 and 4</u> are higher than the risks of other zones.
- <u>Oil Spill (OS)</u> and <u>Grounding (G)</u> seem to be the major accidents having the biggest impact on risk.

Distribution of Risks by Zones

- The histograms showing the risk for Zones 2, 5 and 6 exhibit low risk values
- Zones 1, 3 and 4 show heavy tails to the right indicating high risks observed in these zones.

Questions??

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Rutgers Laboratory for Port Security (LPS) http://cait.rutgers.edu/lps

INSTIGATORS

Human Error (HE) may include "not following the policies or best practice", "communication breakdown",
 "inadequate situational awareness" and etc.

 Propulsion Failure (PF) may include "engine breakdown", "contaminated fuel problem", "propeller problem" and etc.

- Steering Failure (SF) may include "hydraulic system failure", "rudder problem" and etc.

Electrical / Electronic Failure (EF) may include "generator failure", "computer software problems",
 "navigation and communication system failure" and etc.

 Other Systems Failure (OSF) may include "hull structure problems", "cargo and cargo control systems failure" and etc.

CONSEQUENCES

- Human Casualty (HC) may include death, permanent disabling injury, and minor injury.

- Environmental Damage (EnvD) may include impact to wild life and habitat, loss of commercial and recreational use, danger to human life and contamination of the water supply.

- Property Damage (ProD) may include damage to the vessel or other properties involved in the accident.