

Circular chromatic number of hexagonal chains with orientations

Drago Bokal

Simon Fraser University, Burnaby BC, Canada

and

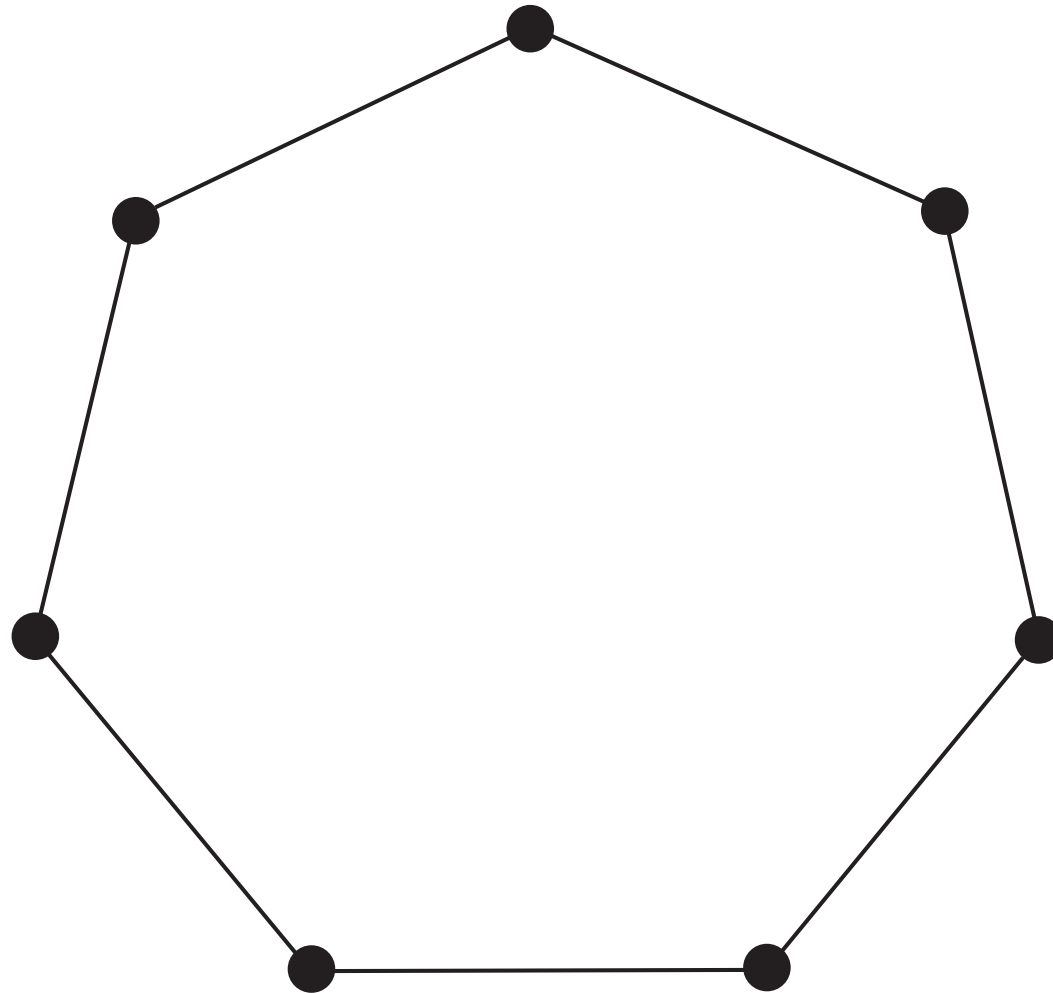
Institute of Mathematics, Physics and Mechanics,
Ljubljana, Slovenia

Joint work with

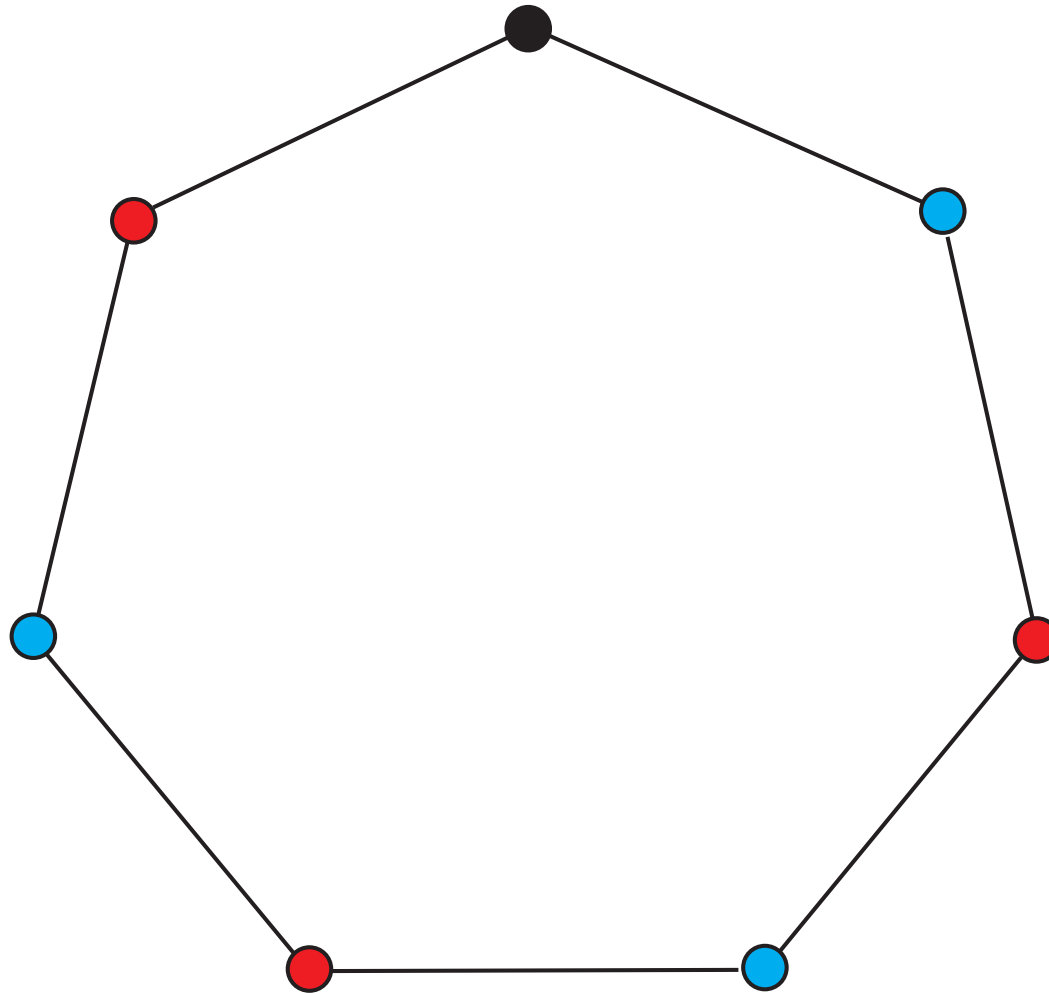
G. Fijavž, M. Juvan, M. Kayll, B. Mohar, A. Vodopivec

DIMACS/DIMATIA/Renyi Combinatorial Challenges Meeting,
DIMACS Center, April 2006

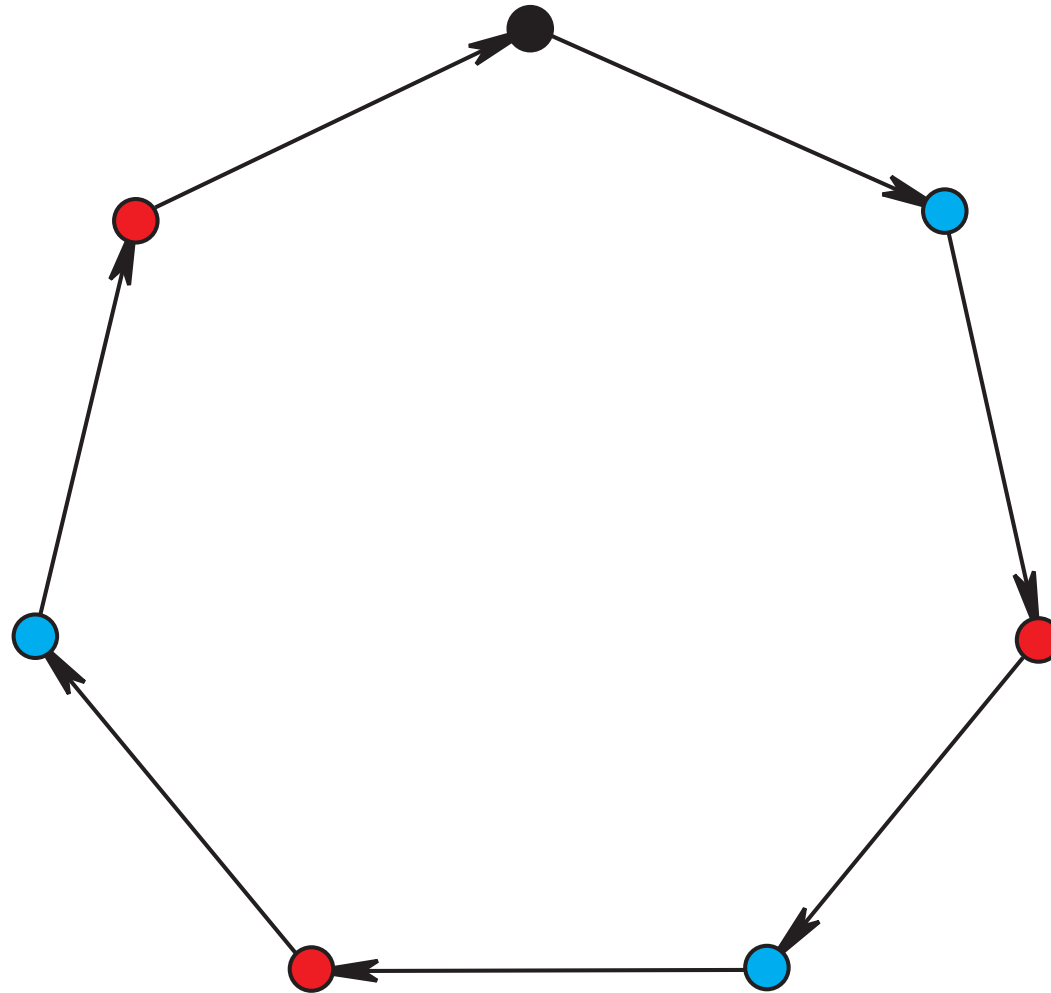
What is a graph coloring?



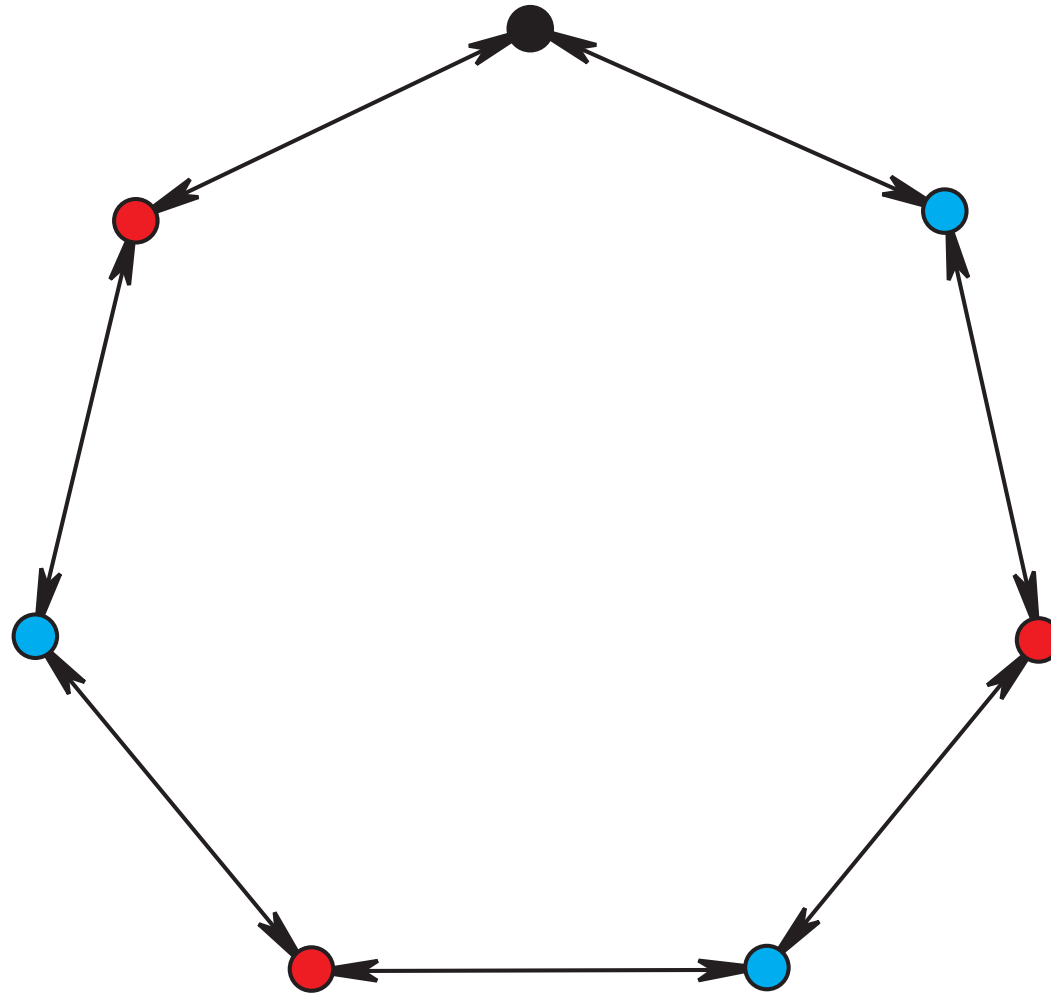
What is a graph coloring?



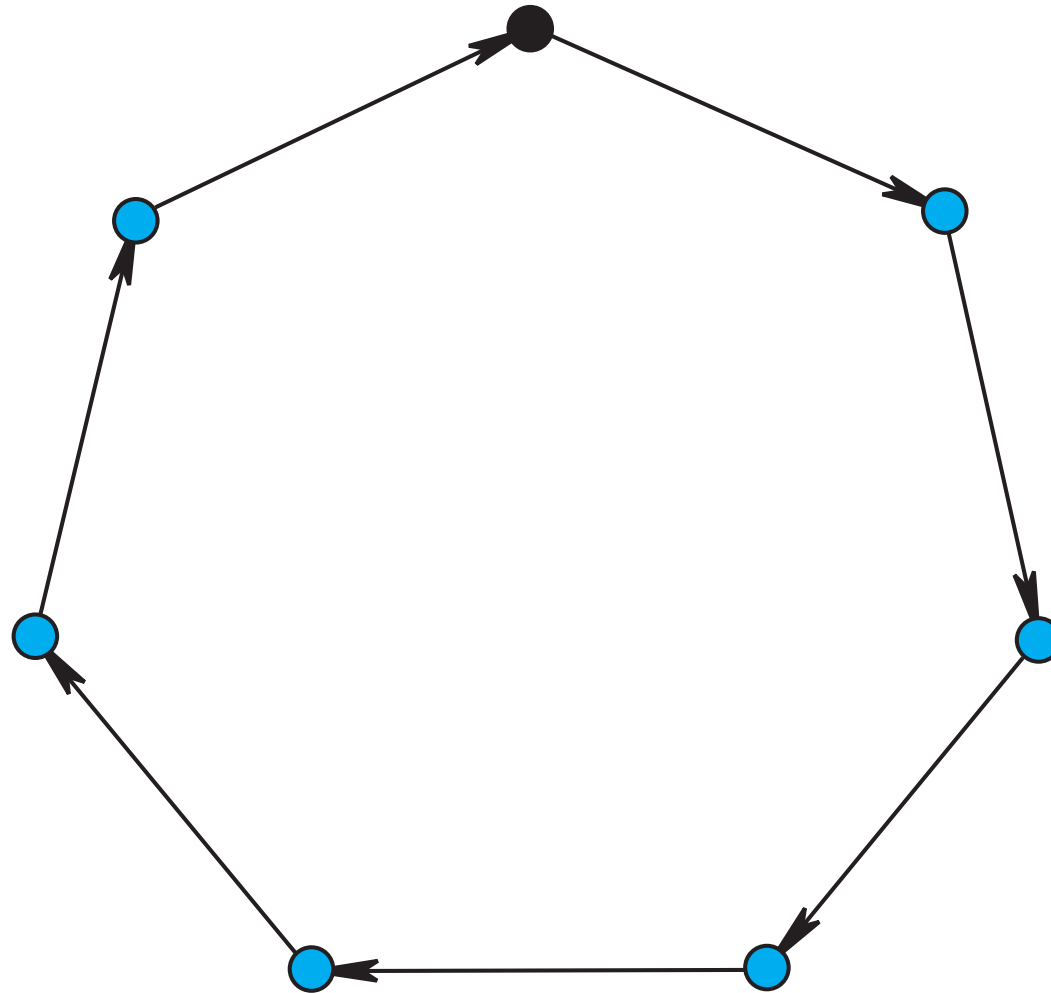
What is a graph coloring?



What is a graph coloring?



What is a graph coloring?



- Chromatic number of **graphs** vs. **digraphs**:

- Chromatic number of **graphs** vs. **digraphs**:
- **independent sets** vs. **acyclic sets**.

- Chromatic number of **graphs** vs. **digraphs**:
- **independent sets** vs. **acyclic sets**.
- Only “half” of the constraint is present.

- Chromatic number of **graphs** vs. **digraphs**:
- **independent sets** vs. **acyclic sets**.
- Only “half” of the constraint is present.
- An example of computation.

Definitions: chromatic number

- $D = (V, A)$ – digraph.

Definitions: chromatic number

- $D = (V, A)$ – digraph.
- p -coloring of D : $c : V(D) \rightarrow \{1, \dots, k\}$,

Definitions: chromatic number

- $D = (V, A)$ – digraph.
- p -coloring of D : $c : V(D) \rightarrow \{1, \dots, k\}$,
- for every $i : c^{-1}(i)$ acyclic in D .

Definitions: circular chromatic number

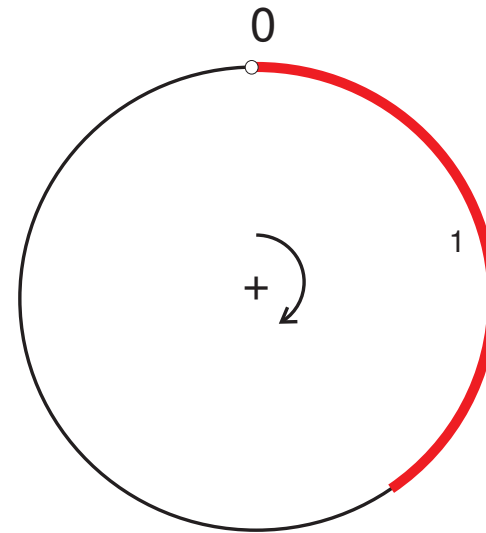
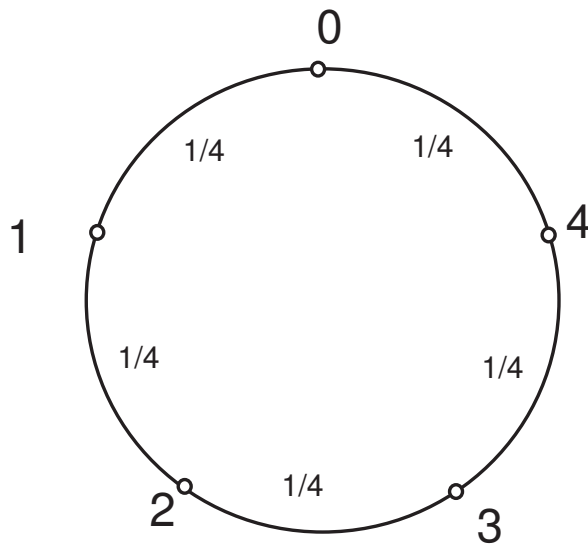
- $D = (V, A)$ – digraph.

Definitions: circular chromatic number

- $D = (V, A)$ – digraph.
- Circular p -coloring of D : $c : V(D) \rightarrow pS^1$,

Definitions: circular chromatic number

- $D = (V, A)$ – digraph.
- Circular p -coloring of D : $c : V(D) \rightarrow pS^1$,
- $uv \in A \Rightarrow c(v) - c(u) > 1$.



Definitions: circular chromatic number

- $D = (V, A)$ – digraph.
- Circular p -coloring of D : $c : V(D) \rightarrow pS^1$,
 - $uv \in A \Rightarrow c(v) - c(u) > 1$.
- Circular chromatic number of D :

Definitions: circular chromatic number

- $D = (V, A)$ – digraph.
- Circular p -coloring of D : $c : V(D) \rightarrow pS^1$,
 - $uv \in A \Rightarrow c(v) - c(u) > 1$.
- Circular chromatic number of D :
 - $\chi_c(D) = \inf \{p \mid \text{exists circ. } p\text{-coloring of } D\}$.

Comparison between coloring of graphs and digraphs

- For a graph G : $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$.

Comparison between coloring of graphs and digraphs

- For a graph G : $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$.
- For a digraph D : $\chi(D) - 1 < \chi_c(D) \leq \chi(D)$.

Comparison between coloring of graphs and digraphs

- For a graph G : $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$.
- For a digraph D : $\chi(D) - 1 < \chi_c(D) \leq \chi(D)$.
- For every $k, l \in \mathbb{N}$ there exist a graph G with $\chi(G) \geq k$ and girth $g(G) \geq l$.

Comparison between coloring of graphs and digraphs

- For a graph G : $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$.
- For a digraph D : $\chi(D) - 1 < \chi_c(D) \leq \chi(D)$.
- For every $k, l \in \mathbb{N}$ there exist a graph G with $\chi(G) \geq k$ and girth $g(G) \geq l$.
- For every $k, l \in \mathbb{N}$ there exist a digraph D with $\chi(D) \geq k$ and directed girth $dg(D) \geq l$.

Comparison between coloring of graphs and digraphs

- For a graph G : $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$.
- For a digraph D : $\chi(D) - 1 < \chi_c(D) \leq \chi(D)$.
- For every $k, l \in \mathbb{N}$ there exist a graph G with $\chi(G) \geq k$ and girth $g(G) \geq l$.
- For every $k, l \in \mathbb{N}$ there exist a digraph D with $\chi(D) \geq k$ and directed girth $dg(D) \geq l$.
- If G is k -degenerate, then $\chi(G) \leq k + 1$.

Comparison between coloring of graphs and digraphs

- For a graph G : $\chi(G) - 1 < \chi_c(G) \leq \chi(G)$.
- For a digraph D : $\chi(D) - 1 < \chi_c(D) \leq \chi(D)$.
- For every $k, l \in \mathbb{N}$ there exist a graph G with $\chi(G) \geq k$ and girth $g(G) \geq l$.
- For every $k, l \in \mathbb{N}$ there exist a digraph D with $\chi(D) \geq k$ and directed girth $dg(D) \geq l$.
- If G is k -degenerate, then $\chi(G) \leq k + 1$.
- If D is k -degenerate, then $\chi(D) \leq k + 1$.

Only “half” of constraints are present

- $\chi(G) \leq k$ is polynomially solvable for $k = 2$,
but *NP*-complete for $k \geq 3$.

Only “half” of constraints are present

- $\chi(G) \leq k$ is polynomially solvable for $k = 2$, but NP -complete for $k \geq 3$.
- $\chi(D) \leq k$ is polynomially solvable for $k = 1$, but NP -complete for $k \geq 2$.

Only “half” of constraints are present

- $\chi(G) \leq k$ is polynomially solvable for $k = 2$, but NP -complete for $k \geq 3$.
- $\chi(D) \leq k$ is polynomially solvable for $k = 1$, but NP -complete for $k \geq 2$.
- $\chi_c(C_{2n}) = 2$, $\chi_c(C_{2n+1}) = 2 + \frac{1}{n}$.

Only “half” of constraints are present

- $\chi(G) \leq k$ is polynomially solvable for $k = 2$, but NP -complete for $k \geq 3$.
- $\chi(D) \leq k$ is polynomially solvable for $k = 1$, but NP -complete for $k \geq 2$.
- $\chi_c(C_{2n}) = 2, \chi_c(C_{2n+1}) = 2 + \frac{1}{n}$.
- $\chi_c(\vec{C}_n) = 1 + \frac{1}{n-1}$.

Only “half” of constraints are present

- $\chi(G) \leq k$ is polynomially solvable for $k = 2$, but NP -complete for $k \geq 3$.
- $\chi(D) \leq k$ is polynomially solvable for $k = 1$, but NP -complete for $k \geq 2$.
- $\chi_c(C_{2n}) = 2$, $\chi_c(C_{2n+1}) = 2 + \frac{1}{n}$.
- $\chi_c(\vec{C}_n) = 1 + \frac{1}{n-1}$.
- $\chi(G) \leq 4$ for planar G .

Only “half” of constraints are present

- $\chi(G) \leq k$ is polynomially solvable for $k = 2$, but NP -complete for $k \geq 3$.
- $\chi(D) \leq k$ is polynomially solvable for $k = 1$, but NP -complete for $k \geq 2$.
- $\chi_c(C_{2n}) = 2$, $\chi_c(C_{2n+1}) = 2 + \frac{1}{n}$.
- $\chi_c(\vec{C}_n) = 1 + \frac{1}{n-1}$.
- $\chi(G) \leq 4$ for planar G .
- Conjecture (Škrekovski): $\chi(D) \leq 2$ for planar D .

Discretization of the circular chromatic number

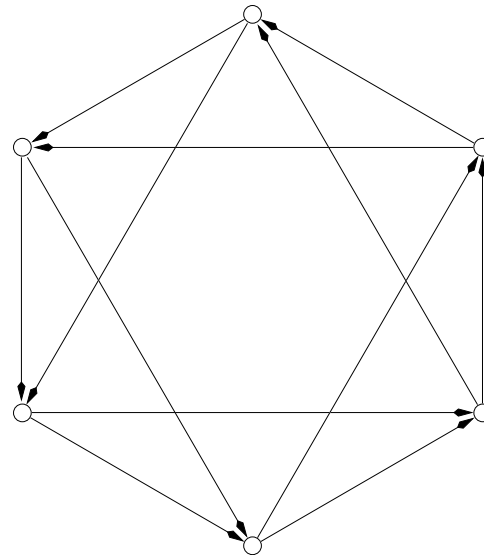
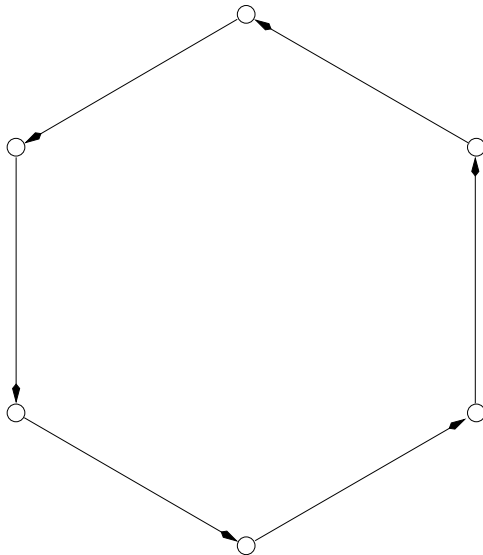
- Graphs $\vec{G}(k, d)$:

Discretization of the circular chromatic number

- Graphs $\vec{G}(k, d)$:
- $V(\vec{G}(k, d)) = \{0, 1, 2, \dots, k - 1\}$,

Discretization of the circular chromatic number

- Graphs $\vec{G}(k, d)$:
 - $V(\vec{G}(k, d)) = \{0, 1, 2, \dots, k - 1\}$,
 - $E(\vec{G}(k, d)) = \left\{ (i, i - t) \mid i \in V(\vec{G}(k, d)), 1 \leq t \leq k - d \right\}$.



Discretization of the circular chromatic number

- Graphs $\vec{G}(k, d)$:
 - $V(\vec{G}(k, d)) = \{0, 1, 2, \dots, k - 1\}$,
 - $E(\vec{G}(k, d)) = \left\{ (i, i - t) \mid i \in V(\vec{G}(k, d)), 1 \leq t \leq k - d \right\}$.
- For $k, d \in \mathbb{Z}$, $k \geq d$:

$$\chi_c(\vec{G}(k, d)) = \frac{k}{d}.$$

Circular colorings and homomorphisms

- D, G – digraphs.

Circular colorings and homomorphisms

- D, G – digraphs.
- Acyclic homomorphism: $f : V(D) \rightarrow V(G)$,

Circular colorings and homomorphisms

- D, G – digraphs.
- Acyclic homomorphism: $f : V(D) \rightarrow V(G)$,
- $uv \in A \Rightarrow f(u) = f(v)$ or $f(u)f(v) \in A$,

Circular colorings and homomorphisms

- D, G – digraphs.
- Acyclic homomorphism: $f : V(D) \rightarrow V(G)$,
 - $uv \in A \Rightarrow f(u) = f(v)$ or $f(u)f(v) \in A$,
 - $\forall x \in V(G) : f^{-1}(x)$ acyclic subdigraph of D .

Circular colorings and homomorphisms

- D, G – digraphs.
- Acyclic homomorphism: $f : V(D) \rightarrow V(G)$,
 - $uv \in A \Rightarrow f(u) = f(v)$ or $f(u)f(v) \in A$,
 - $\forall x \in V(G) : f^{-1}(x)$ acyclic subdigraph of D .
- D digraph.
 $\chi_c(D) \leq \frac{k}{d}$ if and only if there exists an **acyclic homomorphism** $f : D \rightarrow \vec{G}(k, d)$.

Definitions:

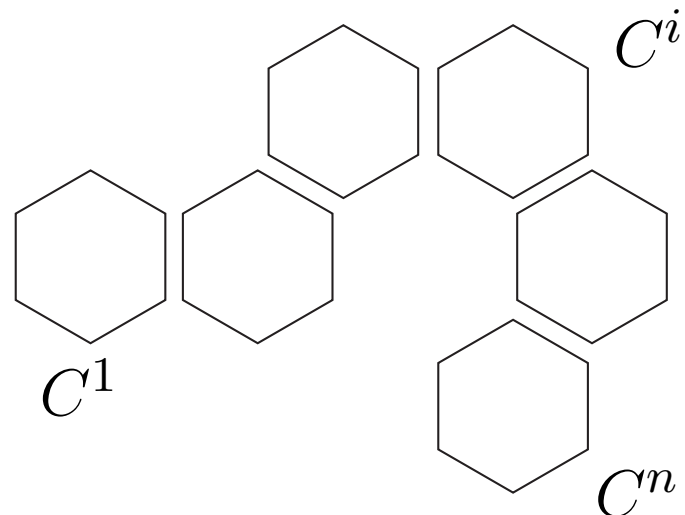
Oriented hexagonal systems

- Hexagonal system of length n :

Definitions:

Oriented hexagonal systems

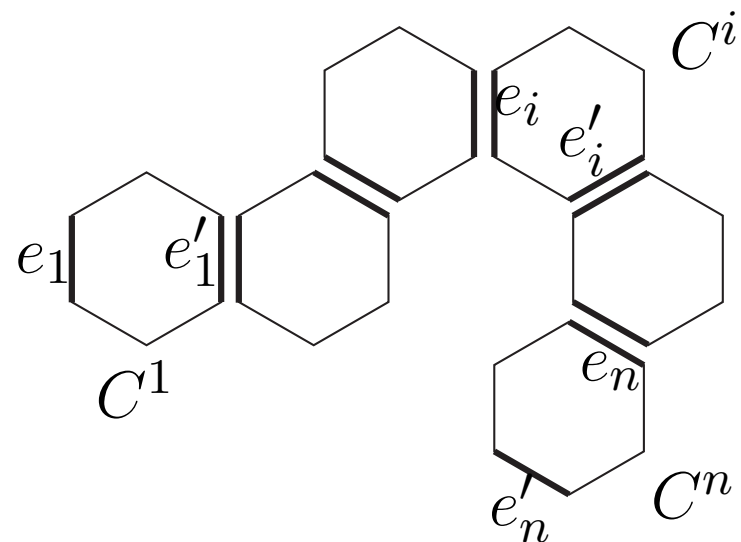
- Hexagonal system of length n :
 - $\mathcal{C} = \{C^i \mid 1 \leq i \leq n\}$ family of 6-cycles,



Definitions:

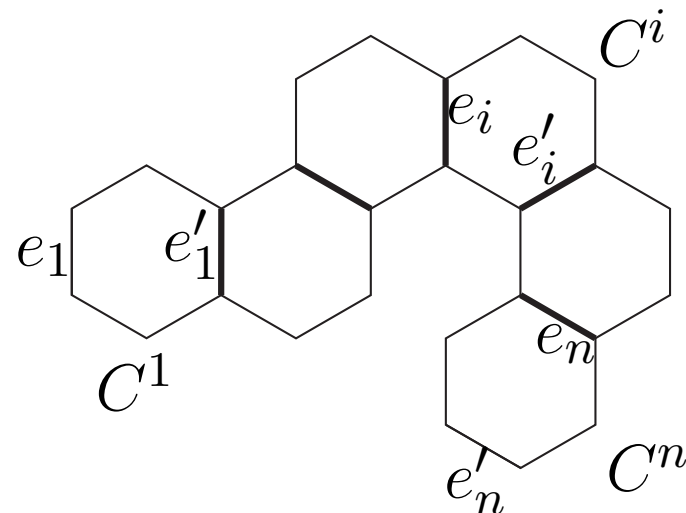
Oriented hexagonal systems

- Hexagonal system of length n :
 - $\mathcal{C} = \{C^i \mid 1 \leq i \leq n\}$ family of 6-cycles,
 - e_i, e'_i distinct edges of C^i ,



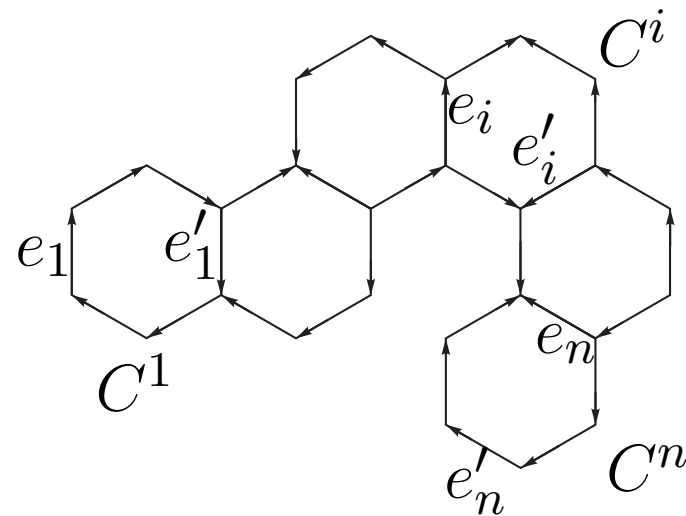
Oriented hexagonal systems

- Hexagonal system of length n :
 - $\mathcal{C} = \{C^i \mid 1 \leq i \leq n\}$ family of 6-cycles,
 - e_i, e'_i distinct edges of C^i ,
 - graph G obtained from \mathcal{C} by identifying e_{i+1} with e'_i .



Oriented hexagonal systems

- Hexagonal system of length n :
 - $\mathcal{C} = \{C^i \mid 1 \leq i \leq n\}$ family of 6-cycles,
 - e_i, e'_i distinct edges of C^i ,
 - graph G obtained from \mathcal{C} by identifying e_{i+1} with e'_i .
- Orientation of edges.



Problem statement

Problem: Given an **oriented hexagonal system** S , find its **circular chromatic number** $\chi_c(S)$.

Problem statement

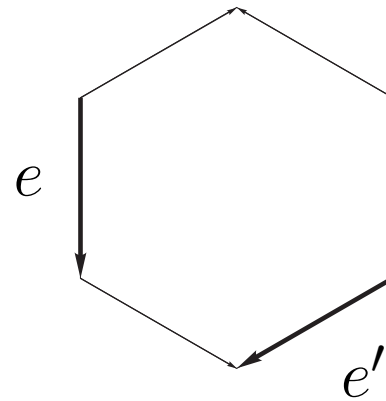
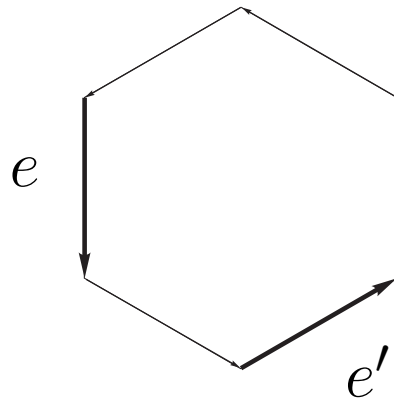
Problem: Given an **oriented hexagonal system** S , find its **circular chromatic number** $\chi_c(S)$.

Theorem: Let $\lambda(S)$ be the **characteristics** of S and let $d \in \mathbb{N}$ be largest with $w \in \mathcal{O}^d$ being a subword of $\lambda(S)$.

Then $\chi_c(S) = \frac{5d+1}{4d+1}$.

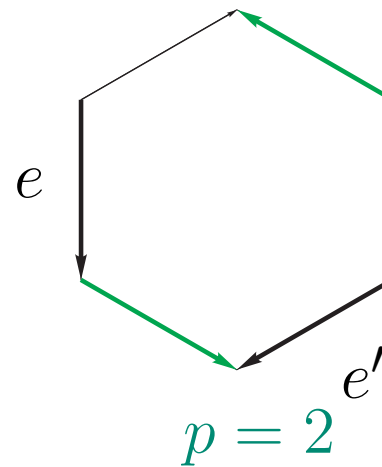
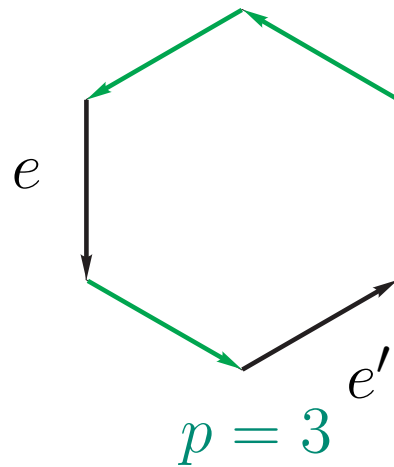
Properties of a single oriented C_6

- Are e and e' oriented coherently?



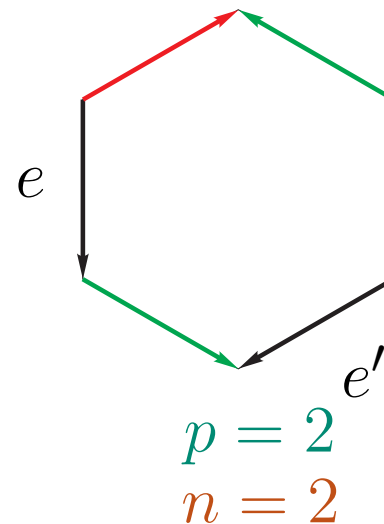
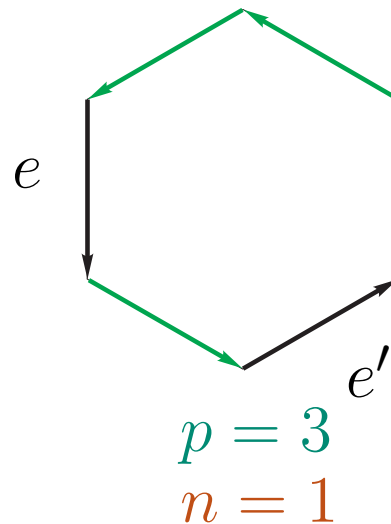
Properties of a single oriented C_6

- Are e and e' oriented coherently?
- How many of other edges are oriented coherently with e ?



Properties of a single oriented C_6

- Are e and e' oriented **coherently**?
- How many of **other edges** are oriented **coherently with e** ?
- How many of **other edges** are oriented **incoherently with e** ?



Distance pairs

- Given:

Distance pairs

- Given:
 - orientation S of C_6 ,

- Given:
 - orientation S of C_6 ,
 - edges $e = uv, e' = u'v' \in E(C_6)$,

- Given:
 - orientation S of C_6 ,
 - edges $e = uv, e' = u'v' \in E(C_6)$,
 - integers d_1, d_2, k, d ($0 \leq d_i \leq d, 1 \leq d < \frac{k}{5}$).

- Given:
 - orientation S of C_6 ,
 - edges $e = uv, e' = u'v' \in E(C_6)$,
 - integers d_1, d_2, k, d ($0 \leq d_i \leq d, 1 \leq d < \frac{k}{5}$).
- Does there exist $c : S \rightarrow \vec{G}(k, k - d)$, such that

- Given:
 - orientation S of C_6 ,
 - edges $e = uv, e' = u'v' \in E(C_6)$,
 - integers d_1, d_2, k, d ($0 \leq d_i \leq d, 1 \leq d < \frac{k}{5}$).
- Does there exist $c : S \rightarrow \vec{G}(k, k - d)$, such that
 - $c(v) - c(u) = d_1$,

- Given:
 - orientation S of C_6 ,
 - edges $e = uv, e' = u'v' \in E(C_6)$,
 - integers d_1, d_2, k, d ($0 \leq d_i \leq d, 1 \leq d < \frac{k}{5}$).
- Does there exist $c : S \rightarrow \vec{G}(k, k - d)$, such that
 - $c(v) - c(u) = d_1$,
 - $c(v') - c(u') = d_2$?

- Given:
 - orientation S of C_6 ,
 - edges $e = uv, e' = u'v' \in E(C_6)$,
 - integers d_1, d_2, k, d ($0 \leq d_i \leq d, 1 \leq d < \frac{k}{5}$).
- Does there exist $c : S \rightarrow \vec{G}(k, k - d)$, such that
 - $c(v) - c(u) = d_1$,
 - $c(v') - c(u') = d_2$?
- Yes: S admits the distance pair (d_1, d_2) for $\vec{G}(k, k - d)$.

Lemma: Conditions for admitting distance pairs

- Given: Orientation S of C_6 , edges $e = uv, e' = u'v' \in E(C_6)$, integers d_1, d_2, k, d .

Lemma: Conditions for admitting distance pairs

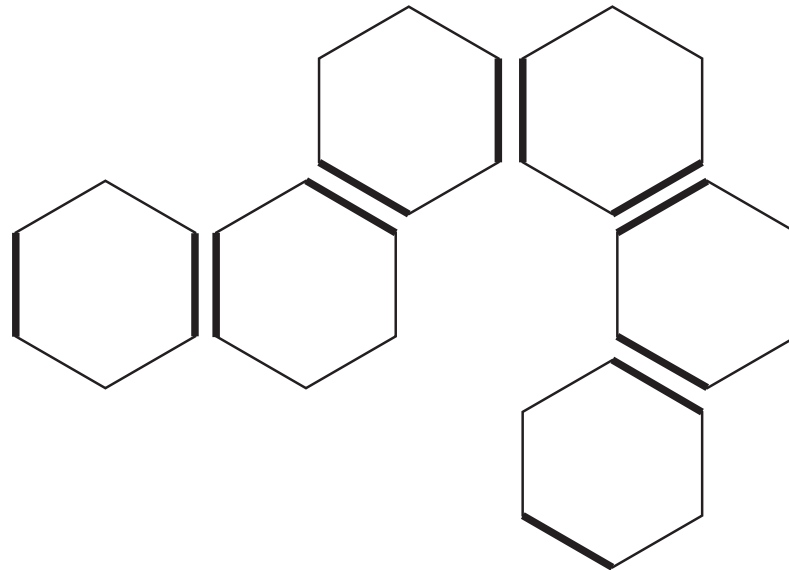
- Given: Orientation S of C_6 , edges $e = uv, e' = u'v' \in E(C_6)$, integers d_1, d_2, k, d .
- e and e' are oriented **coherently**.
 S admits (d_1, d_2) if and only if
 $n = 0, p = 4, k \leq d_1 + d_2 + 4d$ or
 $n = 1, p = 3, d_1 + d_2 \leq d$ or $n \geq 2$.

Lemma: Conditions for admitting distance pairs

- Given: Orientation S of C_6 , edges $e = uv, e' = u'v' \in E(C_6)$, integers d_1, d_2, k, d .
- e and e' are oriented **coherently**.
 S admits (d_1, d_2) if and only if
 $n = 0, p = 4, k \leq d_1 + d_2 + 4d$ or
 $n = 1, p = 3, d_1 + d_2 \leq d$ or $n \geq 2$.
- e and e' are oriented **incoherently**.
 S admits (d_1, d_2) if and only if
 $n = 0, p = 4, d_1 = d_2$ or $n \geq 1$.

Outline of the approach

- Propagating **permissible distances** through the chain.



Outline of the approach

- Propagating **permissible distances** through the chain.
- Apply the lemma to **classify the orientations** of C_6 ,

Outline of the approach

- Propagating **permissible distances** through the chain.
- Apply the lemma to **classify the orientations** of C_6 ,
- attribute **letters** of a (small) alphabet **to the classes**,

Outline of the approach

- Propagating permissible distances through the chain.
- Apply the lemma to classify the orientations of C_6 ,
- attribute letters of a (small) alphabet to the classes,
- attribute characteristic words to oriented hexagonal systems,

Outline of the approach

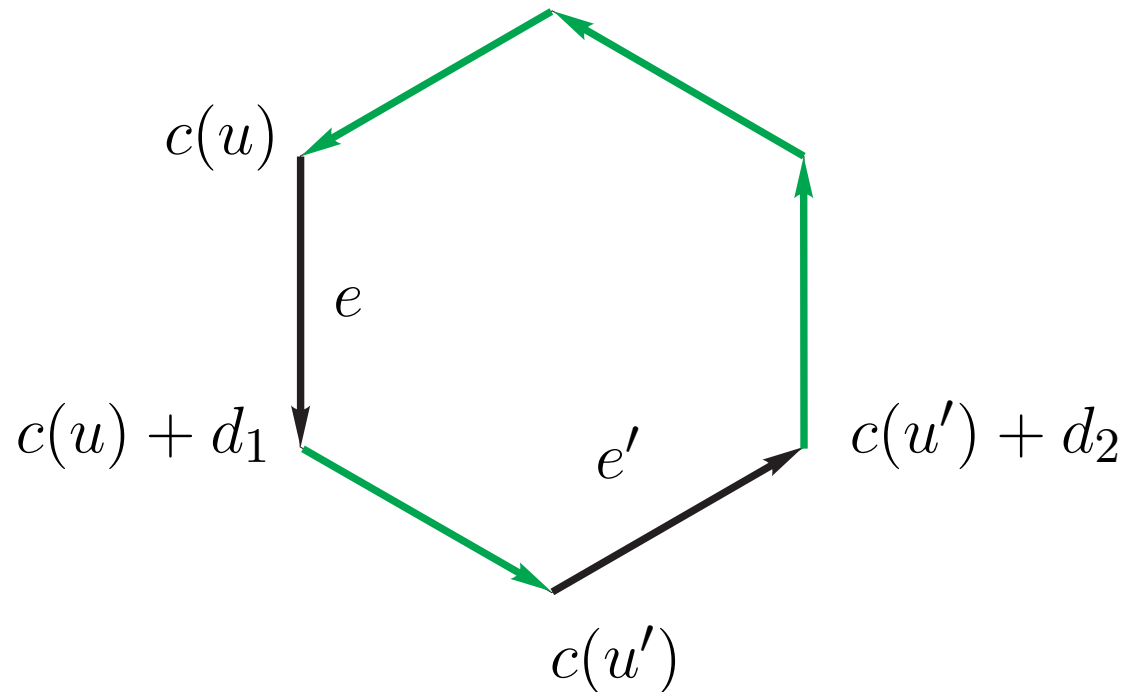
- Propagating permissible distances through the chain.
- Apply the lemma to classify the orientations of C_6 ,
- attribute letters of a (small) alphabet to the classes,
- attribute characteristic words to oriented hexagonal systems,
- establish lower bound on χ_c for certain subwords,

Outline of the approach

- Propagating **permissible distances** through the chain.
- Apply the lemma to **classify the orientations** of C_6 ,
- attribute **letters** of a (small) alphabet **to the classes**,
- attribute **characteristic words** to oriented hexagonal systems,
- establish **lower bound on χ_c** for certain subwords,
- find **standard coloring** with the **minimum number** of colors.

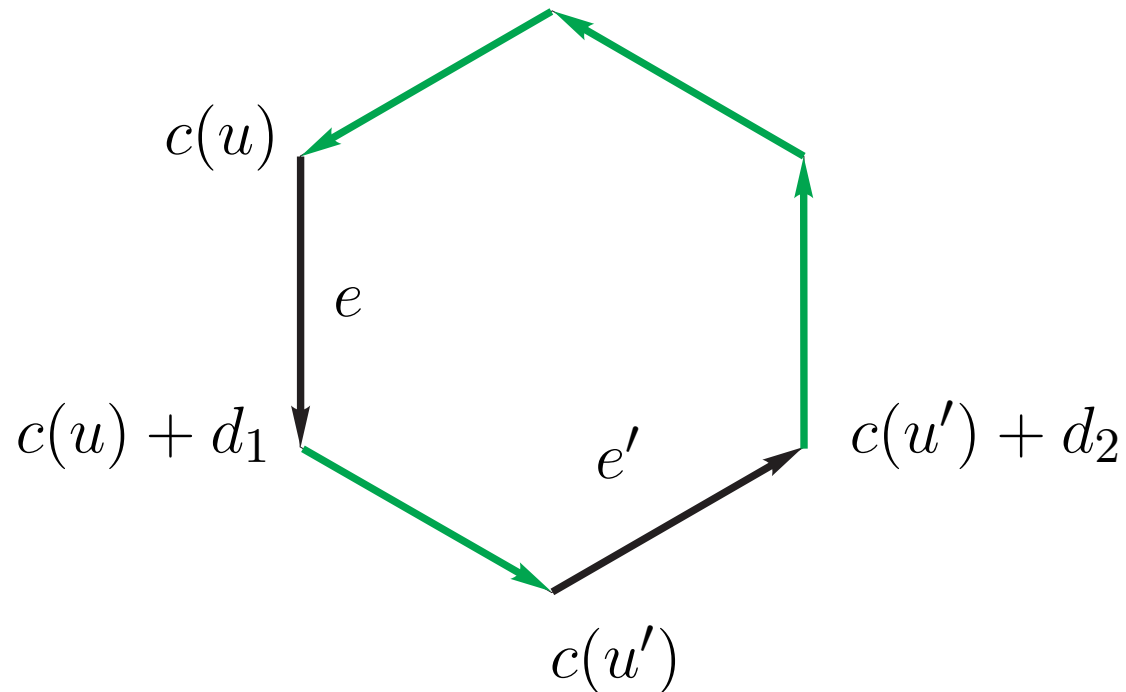
Classification of orientations I.

Directed cycle (\diamond): e, e' coherent, $p = 4$.



Classification of orientations I.

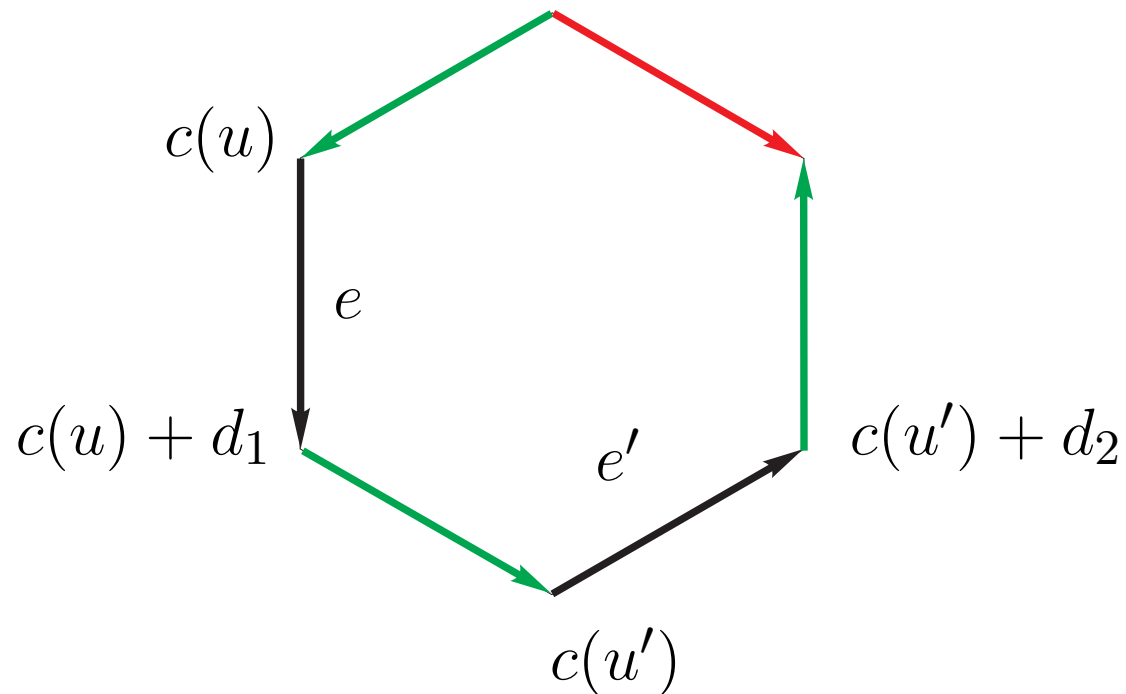
Directed cycle (\diamond): e, e' coherent, $p = 4$.



$k - 4d - d_1 \leq d_2 \leq d$. **Small \rightsquigarrow large.**

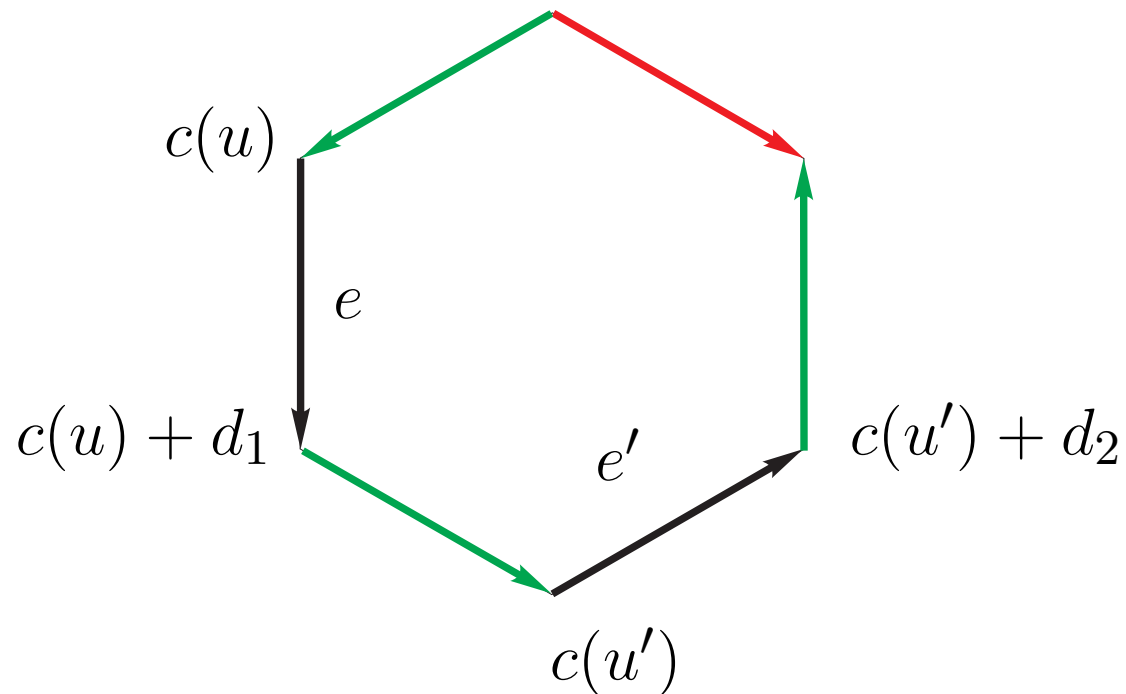
Classification of orientations II.

Obstacle (o): e, e' coherent, $p = 3, n = 1$.



Classification of orientations II.

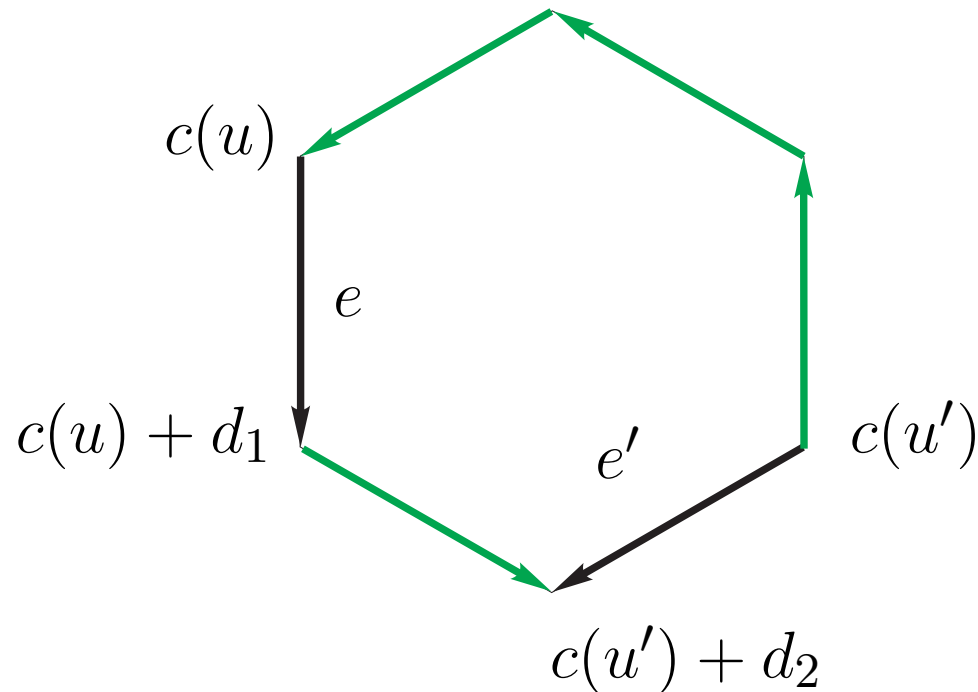
Obstacle (o): e, e' coherent, $p = 3, n = 1$.



$0 \leq d_2 \leq d - d_1$. Large \rightsquigarrow small.

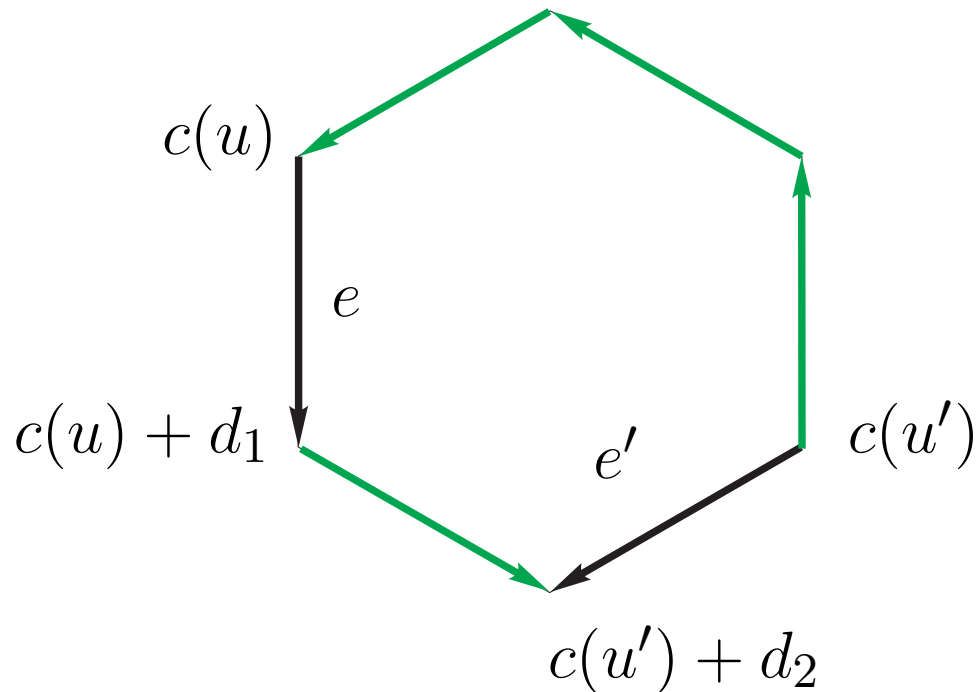
Classification of orientations III.

Extension (\triangleright): e, e' incoherent, $p = 4$.



Classification of orientations III.

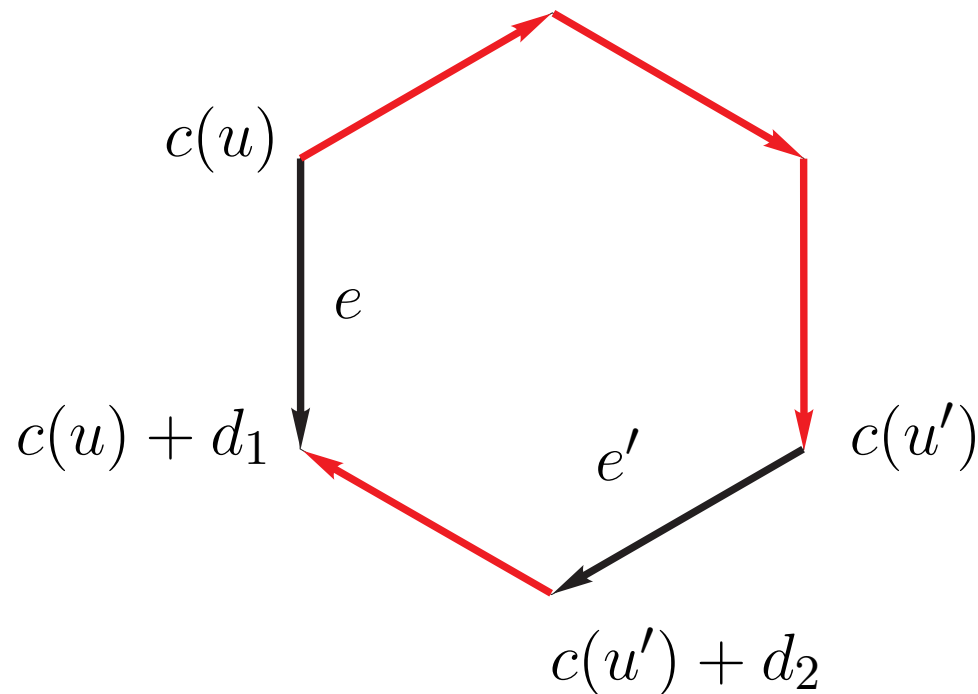
Extension (\triangleright): e, e' incoherent, $p = 4$.



$d_1 \leq d_2 \leq d$. Large \rightsquigarrow large.

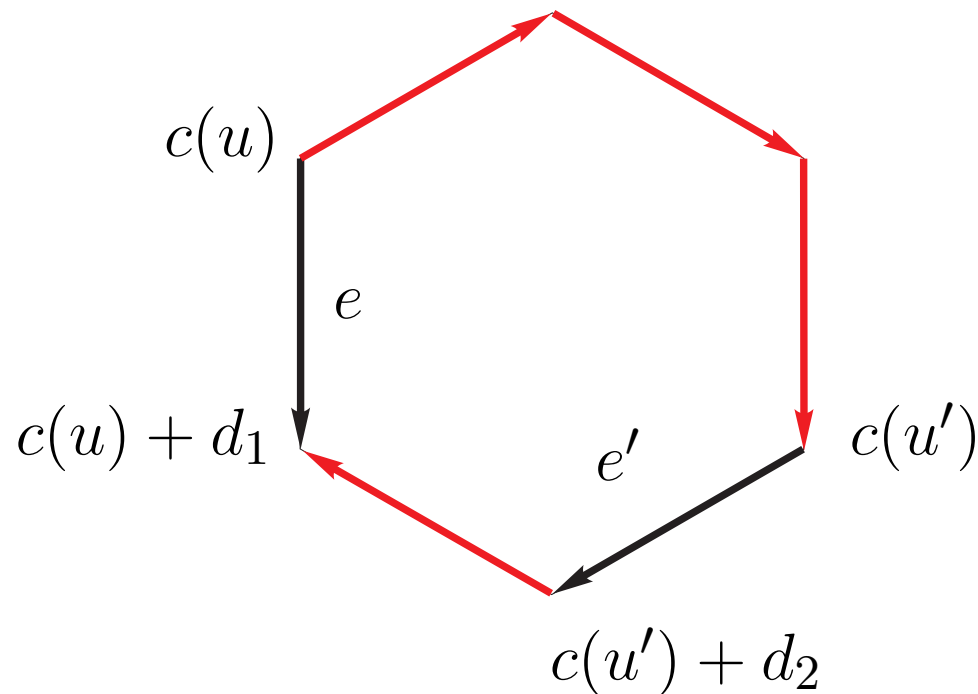
Classification of orientations IV.

Antiextension (\triangleleft): e, e' incoherent, $n = 4$.



Classification of orientations IV.

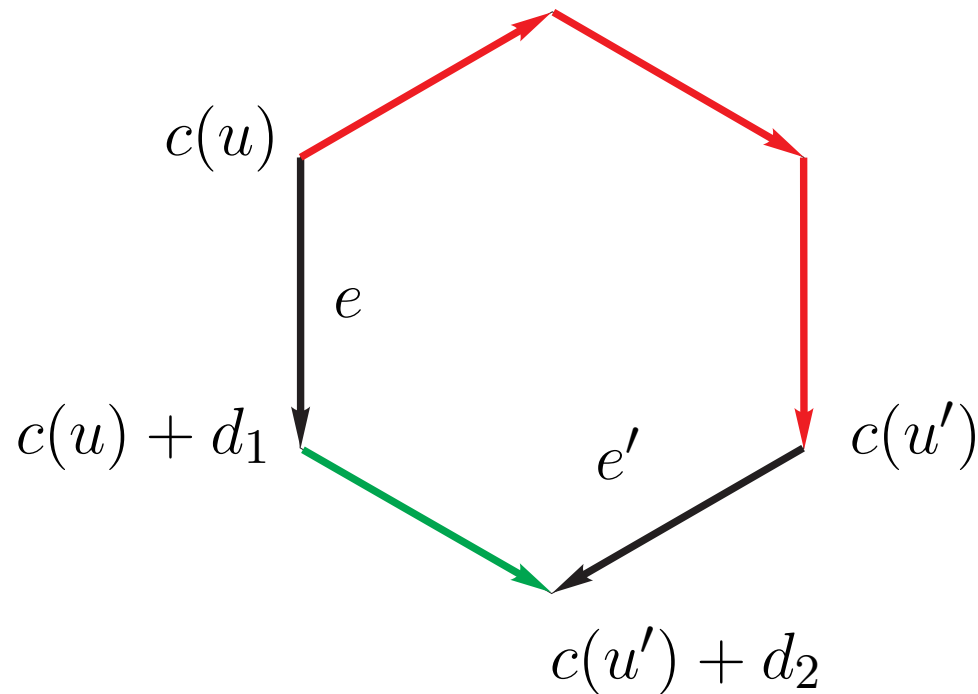
Antiextension (\triangleleft): e, e' incoherent, $n = 4$.



$0 \leq d_2 \leq d_1$. **Small** \rightsquigarrow **small**.

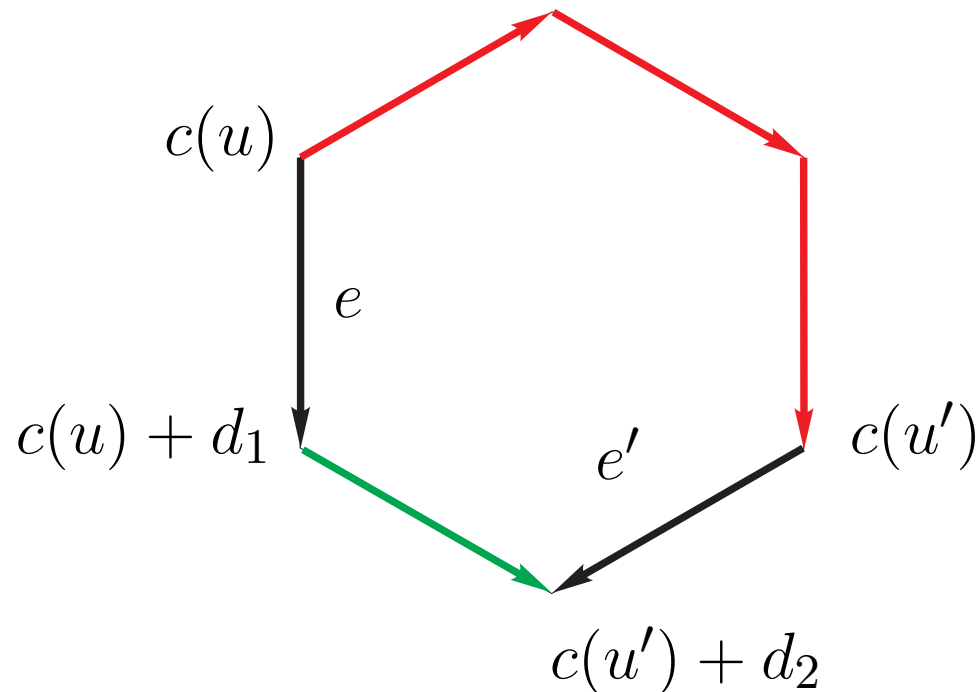
Classification of orientations V.

Other (*): at least two edges in every direction.



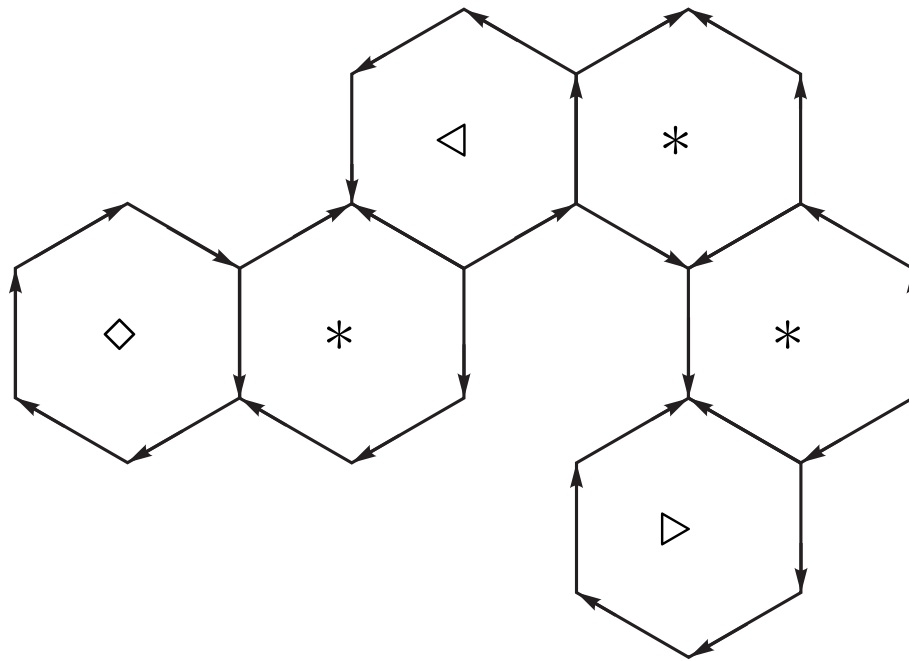
Classification of orientations V.

Other (*): at least two edges in every direction.



$0 \leq d_1, d_2 \leq d$. **Freedom.**

Hexagonal systems and words



Characteristics of orientation S :

$$\lambda(S) = \diamond * \triangleleft * * \triangleleft.$$

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.
- $\mathcal{O}^d = \{ww' \mid w \in \mathcal{O}^{d-1}, w' \in \mathcal{U}\}$.

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.
- $\mathcal{O}^d = \{ww' \mid w \in \mathcal{O}^{d-1}, w' \in \mathcal{U}\}$.
- S an oriented hexagonal system. Then:

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.
- $\mathcal{O}^d = \{ww' \mid w \in \mathcal{O}^{d-1}, w' \in \mathcal{U}\}$.
- S an oriented hexagonal system. Then:
 - Lemma: $\lambda(S) \in \mathcal{O}^d$ implies $\chi_c(S) \geq \frac{5d+1}{4d+1}$.

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.
- $\mathcal{O}^d = \{ww' \mid w \in \mathcal{O}^{d-1}, w' \in \mathcal{U}\}$.
- S an oriented hexagonal system. Then:
 - Lemma: $\lambda(S) \in \mathcal{O}^d$ implies $\chi_c(S) \geq \frac{5d+1}{4d+1}$.
 - Proof: no homomorphisms into $\vec{G}(k, k-d)$ for $k < 5d+1$.

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.
- $\mathcal{O}^d = \{ww' \mid w \in \mathcal{O}^{d-1}, w' \in \mathcal{U}\}$.
- S an oriented hexagonal system. Then:
 - Lemma: $\lambda(S) \in \mathcal{O}^d$ implies $\chi_c(S) \geq \frac{5d+1}{4d+1}$.
 - Proof: no homomorphisms into $\vec{G}(k, k-d)$ for $k < 5d+1$.
 - Theorem: n largest with $w \in \mathcal{O}^d$, w subword of $\lambda(S)$. Then $\chi_c(S) = \frac{5d+1}{4d+1}$.

Words of obstruction

- $\mathcal{U} = \{\triangleright^i \circ \triangleleft^j \diamond \mid i, j \in \mathbb{N}_0\}$.
- $\mathcal{O}^0 = \emptyset, \mathcal{O}^1 = \{\diamond\}$.
- $\mathcal{O}^d = \{ww' \mid w \in \mathcal{O}^{d-1}, w' \in \mathcal{U}\}$.
- S an oriented hexagonal system. Then:
 - Lemma: $\lambda(S) \in \mathcal{O}^d$ implies $\chi_c(S) \geq \frac{5d+1}{4d+1}$.
 - Proof: no homomorphisms into $\vec{G}(k, k-d)$ for $k < 5d+1$.
 - Theorem: n largest with $w \in \mathcal{O}^d$, w subword of $\lambda(S)$. Then $\chi_c(S) = \frac{5d+1}{4d+1}$.
 - Proof: Lemma, coloring algorithm.

Summary: Duality formulation

- \mathcal{S}_d = oriented hexagonal systems with
 $\chi_c(S) \leq \frac{5d+1}{4d+1}$.

Summary: Duality formulation

- $\mathcal{S}_d =$ oriented hexagonal systems with $\chi_c(S) \leq \frac{5d+1}{4d+1}$.
- $\mathcal{H}_d = \{\vec{G}(5d + 1, 4d + 1)\}$.

Summary: Duality formulation

- $\mathcal{S}_d =$ oriented hexagonal systems with $\chi_c(S) \leq \frac{5d+1}{4d+1}$.
- $\mathcal{H}_d = \{\vec{G}(5d+1, 4d+1)\}$.
- $\mathcal{F}_d =$ oriented hexagonal systems with $\lambda(S) \in \mathcal{O}^{d+1}$.

Summary: Duality formulation

- $\mathcal{S}_d =$ oriented hexagonal systems with $\chi_c(S) \leq \frac{5d+1}{4d+1}$.
- $\mathcal{H}_d = \{\vec{G}(5d+1, 4d+1)\}$.
- $\mathcal{F}_d =$ oriented hexagonal systems with $\lambda(S) \in \mathcal{O}^{d+1}$.
- S an oriented hexagonal system. Then:
 $\exists D \in \mathcal{H}_d \ni: S \rightarrow D \Leftrightarrow S \in \mathcal{S}_d \Leftrightarrow \exists D \in \mathcal{F}_d \ni: D \rightarrow S$.