

# Profile vectors in the poset of subspaces

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## Motivation

For a family  $\mathcal{F}$  of subsets of  $[n] = \{1, 2, \dots, n\}$  P.L. Erdős, P. Frankl, G.O.H. Katona (1985) defined

$$p(\mathcal{F}) \in \mathbf{R}^{n+1}, p(\mathcal{F})_i = |\{F \in \mathcal{F} : |F| = i\}|.$$

For a set  $\mathbf{A}$  of families they started to investigate  $\langle \mu(\mathbf{A}) \rangle$ , the convex hull of the profiles of the families in  $\mathbf{A}$ .

In the same way for a family  $\mathcal{U}$  of subspaces of an  $n$ -dimensional vectorspace  $V$  over  $GF(q)$  we define

$$p(\mathcal{U}) \in \mathbf{R}^{n+1}, p(\mathcal{U})_i = |\{U \in \mathcal{U} : \dim U = i\}|.$$

# The reduction method

The method provides a superset of the profile polytope if we know the profile polytope of the families reduced to some simpler structure.

In the Boolean poset, reduction to

- **maximal chain** ( $\{C_0 \subseteq C_1 \subseteq \dots \subseteq C_n\}$  with  $|C_i| = i$ ),
- **pair of complement chains** (union of two maximal chains  $\mathcal{C}_1$  and  $\mathcal{C}_2$  such that  $C \in \mathcal{C}_1$  iff  $[n] \setminus C \in \mathcal{C}_2$ ),
- **circle** (the **intervals** (sets of consecutive elements) for a fixed cyclic permutation of  $[n]$ ).

In the poset of subspaces, reduction to

- **chain**,
- **pair of orthogonal chains**.

# Easy consequences I

Using the reduction method one can determine the profile polytope of the following sets of families (among others):

- $k$ -Sperner families,
- orthogonal complement-free families,
- families without pairs of subspaces  $U_1, U_2$  with  $U_1 \cap U_2 = \{0\}$ ,  $\langle U_1 \cup U_2 \rangle = V$  (property \*).

**Theorem.** The extreme points of the profile polytope of  $k$ -Sperner families are the following:

for all  $0 \leq z \leq k$  and  $\beta = \{\beta_1, \dots, \beta_z\}$  with  $0 \leq \beta_1 < \dots < \beta_z \leq n$  the vectors  $v_\beta$

$$(v_\beta)_i = \begin{cases} \binom{n}{i} & \text{if } i \in \beta \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

# Easy consequences II

**Theorem.** The extreme points of the convex hull of the profile vectors of **orthogonal complement-free families** are the vectors corresponding to the families consisting of

a, a set  $I$  of levels with the property that the  $i$ th and the  $n - i$ th levels cannot be both in  $I$ , if  $n$  is odd,

b, a set  $I$  of levels with the property that the  $i$ th and the  $n - i$ th levels cannot be both in  $I$  and possibly half of the subspaces with dimension  $n/2$  one from each pair of orthogonal complementary subspaces, if  $n$  is even.

**Theorem.** The extreme points of the profile polytope of **families with property \*** are the vectors corresponding to the families consisting of

a, a set  $I$  of levels with the property that the  $i$ th and the  $n - i$ th levels cannot be both in  $I$ , if  $n$  is odd,

b, a set  $I$  of levels with the property that the  $i$ th and the  $n - i$ th levels cannot be both in  $I$  and possibly a maximal star of subspaces (subspaces containing a fixed vector) with dimension  $n/2$ , if  $n$  is even.

# The method of inequalities

To do:

- finding (linear) inequalities valid for the profiles of all families in the set examined
- determining the extreme points of the polytope determined by the above inequalities
- finding a family for any extreme point that has this vector as profile

# Intersecting families

**Theorem.** (Erdős - Ko - Rado for vectorspaces) If  $\mathcal{U} \subseteq \binom{V}{k}$  is a  $t$ -intersecting family and  $n \geq 2k - t$ , then

$$|\mathcal{U}| \leq \max\left\{ \binom{n-t}{k-t}, \binom{2k-t}{k} \right\}.$$

- Hsieh '77 for large enough  $n$ s (including  $t = 1, n \geq 2k + 1$ )
- Greene - Kleitman '78 for  $t = 1, k|n$  (so for  $n = 2k$  as well)
- Frankl - Wilson '86 for all cases

# A generalization of Hsieh's theorem

**Theorem.** The following generalization of Hsieh's theorem holds:

a, if  $2k \leq n \leq 2k + 2$  and  $d = 0$  or  $d = n - k$

or

b, if  $n \geq 2k + 3$  and  $k + d \leq n$

then for any intersecting family  $\mathcal{U}$  of  $k$ -dimensional subspaces of an  $n$ -dimensional vectorspace  $V$  with all members disjoint from a fixed  $d$ -dimensional subspace  $W$  of  $V$  we have

$$|\mathcal{U}| \leq \begin{bmatrix} n - 1 \\ k - 1 \end{bmatrix}^{*(d)},$$

where  $\begin{bmatrix} n \\ k \end{bmatrix}^{*(d)}$  denotes the number of  $k$ -dimensional subspaces of an  $n$ -dimensional vectorspace  $V$  that are disjoint from a fixed  $d$ -dimensional subspace  $W$  of  $V$ .

# 'Sketch' of the proof

## Proof.

- calculating the eigenvalues of the appropriate incidence matrix (as Frankl and Wilson did) is not straightforward
- if  $k|d|n$  or  $k|n, d = 0$  (so in particular when  $n = 2k, d = 0$ ) the trick of Greene and Kleitman (partitioning  $V \setminus W$  into isomorphic copies of  $V_k \setminus \{\underline{0}\}$ ) works
- for the remaining cases the 'lengthy computations' of Hsieh go through, since he used 'mostly' the quick growth of the  $q$ -nomial coefficients, and the ratio of growth needed there is valid for the growth of

$$\frac{\begin{bmatrix} n \\ k \end{bmatrix}^{*(d)}}{\begin{bmatrix} n-1 \\ k-1 \end{bmatrix}^{*(d)}}$$

(for the  $q = 2$  case we needed some further computations)



# The inequalities

**Lemma.** For the profile vector  $f$  of any family  $\mathcal{U}$  of intersecting subspaces of an  $n$ -dimensional vectorspace, and for any  $k < n/2$  and  $n/2 < d \leq n - k$ , the following holds

$$c_{k,d}f_k + f_d \leq \begin{bmatrix} n \\ d \end{bmatrix},$$

where  $c_{k,d} = q^d \frac{\begin{bmatrix} n-k \\ d \end{bmatrix}}{\begin{bmatrix} n-d-1 \\ k-1 \end{bmatrix}}$ , and equality holds if  $f_k = 0, f_d = \begin{bmatrix} n \\ d \end{bmatrix}$  or if  $f_k = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}, f_d = \begin{bmatrix} n-1 \\ d-1 \end{bmatrix}$ .

**Proof.** doublecount the disjoint pairs formed from  $\mathcal{U}_k$  and  $\mathcal{U}'_d = \begin{bmatrix} V \\ d \end{bmatrix} \setminus \mathcal{U}_d$  giving

$$\begin{bmatrix} n \\ d \end{bmatrix}^{*(k)} f_k \leq \left( \begin{bmatrix} n \\ d \end{bmatrix} - f_d \right) \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}^{*(d)}$$

# The profile polytope

**Theorem.** The essential extreme points of the profile polytope of the set of intersecting families are the vectors  $v_i$   $1 \leq i \leq n/2$  for even  $n$  and there is an additional essential extreme point  $v^+$  for odd  $n$ , where

$$(v_i)_j = \begin{cases} 0 & \text{if } 0 \leq j < i \\ \begin{bmatrix} n-1 \\ j-1 \end{bmatrix} & \text{if } i \leq j \leq n-i \\ \begin{bmatrix} n \\ j \end{bmatrix} & \text{if } j > n-i. \end{cases} \quad (2)$$

and

$$(v^+)_j = \begin{cases} 0 & \text{if } 0 \leq j < n/2 \\ \begin{bmatrix} n \\ j \end{bmatrix} & \text{if } j > n/2. \end{cases} \quad (3)$$

**Remark.** This is the 'same' as in the Boolean case, just using  $q$ -nomial coefficients instead of binomial coefficients.

# $t$ -intersecting families

In the Boolean case the complete intersection theorem of Ahlswede and Khatchatrian (1997) settled the problem of the largest  $t$ -intersecting families when  $n$  is small compared to  $k$  and  $t$ . The optimal families vary 'widely' when  $n$  ranges from  $2k - t$  to infinity.

By the theorem of Frankl and Wilson we know that in the case of subspaces there are only three possibilities for the optimal family.

'Conjecture'. Determining the profile polytope of  $t$ -intersecting families is much easier in the poset of subspaces than in the Boolean case.